# Foundations for Syriac Lexicography II 



# Perspectives on Syriac Linguistics 

## Volume 3

Series Editor<br>Terry C. Falla

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# Foundations for Syriac Lexicography II 

Colloquia of the International Syriac Language Project

Edited by<br>P. J. Williams

Managing Editor

Beryl Turner

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## Abbreviations

| act. | active |
| :--- | :--- |
| adj. | adjective |
| adv. | adverb |
| Anc. Doc. | Cureton, Ancient Syriac Documents |
| Aphr. | Wright, The Homilies of Aphraates |
| BDAG | Danker et al., A Greek-English Lexicon of the New Testament and Other |
|  | Early Christian Literature (3rd edition, 2000) |
| comp. | compound |
| conj. | conjunction |
| CSD | J. Payne Smith, A Compendious Syriac Dictionary |
| def. | definite article |
| emph.; emph. st. | emphatic state |
| JB | Jerusalem Bible |
| Jos. Styl. | Wright, The Chronicle of Joshua the Stylite |
| KPG | Falla, A Key to the Peshitta Gospels |
| m. | masculine |
| NA27 | Nestle-Aland, 27th edition of the Greek New Testament |
| NEB | New English Bible |
| NIV | New International Version |
| no c. | no correspondence |
| NRSV | New Revised Standard Version |
| Ov. | Overbeck, S. Ephraemi Syri, Rabulae Episcopi Edesseni, Balaei, Aliorumque |
|  | Opera Selecta |
| part. | participle |
| POS | part of speech |
| prep. | preposition |
| REB | Revised English Bible |
| RSV | Revised Standard Version |
| SFG | Aland, Synopsis of the Four Gospels |
| Spic. | Cureton, Spicilegium Syriacum |
| SQE | Aland, Synopsis Quattuor Evangeliorum |
| subst. | substantive |
| Syr | Curetonian Version, ed. Burkitt |
| Syr ${ }^{\text {b }}$ | Harklean Version, ed. Juckel in Kiraz, Comparative Edition |
|  |  |


| Syrp | Peshitta Version, ed. Pusey-Gwilliam (Gospels), and British and |
| :--- | :--- |
|  | Foreign Bible Society (rest of the New Testament) |
| Syr $^{\mathrm{s}}$ | Sinaitic Version, ed. Lewis |
| Thesaurus Syriacus | R. Payne Smith, Thesaurus Syriacus |
| trans. | transitive |
| v.l. | variant reading |
| For sigla used in pages $122-126$ see the Introduction to NA ${ }^{27}$ |  |

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## Series Preface

#  <br>  

Some have expounded ideas, some have corrected words, others have composed chronicles, and still others love to write lexica. Bar 'Ebroyo (1226-1286), Storehouse of Mysteries


#### Abstract

When I took the first survey of my undertaking, I found our speech copious without order, and energetick without rules: wherever I turned my view, there was perplexity to be disentangled, and confusion to be regulated; choice was to be made out of boundless variety, without any established principle of selection; adulterations were to be detected, without a settled test of purity; and modes of expression to be rejected or received, without the suffrages of any writers of classical reputation or acknowledged authority.

Samuel Johnson, 'Preface' to A Dictionary of the English Language


Perspectives on Syriac Linguistics contains peer-reviewed essay collections, monographs, and reference works that have relevance to Classical Syriac lexicography. It is a publication of the International Syriac Language Project (ISLP), an interdisciplinary group which meets annually to reconsider the theory and practice of Classical Syriac lexicography, and to lay the foundations for a future comprehensive Syriac-English lexicon.

Lexicography, the art and science of dictionary making, became a serious discipline about three centuries ago. Compared to the evolution of human language which may go back as far as 100,000 years, it began only yesterday. Modern linguistics, the science of the study of language, is even more recent, beginning in the 1830's and experiencing relatively rapid growth in the latter half of the twentieth century. The birth of modern linguistics gave rise to lexicography being viewed as one of its sub-disciplines. Today, lexicography is a mature discipline in its own right. However, the interrelationship between the two remains as important as ever, for sound lexicography requires sound linguistic theory. The aim of this series is therefore to address the discipline of lexicography and issues of linguistics as they relate to a contemporary approach to lexicography.

It is also the aim of the ISLP to be collaborative and interdisciplinary in its research. Accordingly, this series seeks to be collaborative and interdisciplinary in its scope. There are three primary reasons. The first is that many linguistic disciplines meet in the making of a
modern lexicon. The second is that developments in the study of one language, theoretical and applied, are often pertinent to another. The third is the emergence of electronic lexica, which requires attention to advances in computational linguistics. Thus our planning for a Classical Syriac-English lexicon for a new generation is not pursued in isolation, but embraces a multi-disciplinary understanding of what is taking place in the study of other ancient languages and in the wider worlds of lexicography, linguistics and digital technologies.

Terry Falla

## Emerging Pathways

With a hint of lament, our volume editor, Peter Williams, registers hindrances to this book's preparation. Yet the delay allows an unusual and helpful two-dimensional perspective. One is gained by looking back to the first volume of Foundations for Syriac Lexicography series (FSL I, 2005), and before that to the origins and aims of the International Syriac Language Project (ISLP) recounted in it (2001). The other is allowed by looking forwards along paths since followed, and towards meetings planned for 2009 and 2010. Volume three (FSL III, 2008, 333 pages) is available, volume four (FSL IV) in preparation, and this volume (FSL II) a slimmer yet solid bridge from one converging set of tracks to the emerging of new ones.

My back gate opens onto parklands: wetlands, wattle-woods, bush, and grasslands that for many miles follow a river valley. Pathways and quiet tracks criss-cross, and beckon early mornings with "My turn?" The ISLP's destination is clear: to achieve the laying of foundations for future Syriac lexicography. The journey requires interdisciplinary peerreviewed researches into issues pertinent to Syriac lexicography and the lexicography of other ancient languages, giving special attention to the optimal content of a classical Syriac lexicon. However, neither destination nor journey determine the specific contents of the volumes in this FSL series.

From the gate we first opened, tracks beckoned and intersected. A thematic approach to each volume was canvassed. But many ideas begged immediate attention. We therefore deemed it wise to encourage initial enthusiasm and leave open trails inviting exploration. For the first two volumes this was primarily a matter of gathering, peer-reviewing, and editing. But the editors of FSL III, Janet Dyk and Wido van Peursen, discovered that its articles, now including contributions from SBL's Biblical Lexicography unit, formed distinct pathways through contemporary complexities of ancient-language lexicography. Comparable foci are apparent in the twenty or so articles-from Syriac, Greek, and Hebrew scholars-that will constitute FSL IV edited by Kristian Heal and Alison Salvesen.

At the ISLP's 2008 meeting, we agreed that post FSL IV could be the time to make the transition to a thematic approach to the series. Another bridge to new pathways.

As series editor, I stand in awe of the commitment and sheer hard work of Peter Williams, all the other editors of these volumes, and of our Managing Editor, Beryl Turner, knowing that what they have achieved has had to jostle with other demanding projects and overburdened schedules. I, and many others, are most grateful to you. Thank you.

Terry Falla

## Volume Preface

I must begin by craving the indulgence of the various contributors to this volume for my tardiness in editing it. True, I was not the only cause of delay, but I was the principal cause. University administration, optimistic time planning, and a change of institution are all I have to blame. With my apologia completed, I would like to express my thanks to the peer reviewers and members of the group who helped prepare manuscripts and to Terry Falla who helped conceive the International Syriac Language Project and has encouraged this volume from afar. Beryl Turner, the Managing Editor has shouldered a considerable task in bringing this volume to publication, and I am grateful to her for this and for her prompt replies to my less-than-prompt enquiries.

Now to the specifics of this volume: all but the last paper were presented in some form at the Syriac Lexicography sessions during the International Meeting of the Society of Biblical Literature in Groningen, on Tuesday 27 July 2004. They represent a diversity of approaches to lexicography-the essays of Forbes and Dyk break new ground in discussions of taxonomy and are of relevance to lexicographers of any language; the essays of Williams and of Falla and Van Peursen discuss specific translation phenomena in the Syriac New Testament; that of Juckel provides scholars for the first time with the text of the Harklean margin to the Corpus Paulinum along with a detailed analysis thereof; those of Kiraz and Aydin provide vital important information on Syriac lexica that deserve to be more widely known in the West. The essays demonstrate a range of lexicographical disciplines and their diversity is highlighted further by the fact that five of the essays focus on a common subject, namely the biblical text.

Obviously, if approaches are varied, it is harder for an editor to bring full stylistic unity. One manifestation of this is that, while we have generally used a Serto font for Syriac, in Aydin's essay an East Syriac font has been used to preserve important aspects of vocalization. The fonts are of course Meltho ones for which we are grateful to George Kiraz, whom we also thank for the publication of this volume.
P.J. Williams, 18 September 2008

Tyndale House, Cambridge

## CHAPTER 1

Distributionally-Inferred Word and Form Classes in the Hebrew Lexicon: Known by the Company They Keep

A. Dean Forbes<br>University of California, Berkeley

Using the rigorous computational methods of unsupervised pattern recognition (all explained in the paper), the distributions of the words and the word segments of biblical Hebrew are analyzed. This allows the inference of part-of-speech classes. The classes are in most cases gratifyingly homogeneous, but some contain perplexing constituents.

## 1. Word Classes and Form Classes in Linguistics

### 1.1 The Centrality of Word Classes

Central to any proper linguistic analysis is the concept of the grammatical class or category. Trask introduces the notion as follows: ${ }^{1}$

In every language, the lexical items fall naturally into a small set of categories, such that the words in any category exhibit similar behaviour, while words in different categories exhibit different behaviour.

The existence of such categories has been recognized in Europe for over 2000 years. Various names have been conferred upon these categories: the most venerable is parts of speech, while recent linguists have called them word classes or lexical categories.2
Most linguists would agree that the phenomena exhibited by languages are category-based, ${ }^{3}$ making grammatical categories central to linguistics. Very rarely does a linguist argue for "the nonexistence of primitive syntactic categories." ${ }^{4}$

[^0]
### 1.2 Which Word Classes Are Appropriate?

Word Classes in Linguistics-In classic grammars, some set of part-of-speech labels is typically simply assumed. ${ }^{5}$ Chomsky's quartet of classes, defined in terms of a pair of binary features, is often displayed: noun $(+\mathrm{N},-\mathrm{V})$, verb $(-\mathrm{N},+\mathrm{V})$, adjective $(+\mathrm{N},+\mathrm{V})$, and adposition $(-\mathrm{N},-$ V). ${ }^{6}$ This is all too facile and unrevealing.

It is increasingly common to enlarge the list of categories and to make a distinction between lexical categories and functional categories. The former are the content word classes (nouns, verbs, adjectives, adverbs, and prepositions), while the latter exercise purely grammatical functions (particles, auxiliaries, determiners, pronouns, and complementizers). ${ }^{7}$ A parallel distinction is that between open classes and closed classes. The former classes consist of (often inflected) content words and are large and elastic; the latter consist of function words and are small and of fixed makeup. Hausser places verbs, nouns, and adjectives in the open classes; he puts conjunctions, prepositions, and determiners in the closed classes. ${ }^{8}$

Some modern grammars exploit the considerable expressive power resident in complex categories." "Treating categories as bundles of features makes it possible to represent large numbers of grammatical categories quite compactly, since every different combination of features and values is a different category." ${ }^{10}$ A recent treatment of head-driven phrase structure grammar (HPSG), for example, has eight hierarchically-organised parts of speech (POS) for English. ${ }^{11}$ The POS hierarchy is shown in Figure 1. Four POS involve agreement, the so-called agr-pos: det, noun, comp, and verb. The other four do not involve agreement (adj, prep, adv, and conj). The category-valued pos-feature is one feature among many in the grammar. It corresponds to what is variously called the word class, lexical category, or part of speech in this paper.

[^1]

Figure 1. POS Subtree for HPSG Grammar

Word Classes for Biblical Hebrew-Treatments of word classes in grammars of biblical Hebrew tend to be pro forma. Waltke and O'Connor ${ }^{12}$ deal informally with parts of speech, supplying the list of Richter's six basic word classes for Hebrew:

| verb | nomen (substantive; <br> adjective; numeral) | pronoun |
| :--- | :--- | :--- |
| verbal noun <br> (infinitive; participle) | proper name | particle (adverb; preposition; <br> conjunction; modal word, e.g. <br> negative; article; interjection) |

They then comment: "It is not our purpose to defend a particular list, however, but rather to point to the usefulness of a word-class approach, despite its mixed origins."

Van der Merwe et al. ${ }^{13}$ provide quite traditional definitions for these ten "word categories/classes": verbs, nouns, adjectives, prepositions, conjunctions, adverbs, predicators of existence, interrogatives, discourse markers, and interjections. Example definition: "Verbs express the action, condition or existence of a person or thing."

Since it is easy to combine categories automatically but difficult to split them, Andersen and Forbes ${ }^{14}$ have labelled the text of the Hebrew Bible with seventy-five different grammatical category labels. For example, thirteen different classes of prepositions, eight different classes of conjunctions, nine different classes of interrogatives, eleven different classes of pure verbals, and four different classes of verbal nouns are distinguished.

[^2]So, Which Word Classes Are Appropriate for Biblical Syntax?—Croft ${ }^{15}$ points out that taxonomists must find a balance between the extremes of thoroughgoing "lumping" and rampant "splitting" of categories. In our survey above, we saw class lumping in Richter's combining of adverbs, prepositions, conjunctions, and modal words into a super-class of particles. Splitting was seen in the Andersen and Forbes subdivision of major categories. For example, they distinguish nine categories of interrogatives.

Croft warns that lumping risks "ignoring distributional patterns." He asserts that the problem for splitters is that " $[t]$ here is no way to stop splitting." I have elsewhere ${ }^{16}$ shown that the structure of the hierarchical lexicon ${ }^{17}$ allows one to work out when to stop splitting. Croft's arguments do show that seeking "the one best set of parts of speech" is misguided, since there can be no such thing. In this essay, I examine ways of inferring the overall structure of a hierarchical lexicon for biblical Hebrew, thereby escaping the lumping-splitting dilemma.

### 1.3 How Should Word Classes Be Assigned?

In his review article on parts of speech, Trask ${ }^{18}$ discusses "four different types of criteria [that have been] proposed for identifying parts of speech:" meaning, derivation, inflection, and distribution.

Meaning-Criteria for identifying part of speech, such as those for the verb quoted above from van der Merwe et al., rely on meaning to decide the part of speech. Waltke and O'Connor also take this approach to classification on occasion: "noun... the class of naming words, including substantives (names of things or beings) and adjectives, as well as participles in some uses." ${ }^{19}$ Semantic criteria such as these have been thoroughly discredited. To quote Trask: ${ }^{20}$

Though popular in the past, this criterion is rejected today, since it is hopelessly misleading: lexical categories are syntactic categories, not semantic ones, and the meaning of a word is at best no more than a rough guide to its likely word class.

Derivation-Some word-class assignments may be made on the basis of a word's "ability to take word-forming affixes to yield other words." ${ }^{21}$ As it happens, biblical Hebrew has very

[^3]few such affixes. He locale comes to mind. Waltke and O'Connor view it as an adverbial suffix. ${ }^{22}$ As there are very few derivational affixes in biblical Hebrew, this approach does not get one very far.

Inflection-More productive are approaches based on inflection. Chapters II and III of Joüon and Muraoka provide a great deal of classificatory evidence resting on inflections. ${ }^{23}$ We rely mainly on inflection when we segment our text to convert its words into sequences of forms. (See below.)

Useful analyses of computational morphology as applied to Semitic languages include those by Bosman and Sikkel, ${ }^{24}$ who analyze morphology as a data-driven process involving a human teacher, and Kiraz, who develops a nonlinear approach to deal with infixation and other nonlinear morphological phenomena. ${ }^{25}$

Distribution—We shall put our main effort into investigating the power of distributional analysis for attaining taxonomic bliss. Quoting Trask once again: ${ }^{26}$

A word is assigned to a part of speech on the basis of its distribution, the range of syntactic positions in which it can occur. Though not much favoured in the past, this criterion is probably the most important of all today.
A common approach to distributional analysis involves the notion of the frame and relies on native speaker judgment. One presents a frame of words having an empty slot and asks a native speaker what words are acceptable in that slot. Words that are admissible in a carefully designed frame are then said to belong to the same word class.

This approach, however, has real limitations. Consider this frame supplied by Crystal: ${ }^{27}$ "She saw __box." Crystal says that this frame supplies an environment for determiners (the, a, my, one, etc). True, such words fit the slot. But so do Bill, boys, men, pugilists, kangaroos, and many other nouns.

Given the limitations of the frame approach and the fact that there are no native speakers of biblical Hebrew, some other method of analysing word distributions is needed. Schütze ${ }^{28}$ has investigated four variant computational approaches to word classes for English. Similar work has also been reported by Zavrel. ${ }^{29}$ Before turning to my versions of these approaches, I will discuss three phenomena that complicate the analysis of biblical Hebrew.

[^4]
### 1.4 Three Complications for Biblical Hebrew

Three phenomena that complicate word-class inference for biblical Hebrew are orthographic variation, agglutination, and missing punctuation.

Orthographic Variation-Spelling in the Hebrew Bible shows statistically significant patterned variability. ${ }^{30}$ The word David, for example, occurs with and without dagesh lene, and with plene or defective final vowel. In the ketib text of the Hebrew Bible, ${ }^{31}$ it is spelled in these four ways:

| דָּדִד | 466 |
| :---: | :---: |
| דָּוָיד | 215 |
| דָוִד | 129 |
| דִוִיד | 36 |

The presence or absence of the dagesh lene is determined by the pre-context of the word. The use of defective or plene spelling is not random and is related to text portion. ${ }^{32}$

For the purpose of inferring word classes, do we have one word here or are there four different words? We must make a trade-off. On the one hand, considering the four spellings as variants of one single word would bump up the counts for the word, making statistical analyses more robust. On the other hand, since it has been shown that the use of plene or defective is correlated with text portion, washing out spelling differences might obscure informative diachronic variation. But, if there are too few instances of the various spellings, then our ability to make reliable inferences as regards diachrony, in any case, will be nil.

In light of the foregoing, the plan for this essay is first to analyze the biblical data without merging any spelling variants. In subsequent analysis, we will investigate grammatical classes when certain variant spellings are folded together.

Agglutination—Biblical Hebrew exhibits agglutination. That is, its "words can be readily divided into a linear sequence of distinct morphemes, each of which typically has a fairly consistent shape and a single consistent meaning or function." ${ }^{33}$ Consider, for example, וְלַשֶׁךְ This
 markers to delimit the morphemes. The word might be glossed and-to-the-darkness. By standard biblical Hebrew taxonomy, this word contains four distinct form classes: comj-prep-def-noun.

Here we encounter a potential circularity trap. One prefers to deal with "atomic units" in one's analyses. That is, one prefers that analyses deal with fundamental units ("forms"

[^5]rather than "phrases"). This is in keeping with well-justified linguistic practice in building up clause structures. And, further, dealing with properly segmented texts also provides larger sets of forms, enabling more robust statistical inferences.

The table below shows all of the words in the Hebrew Bible that contain David, fourteen types in all, along with their incidence counts ("token counts"). David appears as four simple word types, as two spellings in coordination phrases, and as part of eight prepositional phrases, one being coordinated. How is one to identify the forms making up phrases (written as single orthographic words) without relying on knowledge of some set of form classes and hence knowledge of a "hidden" taxonomy?

| בְּ | 12 |
| :---: | :---: |
| \|דִּדְוִוּד | 2 |
| דִּדָדודר | 2 |
| Tָדִ | 466 |
|  | 215 |
| דָ | 129 |
| \|רָ| | 36 |
| דֶּ | 6 |
| \|lout | 3 |
|  | 134 |
| לִלִדִוִיד | 24 |
| וּרְלדִוֹדוד | 1 |
| ִיְדָודִ | 38 |
| \|ִִּדָוִיד | 6 |

There appears to be no way of segmenting the text that does not finally rely upon at least some underlying taxonomic theory. Three further facts lessen the limitations that this imposes.

First, much text segmentation activity involves affixes that are members of uncontroversial closed classes of limited membership. One can explicitly state just which affixes are involved and what their significances are. For example, one may mechanically analyze off the eight word-initial alloforms of the coordinating conjunction 1 , and. If one considers all word-initial instances of $\mathbf{7}$-plus-vowel to be coordinating conjunctions, then one will correctly isolate 50,270 conjunctions while incorrectly splitting off the first syllable of twenty-four nouns, sixteen proper and eight common, an error rate of $0.05 \%$. If one is willing to exploit morphology and/or context, then one can avoid even these few errors.

Second, much segmentation can be based upon observations regarding inflection rather than upon word/form distributions. Consider, for example, the problem of analysing off the definite articles with $\boldsymbol{\pi}$. If we segment off the coordinating conjunctions, then there are about 28,500 items with word-initial $\underset{\sim}{ }$, $\underset{T}{ }$, or $\underset{\sim}{ }$. About eighty-four percent of these are definite articles, more than ninety-nine percent of which precede substantives. Of the sixteen percent which are not definite articles, three-quarters are the initial parts of verbs. All this
suggests that analyses of inflections should enable segmentation in those cases where inflections are available.

Third, the goal here is not to devise a taxonomy of biblical Hebrew ab initio. Rather, the goal is to assess, adjust, and refine traditional taxonomies. ${ }^{34}$ I have already reported in some detail one foray into this area, my work on computing a squish for biblical Hebrew based upon one expert's taxonomic labelling of the text. ${ }^{35}$ The derived squish was used to produce a "squish space" wherein the nature of Hebrew form classes could be studied as regards their mixed-ness and their gradience behaviour.

Missing Punctuation-We will see that some analyses rely on knowing the boundaries of the main clauses in the text. It is easy to show that relying on punctuation in the form of verse terminators and atnabs to mark clause boundaries is inadequate. For example, consider the main clauses in Genesis. On our analysis, Genesis contains 4,353 main clauses. It has 1,537 verses. Clause onset follows verse offset 1,474 times ( $96 \%$ ). We find 878 atnaḥ in Genesis. Clause onset follows atnab 682 times ( $78 \%$ ), not very reliable. To make matters worse, main clause onset is associated with neither verse onset nor atnab slightly over half of the time. These error rates are too high to allow us to use these so-called "built-in" clause boundary markers. We shall therefore use the boundaries that we have determined via other routes. ${ }^{36}$

## 2. Computational Approaches to Taxonomy

### 2.1 The Role of Pattern Recognition

The Text-As our text, we take the ketib text of B19a, omitting all Aramaic verses. ${ }^{37}$ A word is any sequence of consonants and vowels that is separated from its neighbours by spacers (space, maqqeph, and verse ending). The text of the Hebrew Bible, thus defined, consists of 300,669 words ("tokens") and 51,286 "types."

How Pattern Recognition Enters the Picture-We want to see if the words of the text divide into natural sets ("word classes"). As observed above, we might try to group words on the basis of word-internal characteristics. Groupings might be sought on the basis of category-valued features, such as word-endings (י).- and i-); they might be sought on the basis of numerical-valued features, such as the number of consonants in the word. These sorts of features relate to morphology, to derivation, to inflection.

[^6]Alternatively, we might try to group words on the basis of the contexts in which they appear. In traditional biblical studies, grouping has been carried out through a tedious, and typically incomplete, gathering of examples of this characteristic and that.

There is a highly developed branch of computer science, pattern recognition, one of whose principal foci is the allocation of objects, described by features, into natural classes. Pattern recognition underlies much automated medical diagnosis, speech recognition, handwriting recognition, and so on. ${ }^{38}$

I have elsewhere described three ways in which pattern recognition can be used in biblical text studies: ${ }^{39}$ for classification, for clustering, and for seriation (ordering in time). To date, pattern recognition methods have mainly been (mis)used in authorship attribution studies. ${ }^{40}$ But they have also been used in studies of part-of-speech incidence and ordering ${ }^{41}$ and in the grouping of text portions on the basis of their orthography. ${ }^{42}$

### 2.2 Unsupervised Learning

Where classification is concerned, a major divide exists between methods that involve "learning with a teacher" (also called supervised learning) and those that involve "learning without a teacher" (also called unsupervised learning). In the former, one knows the number and nature of classes that the problem involves, and one has a teaching dataset in which each object of interest is labelled with its true class. In the latter, one knows neither the number nor nature of the classes, and therefore no labelled data are available. A great deal of work on supervised part-of-speech labelling has been done. ${ }^{43}$ The investigations reported in this essay are based upon unsupervised learning.

### 2.3 Two Basic Approaches to Unsupervised Learning

I will rely on two approaches to unsupervised learning: geometrical and hierarchical.
In the geometrical approach, plots of the data are made in an attempt to make similar objects (in our case, words) close to each other. Human analysts must examine the plots and decide if words cohere together sufficiently to be declared members of word classes. Class membership is only implicit in the plots.

In the hierarchical approaches, the relatedness of words is made explicit by means of tree diagrams quite akin to family trees. In these approaches, possible allocations to word classes are explicit.

[^7]Both sorts of method take any dataset and produce implicit or explicit classes. This is the case even if the data are devoid of meaningful structure. ${ }^{44}$ Therefore, an absolutely critical phase of the analysis process is the validation of results. Validation is carried out either by computing quantitative measures of adequacy or by varying the parameters of the analysis to see that the outcomes are robust with respect to variations.

Preferred Geometrical Approach-In this and the following subsection, we will see how a set of measurements made on thirty irises (sepal length and width, and petal length and width), ten from each of three different varieties (Setosa, " S "; Versicolor, "C"; and Virginica, "V"), can be used to infer "iris classes." We know which variety of iris each set of measurements corresponds to. This allows us to judge how good a job our unsupervised learning algorithms do in grouping the data. A few of the measurements (in cm ) are:

| Sepal Length | Sepal Width | Petal Length | Petal Width |
| :---: | :---: | :---: | :---: |
| 5.0 | 3.5 | 1.3 | 0.3 |
| 4.5 | 2.3 | 1.3 | 0.3 |
| 4.4 | 3.2 | 1.3 | 0.2 |
| 5.5 | 2.6 | 4.4 | 1.2 |

Each of the irises is characterized by four numbers, so each iris can be represented as a point in a four-dimensional space. Visualizing how objects (in this case, flowers) are grouped together in a space of more than three dimensions is an ability granted to few mortals. We need a way of "projecting" the data onto a lower dimensional space so we can see how the objects are distributed. This is where the geometrical approaches to visualizing data come into play.

The two most-used geometrical approaches are classical multidimensional scaling ("CMDS") and non-metric multidimensional scaling (or ordinal scaling). In projecting datapoints from a higher to a lower dimensional space, CMDS keeps the distances between the objects in the low-dimension space as close to that in the high-dimension space as possible. Ordinal scaling keeps the ordering of the distances as little changed as possible. Comparisons of these competing methods have been made, and ordinal scaling proves to be superior. ${ }^{45}$ In this essay, therefore, we will rely on ordinal scaling.

It would be beyond the scope of this essay to discuss how ordinal scaling works. ${ }^{46}$ Suffice it to say that when we supply the four-dimensional iris data to the ordinal scaling algorithm, ${ }^{47}$ the data project onto two dimensions as shown in Figure 2.

[^8]Were we ignorant of the actual varietal labels on the data, we likely would conclude that the dataset consisted of two clusters, a compact one (the Setosa variety, S ) and a diffuse one (the other two varieties, C and V ), since the data for the C and V varieties are closely adjacent in the figure.

Knowing the identity of the various data points, however, allows us to add two appropriately positioned straight lines ("linear discriminants") to the figure. (Note: adding the discriminant lines to the plot is supervised learning.) We see that the V variety of irises lies above the upper line, the $S$ variety lies below the lower line, and the C variety lies between the two discriminating lines. Having been given only the four measurements for each of the thirty flowers, the ordinal scaling algorithm has grouped the flowers into their natural classes. Measurements for some new iris would position it into one of the three zones, thereby determining its (we hope) proper class.


Figure 2. Results of Ordinal Scaling of Iris Data

A quantity called Kruskal's stress tells how distorting the scaling process is in projecting the data from a higher to a lower dimension. The table below shows the goodness-of-fit
achieved by ordinal scaling as a function of the level of stress. ${ }^{48}$ For the ordinal scaling result in Figure 2, Kruskal's stress is 2.1 \%, an excellent result.

| Stress (\%) | Goodness-of-Fit |
| ---: | :--- |
| 20 | Poor |
| 10 | Fair |
| 5 | Good |
| 2.5 | Excellent |
| 0 | Perfect |

As a further check on the adequacy of this analysis, we have varied the distance measure used and have also carried out the analysis via CMDS, yielding essentially unchanged results.

Preferred Hierarchical Approach-Unsupervised hierarchical grouping methods are known as clustering methods. There are two types: divisive (or "top-down") and agglomerative (or "bottom-up"). At the start of divisive clustering, one's data in toto are considered to make up a single class. The clustering procedure peels off objects from the class until each object is a singleton class. In agglomerative clustering, the procedure is reversed. One begins with as many classes as there are objects. One then combines nearest neighbours one at a time to form composite classes, until all of the objects have merged into a single cluster. In this essay, all of the clustering is agglomerative.

The agglomerative clustering process is summarised via a tree or dendrogram. The tree has a single root node from which emerge branches ("edges") which successively ramify until the leaves of the tree are reached. Each data point occupies its own leaf. Along one side of the tree is a scale. When two items merge (be they leaf items or sub-class items), the edge which joins them is positioned opposite the scale reading which corresponds to their distance apart. Figure 3 shows the dendrogram for the iris data.

In the figure, the root is the "stub" at the far right; the leaves run down the left side of the figure. The two objects closest together are the third and fourth C from the bottom of the figure; their distance apart, as read from the scale, is 0.21 units. The $S$ at the very top of the figure is 1.24 units from the cluster of nine other $S$ objects that it merges with. The tree hierarchy defines anywhere from thirty clusters (the thirty leaves) to one cluster (the root). If we cut the tree with the vertical line labelled B, then we get two clusters: all of the Ss are one, and all of the Cs and Vs are the other. If we cut the tree with the vertical line labelled A , then we obtain three clusters: all of the Ss, all of the Cs, and all of the Vs. The number of clusters defined by the cluster diagram (tree) depends on where we place the cut-line.

[^9]

Figure 3. Dendrogram for Iris Data
The standard index of adequacy of clustering is called the cophenetic correlation coefficient. ${ }^{49}$ This index varies between minus one and plus one. The closer the value is to plus one, the higher the fidelity of the clustering. When the coefficient exceeds 0.8 , "the distortion is not great. ${ }^{50}$ For the clustering shown in Figure 3, the cophenetic correlation coefficient is 0.88 , good. The limited adequacy of the clustering is revealed by the fact that varying the measure of distance among the original data points preserves the clustering, ${ }^{51}$ but changing the definition of the distance among sub-clusters does not. ${ }^{52}$

In the remainder of this essay, we will study the taxonomy of the words and forms making up the Hebrew Bible using the geometrical approach (ordinal scaling) and hierarchical approach (agglomerative clustering) introduced above. Readers desiring a fuller account of these methods should consult the references provided along the way.

[^10]
## 3. Inferring Word Classes from Word Contexts

In this section, we examine to what extent word classes can be inferred from contextual information. As was noted above, by working with orthographic words, we are ignoring the important facts that biblical Hebrew is an agglutinating language and that the presence of word-initial dagesh lene is determined by the prior word.

### 3.1 Characterizing the Local Context

Speciffing the Local Context—Table 1 shows the first seven rows of a very long list holding the text of the Hebrew Bible along with the local context of each word. The column headed focus word lists the 300,669 orthographic words making up the ketib text of the Hebrew Bible, Aramaic verses having been deleted. To the right in the column headed $n-1$ is the full text pushed down by one word. This column holds the pre-context of each word in the focus word column. To the left of the focus word column, in the columns headed $n+1, n+2$, and $n+3$, is the text pushed up by one, two, and three words, respectively. These columns hold the post-context, post-post-context, and post-post-post-context.

| $n+3$ | $n+2$ | $n+1$ | focus word | $n-1$ |
| :---: | :---: | :---: | :---: | :---: |
| Nֵ/ | אֶלֹהִים | בָּרָא | בְּרֵאשִׁית |  |
| הַּשַַָּׁיםם | אֵת | אֶלִיִים | דָּרָ | דִּרֵאשִׁית |
| וְאֵת | הַשָׁמַּיֵם | אֵת | אֶלִיֵים | דָּרָ |
| הָאָרץ | וְאֵת | הַשֵַָּׁׁים | Nֵת | אֶלִּדים |
| ְִדָּרָרֶ\| | הָאָרֶך: | וְאֵת | הַשָׁמַיִם | אֵת |
| הָיְדָה | וְהָֹרָּ | הָאָרֶץ | ִִיאֵת | הַשָׁמַתיִם |
| תהוּ | הָיְתָה | וְהָּרָּ | הָאָרץ | וְאֵת |

Table 1. Focus Words and Contexts for Gen 1:1
In some analyses of word classes, only the pre-context and the post-context are used. ${ }^{53}$ In my previous work on the taxonomy of the Hebrew Bible, it was found that using the one word before (pre-context) and the three words following (post-, post-post- and post-post-post-context) as the full local context worked best. ${ }^{54}$

Quantitating the Local Context-For statistical analyses of the sort introduced above, we need to represent the context information quantitatively. One way to do this is to tally up for each of the focus words how many times each word occurs in the context positions (positions $n$ $1, n+1, n+2$, and $n+3$ ). For each distinct focus word (each "type") and each context position,

[^11]we have a list holding the relevant counts. Example: for focus word אַבְרָהָה, the postcontext position holds the word אַבְרָהָהם twice, since Abraham follows Abraham precisely two times in the Hebrew Bible.

But there is a problem here. For reliable statistical analyses, sample sizes must be reasonably large. In the full listing, of which Table 1 is the initial fragment, there are 29,889 words that occur only once, the bapax legomena. Whenever a bapax is the focus word, each of its four context lists can hold only a single "1." For these nearly thirty-thousand focus words, the data are as small as they can ever be. Statistical inferences based on such samples cannot be reliable. To encourage meaningful inferences, we must consider as focus words only those that occur sufficiently often. Various researchers have concluded just how much data are sufficient in various ways. For this work, I follow Schütze's lead and work only with the 250 most frequent words. ${ }^{55}$

Most Frequent Words—To identify the 250 most frequent words, one proceeds as follows: ${ }^{56}$

- Sort the words of the text.
- Count how many times each word occurs.
- Sort the count-labelled list from most frequent to least.
- Identify the 250 most frequent words.

Tables 2 a and 2 b list these words, each preceded by its incidence count. The arrows embedded in the words show our standard segmentation, about which more will be said below.

The Word Data Array - To obtain the word data array, we replace each word in Table 2 by its position in the ranking. Thus, אֶת is word \#1, while עָּלָ is word \#250, and so on. For each context position, we produce a 250 -by- 250 array of counts. The rows correspond to the 250 most frequent focus words and the columns to the 250 most frequent context words. A cell in the $i^{\text {th }}$ focus-word row and $j^{\text {th }}$ context-word column holds the count of how many times that context word appears in the specified context position of the focus word. The full word data array is obtained by laying the four context arrays side-by-side, yielding a 250-by-1000 array.

The Distances among the Focus Words-The next step is to compute the distances among the 250 most frequent focus words. The pattern recognition literature gives many ways of defining the distances among objects. ${ }^{57}$ We use the Manhattan metric, ${ }^{58}$ the distance between points when one always moves parallel to a coordinate axis.

[^12]| 6718 | אֶת | 588 | אֲנִי | 336 | בְּכָ | 246 | אֵל | 198 | יוֹסָף |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 6007 | יהוה | 578 | אַל | 335 | ִִִי | 243 | לִמַַַּ | 197 | בְּיוֹם |
| 4808 | אָשֶרך | 577 | לַי＇יהוה | 335 |  | 242 | שִׁלֹלֹה | 196 | לִל |
| 4344 | כִּי | 515 |  | 335 | הָיָה | 241 | כֹל | 195 | לָכָּ |
| 3540 | אֶל | 509 | שָׁם | 334 | נָא | 241 | ִִּיַּד | 194 | יִוֹשׁׁעַ |
| 3482 | עַל | 489 |  | 326 |  | 241 | זָּרָ | 193 | מִצְרָים |
| 3248 | ל | 486 | כֹֹ | 321 | אוֹ | 240 |  | 193 | אָבִיֹ |
| 2559 | כָּל | 482 | אַתָה | 320 | אֵלֶּה | 239 | יוֹם | 192 | ִִִֵֵלִל |
| 2259 | יִשְׂרָּלִל | 474 | אֶחָד | 313 | הַּהוּאוֹ | 239 | דִבְרֵי | 192 | צִוָּה |
| 1964 |  | 466 | לָּכֶד | 312 |  | 239 | מַה | 192 |  |
| 1797 |  | 466 | דָּוֹד | 301 | מִאוֹת | 236 |  | 190 |  |
| 1583 |  | 458 | הַּיוֹם | 301 |  | 229 |  | 190 |  |
| 1283 | ִּנִניֵ | 447 | הִנֵּה | 300 | ליך | 229 |  | 190 | ל－ |
| 1229 | בֶּן | 443 | ִִם | 297 | אֶתֶּכֶם | 228 |  | 188 | אוֹתָּם |
| 1130 | אִישׁ | 440 | 睘 | 294 |  | 226 | אֵליך | 188 |  |
| 1044 | הַּזֶּלךד | 440 | אֹתוֹ | 293 | אָנִִִי | 221 |  | 188 | לֶנִ |
| 1029 | צַד | 439 | 「＇！ | 290 | ְֶאֹד | 221 |  | 188 |  |
| 1027 | ל ל | 437 |  | 289 | ִִצְרַּם | 221 | אֶלֶך | 187 | הִיא |
| 934 | הָּרָרֶץ | 429 |  | 284 | אֹתָם | 219 | הֵּדָּדה | 185 | נָתַן |
| 905 | ֶֶלֶך | 421 | שׁׁנָּ | 284 | בְנֵי | 217 | הַּדָּרָ | 184 | עִִַַּיִ |
| 865 | הוּא | 420 | אֲדַּנָּ | 282 | צְבָאוֹת | 216 | זֹאת | 184 | דָּבֶל |
| 862 | בֵּית | 416 |  | 281 | ה | 215 | דָרִידיד | 183 | ְִִִּּנִי |
| 856 | לִ׳יאמף | 403 | עָלָידיד | 279 |  | 214 | עוֹלדם | 182 | הַּכְֹֹּּנִים |
| 789 | אִם | 400 | ִִֹהָיָּ | 276 | אַחֲרֵי | 213 | אַתֶּם | 180 | הַגּוֹיִים |
| 782 | וַּיִיִי | 398 | אֶלֹלֵי | 274 | הָאִלֵּה | 211 |  | 180 | בַּת |
| 743 | הָּעָ | 396 | כַּן | 274 | טוֹב | 211 |  | 180 | ַַּרְעֹ |
| 726 | מִשֶׁה | 394 | עוֹד | 273 | ֶֶ | 209 | ַַּיֵּלִ\％ | 179 | לֶחֶם |
| 699 | הַּזֶּ | 379 | אֶרֶץ | 271 |  | 207 | סָבִיב | 178 |  |
| 697 | אָמַר | 372 | נִִִֵם | 269 | דָיִִים | 206 | רוּחַּ | 174 | רַבִּים |
| 683 | יָהוּדָה | 370 |  | 269 | הַּזֹאת | 205 |  | 174 | הֶם |
| 679 | אֶלֹדִים | 365 | הָּאָלֹלִים | 269 | כָל | 204 | עֶשְׁרִּם | 174 |  |
| 638 | לָּהֶם | 359 | אֵין | 269 | －יִעְקִ | 204 | לַּעִשׁׂוֹת | 173 | בִּתֹתוֹךְ |
| 622 |  | 359 |  | 266 | פְּנֵי | 202 | 1－1曲 | 173 |  |
| 621 | לִן | 358 | עָשָׁה | 263 | אַהְרִֹ | 202 | וַּיָּקרָׁא | 173 | עַם |
| 605 | $\cdots$ | 355 | הַּכֹּהֹן | 254 | תַתַת | 201 | עָ | 173 | נַפְשִׁיִ |
| 605 | אֵת | 355 | שׁׁאוּל | 249 |  | 200 | ִדִֶּּרֶ | 173 | בָּא |
| 596 | ִ？ | 344 | אָדָם | 247 | ִּ | 199 |  | 171 | עָלֶיֹה |

Table 2a． 250 Most Frequent Words

Classifications，＂ $11^{\text {th }}$ European Conference on $A I$（1994）：535－39．

| 171 |  | 163 | קוֹל | 156 |  | 143 | שֶׁן | 136 | עָּלַיִ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 170 | הַבַּּית | 162 |  | 155 |  | 142 | קדֶׁש | 136 | ִִיר |
| 168 | מַיִים | 162 | הִנִנִִי | 154 | צִּםּוֹ | 140 | דָּרָר | 135 | הַָּּיִּים |
| 168 | אַנִשִׁיִי | 161 | מוֹעֵד | 153 |  | 140 | יִירוֹשָּלָם | 134 | עֶשְׂרֵה |
| 167 | בֵּין | 159 | לִּבֵית | 153 | אֵׁ | 139 | אַבְרָהָּם | 134 | לִ? |
| 167 | דֶרֶּ | 158 | אַךְ | 152 |  | 139 | הַּיָּם | 134 |  |
| 167 |  | 158 | ִִּאֶּרֶץ | 152 | רֹאׁ | 139 | דִּדֵּנֵינֵ | 134 | שֶׁבַע |
| 167 | הָּאִישׁ | 158 | בִּנִוֹ | 148 |  | 139 | אַחַת | 133 | הַּדְדָּרִים |
| 166 | מוֹאָב | 157 | לִלִלוֹלם | 147 | גד | 139 | עַתָּ | 132 | שָׁרִיר |
| 166 | הָיוּ | 157 | שִׁם | 147 | אִּשָּהּ | 139 | עָשּׁ | 132 |  |
| 165 | אַלִיִיֶם | 157 | יד | 147 |  | 139 |  | 132 | וַַּיַּ |
| 165 | ¢ַ | 157 | הּ | 146 | ַַּלִוִיִּם | 138 | הָהָּדָ | 131 | כֶּסֶך |
| 163 | שְׁנֵי | 156 | דּדבר | 145 | מָה | 137 | י | 131 | עָּלַיִ |

Table 2b. 250 Most Frequent Words

### 3.2 Ordinal Scaling of Focus Words Using Their Contexts

Figure 4 shows the results of the ordinal scaling of the 250 most frequent focus words. ${ }^{59}$ The box-outlined portion of the plot with heavily overlapping labels is shown magnified in Figure 5.

An examination of the words in the first and second quadrants of both plots (above the x -axes) reveals that most of those words are traditional substantives. One notes further that words for numbers are concentrated in the second quadrant. Continuing around counterclockwise, one encounters a group of freestanding prepositions (at about the 8 o'clock position). Next come the verbs, and finally come various quasiverbals and "particles." ${ }^{\circ}$ That is, as one moves counter-clockwise from the positive x -axis, one encounters various traditional parts of speech in this sequence:

$$
\text { substantives }<\text { prepositions }<\text { verbs }<\text { quasiverbals \& particles }
$$

In my paper on squishes and clines, based on expert-assigned part-of-speech labels and exploiting the method of seriation, ${ }^{61}$ I inferred this part-of-speech squish for biblical Hebrew:

$$
\text { substantives }<\text { nouny verbs }<\text { prepositions }<\text { verbs }<\text { quasiverbals }<\text { "ragbag" }
$$

The similarity of the two squishes is gratifying.

[^13]
Figure 4. Ordinal Scaling Plot: Full View of Words


We assess the adequacy of the projection from one-thousand dimensions to two by computing Kruskal's stress. We find that its value is $25 \%$. This indicates that the goodness-of-fit is poor. ${ }^{62}$ This is borne out by the fact that objects that uncontroversially share a traditional word class (and that therefore should congregate together in the plot) can be scattered in our display. ${ }^{63}$

### 3.3 Clustering Focus Words Using Their Contexts

Clustering on the basis of the city-block distances among the focus words yields the massive tree "micro-printed" in Figure 6. ${ }^{64}$
The Enigma of Chaining-The impossibly minuscule tree is shown for a minor reason and for a major reason. The minor reason is to identify the positions of five sub-trees that we will display and discuss below. The double arrows down the left side of the figure identify these sub-trees.

The major reason is that the tree nicely illustrates an important phenomenon that needs to be discussed: chaining. Note how the clustering in the upper half of Figure 6 involves the successive accretion of single words or pairs of words. The cluster "grows progressively larger through the annexation of lone objects that have not yet been clustered." ${ }^{55}$ This phenomenon, whereby a single cluster "snowballs" one or two objects at a time is termed "chaining." (Note that chaining is considerably less evident in the lower half of the figure.)

A much debated controversy in the literature on clustering is whether chaining is an artefact produced by the clustering procedure(s) used or is a valid representation of the structure of the objects being analyzed. Some procedures (for example, "single-link") have a tendency to exhibit chaining. Procedures devised to overcome the chaining behaviour often introduce their own sets of problems. ${ }^{66}$ There are researchers who argue forcefully that chaining behaviour is desirable. "It is no coincidence that the proponents of single linkage clustering have been, for the most part, working in the field of numerical taxonomy." ${ }^{\prime}{ }^{67}$

If the objects being clustered lie in a long chain (such as might be expected for a squish or cline structure), then chaining might be expected. In the case of a squish, one would expect the words or forms, on average, to be annexed to the chained cluster in the order that they appear along the squish/cline. But, if the objects being clustered are compactly grouped in tight spherical clusters, then one would view any chaining as spurious, possibly the result of noisy data. The usual visual model of clusters that practitioners of the art operate in terms of

[^14]is the tight spherical clusters image. But we have evidence from our previous research that squish behaviour may be a genuine linguistic phenomenon.


Figure 6. Dendrogram for 250 Most Frequent Words

When we expand the dendrogram in Figure 6 so that we can read the word labels on its leaves, we find that the annexation of words in the upper part of the figure consists mostly of substantives but with an occasional verb intruder. We might or might not infer that a squish is being built up. (But we already know that the orthographic word approach cannot be fully reliable.)

In Figure 6, the dendrogram cophenetic correlation coefficient is 0.89 , a surprisingly high value. Since the clustering criterion chosen ("average linkage") is resistant to chaining, the coefficient value suggests that the data may indeed lie in a linear continuum ("squish").

Some of the sub-trees in the lower half of the figure are quite interesting. The five double arrows (a-e) in Figure 6 delimit the five sub-trees that are shown in Figures 7-11.


Figure 7. Substantive Sub-Tree

Some Comments on the Sub-Trees-We intermingle a few comments on Figures 7, 8, and 11.

Figure 7: (i) The third word from the top, $\zeta$ כֵּ, is a possible interloper amidst the thirty-two substantives in this sub-tree. It is usually considered an adverbial. ${ }^{68}$ (ii) The normal and pausal forms of Jerusalem are separated. (iii) Note how the defective and plene spellings of David enter the sub-tree.


Figure 8. Preposition Sub-Tree
Figure 9. Particle Sub-Tree

Figure 8: Note that three prefixed forms of כָל are part of the freestanding preposition subtree. ${ }^{69}$

[^15]

Figure 11. Mixed-Bag Sub-Tree
Figure 11: This sub-tree has been included to show a situation where the clustering has gone awry. There are eighteen preposition-plus-pronoun-suffix words. There are also eight words whose inclusion seems inappropriate.

## 4. Inferring Form Classes from Form Contexts

In this section, analyses parallel to those in the previous section are carried out, this time based on the text segmented into forms. In the process, the three complicating factors discussed in section 1.4 are appropriately dealt with: agglutination, orthographic variations, and missing punctuation.

### 4.1 Focus Forms and Focus Context

As before, the text is derived from $\mathrm{B} 19^{a}$. Ketib readings are not replaced by qere readings. Aramaic verses are omitted. ${ }^{70}$ To neutralize the sample-diluting effects of agglutination, forms are created by dissecting affixes. ${ }^{71}$ For similar reasons, form-initial dageshim are deleted. Segmentation and dagesh deletion reduce the proliferation of form types. For example, after segmentation and dagesh deletion, the fourteen word types involving David tabulated earlier reduce to the two forms דָוָיד kept. ${ }^{72}$ The data are also augmented by marking main clause boundaries on the basis of our parsing. ${ }^{73}$

As a result of these data preparation steps, the text for analysis consists of 524,412 form tokens and 28,756 form types. (Preparation achieves a highly desirable $74 \%$ increase in corpus size [by form-token count] and a similarly desirable $44 \%$ decrease in lexicon size [by form-type count].)

The form contexts for Genesis 1:1 are as shown in Table 3. These are analogous to the word contexts shown in Table 1. Note that the form-initial dageshim have been deleted in the table.

Analysis proceeds along the same lines as in the previous section with one addition. Because small data samples lead to unreliable statistical inferences, we censor (delete) ten forms whose contexts have fewer than thirty high-frequency neighbours. ${ }^{74}$ Because the text size by form token counts is $74 \%$ larger than that by word counts and the lexicon size is $44 \%$ smaller, one expects the form-based analysis to be more reliable than the word-based analysis.

[^16]| $n+3$ | $n+2$ | $n+1$ | focus form | $n-1$ |
| :---: | :---: | :---: | :---: | :---: |
| אֶלִֹיגים | בָרָּ | רֵאשִׁית | ִ |  |
|  | אֶלִּהִים | רָרָא | רֵאֹאִיִית | רִ |
| הַ | Nֵת | אֶלִּיגים | רָךָא | רִאֹשִיִית |
| שֶַַׁיִם | הַ | Nֵת | אֶלִּיגִים | רָרָא |
| $!$ | שָׁיַיִם | הַ | Nֵת | אֵלִלִים |
| Nֵ, | $!$ | שׁׁמַיִם | $\underline{1}$ | Nֵת |
| T |  | ! | שֵַׁׁיִם | ה- |
| Nָ\% | Ț | אֵת | $!$ | שָׁיַיִם |
| : | אָרֶ | הT | Nֵ, | $!$ |
| $!$ | : | אָרֶץ | Ț | Nֵת |
| Ț | $!$ | : | אָרֶץ | Ț |
| אָּ | TT | $!$ | : | אָרֶ |

Table 3. Focus Forms and Contexts for Gen 1:1

### 4.2 Ordinal Scaling of Focus Forms Using Their Contexts

Figure 12 shows the results of ordinal scaling of the censored 250 most frequent focus forms. Kruskal's stress for this scaling is almost $22 \%$, slightly better than that of the result for words shown in Figure $4(25 \%)$ but still poor.

As was found with words, the forms organize themselves into a squish. As one moves counter-clockwise from the positive x -axis, one encounters first substantives (in the upper two quadrants), ${ }^{75}$ then prepositions, then quasiverbals and particles, and then verbs. The quasiverbals and particles and the verbs are reversed from what is found in Figure 4 above. Until the phenomena that produce the squish behaviour are discovered, studied, and understood, the significance-if any-of this permutation can not be assessed.

[^17]

Figure 12. Ordinal Scaling Plot of Frequent Forms

### 4.3 Clustering Focus Forms Using Their Contexts

As with word-based analysis, each context array first has its rows normalized by their average values. Then all four simple contexts are combined to create an overall context array. From this array, the Manhattan distances among the forms are computed. These distances are then used by an average-link hierarchical clustering algorithm to produce Figure 13. The cophenetic correlation coefficient for this tree is 0.8 , barely acceptable. ${ }^{76}$ The double arrows down the left side of the figure show the four sub-trees that are magnified in Figures 14 through 17.

[^18]

Figure 13. Dendrogram for 250 Most Frequent Forms

Figure 14 shows the upper arrowed sub-tree from Figure 13. Five additional verb forms have been added to the ten in the sub-tree in Figure 10. All of the verbs are prefix forms. Notice that the last verb form to join the cluster is יַַַן. This likely is because the dagesh-deleted form is a homograph. In the fully labelled text, the form is a verb 112 times, a preposition nine times, and a subordinating conjunction ninety times. The problem of homography will be addressed in a subsequent essay.

Figure 15 shows the lowest arrowed sub-tree in Figure 13. It consists of sixteen prepositions, up from eleven in the word cluster in Figure 8. Other prepositions appear elsewhere in Figure 13, as parts of extended sub-trees and also scattered about.


Figure 14. Verb Form Sub-Tree
Figure 15. Preposition Form Sub-Tree

Figure 16 shows the second arrowed sub-tree in Figure 13. It consists of ninety-six forms, predominantly substantives. Its congener from word analysis, shown in Figure 7, has only thirty-three words. About midway down in the sub-tree is a pair of pronoun suffixes dislocated from the lowest part of the sub-tree. Why? Below the two suffixes we see the substantive-derived adverbial form מְאֹד.


Figure 16. Substantive Form Sub-Tree

The third arrowed sub-tree in Figure 13 is given in Figure 17. The seven "particles" in wordbased Figure 9 are now thirty-nine in form-based Figure 17.


Figure 17. Particle Form Sub-Tree

This particle sub-tree includes many forms of interest. Note, for instance, the following phenomena:

- Two clause-initiating forms are grouped together appropriately: אֲשֶׁ (the embedded clause introducer) and : (the parsed clause boundary).
- The common suffixed verb form רָא he came (196 times) is puzzlingly grouped with the particle
- A cluster of five free pronouns is included. Why?
- טוֹב good is grouped with כֵ thus. Why?
- The form 7 is isolated from its mates. This likely is because the form is homographic when dissected off. It is the $3^{\text {rd }}$ sing. pronoun suffix 7 - his/bim or, when its dagesh is restored, is word-initial -7 and.
- Eight verb forms, five of them derived from היה, make up a cluster at the bottom of Figure 17. Why are these verbs here, and why is יְיה in Figure 14?
- Four forms that we would expect to be in this particle sub-tree are elsewhere in Figure 13: כֹה thus, לָכ no, and לוֹא therefore, The positions of these forms in the tree need to be explained.


## 5. Final Comment

Using only the incidence counts of the words (forms) in the local context of focus words (forms) in the Hebrew Bible, we have grouped the most frequently occurring focus items using both geometrical and hierarchical methods. For both sorts of objects under both sorts of analysis, the resulting groupings show promise but exhibit oddities that must either be accounted for or eliminated. Much of our difficulty results from the fact that we are trying to squeeze much insight from little data. We cannot increase the amount of data, but we can implement ways of handling the data whereby more of it is exploited by our analyses. In future work, we shall do just that.

> "It is ironic that the first thing one learns can be the last thing one understands." -Mark C. Baker"7

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# CHAPTER 2 <br> Alpha Privatives in the New Testament Epistles 

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#### Abstract

Greek words beginning with alpha privatives are particularly common in the Pauline epistles. These caused problems for the translators of the Peshitta since Syriac lacked an equivalent way of prefixing a negative to words. The Syriac renderings of alpha privatives display a range of interesting phenomena, including use of a positive Syriac word for a negative Greek one, word order disturbances, and semantic loss.


## 1. Introduction

This paper considers a particular feature of the Greek language that appears to have provided the Peshitta translators with some difficulty. Specifically we consider the Greek alpha privative-a feature of lexical formation whereby the letter alpha (or alpha-nu) is prefixed to a Greek form and the form is thereby negated. This is especially common in the epistles, which in this article should be understood as referring solely to the 13-letter Pauline corpus.

Before focussing on this rather specific topic we need to step back and look at the larger picture provided by Syriac translation literature. Syriac, of course, has a vast range of translation literature made on the basis of Greek Vorlagen. Syriac is essentially a Semitic language even if in its middle phases it departs from classic Semitic patterns of vocabulary formation. In its prehistory and early phases before the rise of Arabic it was in considerable contact with the Indo-European language Greek.

However, as a Semitic language Syriac was not initially particularly receptive to compounding vocabulary, that is, making a new word by putting two words together or by simple addition of an affix to a word. On the other hand, Greek, just as other IndoEuropean languages, regularly accepted vocabulary compounding and added affixes to roots to produce new vocabulary. Semitic languages do use affixes, prefixes, and certain suffixes, though these tend to be associated with various arrangements of consonants and vowels within the root. Compounding is generally rare. Consequently translation from Greek into Syriac means translation from a language open to compounding into one much less open to this.

If one ignores multilingual inscriptions involving Semitic and Indo-European languages (for example, the Karatepe inscription in Phoenician and Luwian or the Behistun inscription in Old Persian, Akkadian, and Elamite), one can see that in fact Greek-to-Syriac translation literature is one of the first corpora in history to result from translation from an IndoEuropean into a Semitic language. The process is therefore highly interesting to observe both in regard to the development of translation method and also in regard to the peculiar problems it throws up. Any lexicographical project on Syriac needs to recognize this issue and to consider its significance for Syriac lexicography.

Here we will focus on the case of alpha privatives in Greek. They are one of the simplest illustrations of translation problems caused by fundamentally different structures between the source and target languages.

Indo-European languages generally have a negative prefix. Perhaps this was originally vocalic $n$, but it developed into - $u n$ in English and German, -on in Dutch, -in in Latin and $a$ or an in ancient Greek. The prefix readily attaches itself to verbs, nouns, adjectives, and adverbs to negate them, and provides great enrichment to the vocabulary of the Indo-European languages. As well as "happy" we can be "unhappy" as well as plain "sad." It establishes antonymic relationships, but also provides a ready mechanism for two or more words to stand in different antonymic relationships to a single item of vocabulary.

By my count about 130 of the vocabulary items in the Pauline epistles commence with an alpha privative. Of course, because the productivity of this privative element stretches over centuries or even millennia it is often the case that its privative sense has been lost. It is thus unlikely that speakers of Greek at the time of the New Testament, or at the time when the Greek New Testament was translated into Syriac, felt that the alpha beginning $\dot{\alpha} \lambda \eta \dot{\eta} \theta \varepsilon 1 \alpha$ "truth" was in fact a negative, which countered a notion of "forgetfulness." Reaching a
 "idle" really still felt to be antithetical to $\check{\rho} \rho \gamma o v$ "work," and what of $\kappa \alpha \tau \alpha \rho \gamma \varepsilon ́ \omega$ "to annul," which added the $\kappa \alpha \tau \alpha$ - prefix to this already negated root? My reckoning therefore of 130 words with a potentially semantically active alpha privative has therefore not reached the number of alpha privatives which are such by etymology. This introduces a rather subjective element into my analysis, though this probably will not matter when we consider the larger picture.

In order to specify the problem that occurs when translating words with alpha privative into Syriac, the first thing to note is that there is no Syriac prefix which can negate in the manner of the Greek prefix. In this respect Syriac is not dissimilar to other Semitic languages. Syriac, unlike Greek, does not tend to define words by the negative relationship they have to another item of vocabulary. To be sure there is the negative $V$, which is graphically freestanding. Negativity therefore can be and often is rendered in translation. But this particle does not generally form the same sort of liaison with a word as the IndoEuropean negative prefix. If we consider the Greek alpha privative lexemes in the Pauline corpus we see that almost as many of the lexemes are translated without a negative as are rendered with one. In fact if one were to open a Greek Pauline corpus and choose an
example of an alpha privative at random there is only a $44 \%$ chance that in the Peshitta it will be rendered by a construction with a negative. ${ }^{1}$ In each case of an alpha privative in the Greek text it is more likely than not that it will be rendered without a Syriac negative.

This no doubt can be explained by a certain semantic leeching of the negative already having occurred within the Greek-the alpha was no longer felt to be negative, but this also testifies to the considerable translation challenge that the alpha privative represented.

## 2. Translation Methods Considered More Closely

Here we consider specific strategies for translation more closely.
Aside from simple negative and positive renderings there were also other devices. $\dot{\alpha} \sigma \omega \tau i \alpha$ twice is rendered by the loan $\mid L$ ofool, and a series of four alpha privatives are sometimes rendered with some compound of مسمی: "lacking" or ILo: مسم: "lack:" $\dot{\alpha} \varphi \rho o \sigma v ́ v \eta$


A common strategy when rendering these words was to use a simple Syriac negative. Thus $\ddot{\alpha} \theta \varepsilon o \zeta$ in Eph 2:12 becomes simply adi $ل$, "without God." This strategy involves the least structural change between Greek and Syriac, even if an adjective becomes an adverbial phrase. The negative is most likely to be expressed in Syriac when a closely related word lacking the alpha is also in regular use, or when the negative word is used close by its positive equivalent. However, it is not always possible to predict where the Syriac negative will be positioned, and even when Syriac does have a negative, semantic developments can be detected.

Thus in 1 Cor 7 the single word $\alpha \not \gamma \alpha \mu o \varsigma$ has four occurrences and four different renderings:


```
1 Cor 7:11 \(\dot{\alpha} \gamma \alpha \mu \sigma\) s \(=\) lind,
```




As well as supplying the gender of the marriage partner, the Syriac also introduces a possessive construction for the male and a "being possessed" construction for the female, despite the tensions this raises with Paul's insistence on mutual possession in 1 Cor 7:4.

A group of lexemes may be regularly rendered with a negative. The strongest group here consists of $\dot{\alpha} \pi \varepsilon i ́ \theta \varepsilon 1 \alpha$ "disobedience, unbelief," $\dot{\alpha} \pi \varepsilon 1 \theta \dot{\varepsilon} \omega$ "disobey," and $\dot{\alpha} \pi \varepsilon 1 \theta \eta ́ s$ "disobedient," with 13 negative renderings and no positive ones. For $\dot{\alpha} \pi \varepsilon i \theta \varepsilon \varepsilon \alpha$ in its four occurrences there is even a negated noun: /La a reflexive stem is surprisingly complex alongside much of the rest of the vocabulary of the

[^20]Peshitta．The only similar rendering in the Peshitta epistles is the compound ال for $\dot{\alpha} \theta \alpha v \alpha \sigma$ í＂immortality＂in 1 Cor $15: 53$ and $15: 54$ ．Moreover，the negative is closely bound to the following form，even if it does not form one graphic unit with it．Here V is beginning to move towards the territory of the Greek alpha privative，and to fill a role for which it was used more regularly from the sixth century on．

At the other end of the spectrum there are words with alpha privatives that are never rendered with a negative．The group of＂unstrong＂words，namely $\dot{\alpha} \sigma \theta \varepsilon ́ v \varepsilon 1 \alpha$＂unstrongness，＂ $\dot{\alpha} \sigma \theta \varepsilon v \varepsilon ́ \omega$＂to be unstrong，＂$\dot{\alpha} \sigma \theta \varepsilon ́ v \eta \mu \alpha$＂unstrongness，＂and $\dot{\alpha} \sigma \theta \varepsilon v \eta \varsigma^{\prime}$＂unstrong，＂is such with 42 positive renderings and no negative ones．Here the original Greek force of negated strength was not felt，and the words were most usually rendered by the Syriac root krh， which probably involved a move in the direction of＂illness，＂which was not always so clear in the Greek．

The group $\dot{\alpha} \delta ı \kappa \varepsilon ́ \omega$＂to be unjust，＂$\dot{\alpha} \delta 七 \kappa i ́ \alpha$＂injustice，＂and $\ddot{\alpha} \delta$ ıко̧＂unjust＂was never rendered by a negative，but had 23 renderings of positive vice．Here Syriac contrasts with English translations that regularly use＂iniquity，＂with its transparently negative derivation．

Instructive is also the pair $\dot{\alpha} \kappa \alpha \theta \alpha \rho \sigma i ́ \alpha$＂uncleanness＂and $\dot{\alpha} \kappa \alpha \dot{\alpha} \theta \alpha \rho \tau$ os＂unclean＂with 12 positive renderings and no negative ones．Here we are reminded that the Syriac，like the Hebrew Old Testament，had a binary opposition between＂purity＂and＂filth，＂rather than one where the category to be avoided was defined solely in terms of not being in the other category，i．e．＂impure＂or＂unclean．＂There is no purity－impurity opposition or cleanness－ uncleanness opposition，where a category is defined in terms of the other．Categories are defined in terms of what they are，rather than in terms of their relationship with another category．

Between the＂unbelief＂group，which is always rendered by a negative，and groups like the＂unstrong＂and＂unclean＂groups where a negative is never used we naturally have groups whose representation is more mixed：the translators could not decide whether or not to represent their negativity．One such is the＂lawless＂group：$\dot{\alpha} v o \mu i \alpha$＂lawlessness，＂$\alpha$＂vo $\mu \mathrm{o} \varsigma$ ＂lawless，＂àvó $\mu \omega \varsigma$＂lawlessly．＂
$\dot{\alpha} v o \mu i ́ \alpha$ is always rendered positively，$\dot{\alpha} v o ́ \mu \omega \varsigma$ in its double occurrence in Rom 2：12 by a negative．övo $\mu \mathrm{o}$ 丂 is rendered both positively and negatively．

Translators similarly could not decide on the translation of the pair $\dot{\alpha} \delta 1 \dot{\alpha} \lambda \varepsilon 1 \pi \tau \sigma \varsigma$ ＂unceasing＂and $\dot{\alpha} \delta 1 \alpha \lambda \varepsilon i ́ \pi \tau \omega \zeta$＂unceasingly，＂namely，whether prayers（or grief）took place ＂unceasingly＂（Rom 9：2； 1 Thess 5：17），or simply＂continually，＂that is，人）（1 Thess 1：2； 2：13； 2 Tim 1：3）．The solution in Rom 1：9 was a doublet translation where $\dot{\alpha} \delta 1 \alpha \lambda \varepsilon i ́ \pi \tau \omega \varsigma$ became بلا alo＂without ceasing，all the time．＂It may be that the positive form of this goes back to an Old Syriac version of the epistles and the negative form to the Peshitta＇s revision．

We saw how the word $\ddot{\alpha} \gamma \alpha \mu \mathrm{\sigma}$＂＂unmarried＂was always rendered by a negative，but that ideology could be seen in the choice of negative construction（in that case one expressing male ownership of the female）．Such ideology may even be the deciding factor between whether a positive or negative construction should be used．Take the two lexemes
$\dot{\alpha} \varphi t \lambda \alpha ́ \gamma \alpha \theta$ os "not loving good" (2 Tim 3:3) and $\dot{\alpha} \varphi \lambda \lambda \alpha ́ \rho \gamma \nu \rho o \varsigma ~ " n o t ~ l o v i n g ~ m o n e y " ~(1 ~ T i m ~$ 3:3). The two words are strikingly similar in composition: alpha privative, the element $\varphi \lambda \lambda$ for "love" and the third element of the thing loved. We might therefore expect similar renderings in the Peshitta. However, whereas the Peshitta to 2 Tim 3:3 says that in the last times people will be الْ متس "haters of good things" (the positive vocabulary item "hate" being used), in 1 Tim 3:3 the qualifications for an overseer are merely that he is لl "not loving money." Overseers will be relieved to know that they do not have to bate money, and the world outside stands condemned of hating good things rather than just not loving them.

The lack of decision on the part of the translators about whether to use a negative or a positive in some cases does not mean that we cannot discover rules for their action or motives for the renderings. On the whole negatives will be represented where they are important for the structure of an argument. Structural factors dominate, with an occasional subconscious ideological nudge.

Just how predictable the translators may be is seen in the case of the group of words for "ignorance" or "non-knowledge:" $\dot{\alpha} \gamma v o \varepsilon ́ \omega$ "to be ignorant," $\alpha \not \gamma v o l \alpha$, and $\dot{\alpha} \gamma v \omega \sigma i ́ \alpha$ both "ignorance." The negative element in this group was widely felt and therefore represented. Perhaps it is hard to represent "non-knowledge" as anything other than the negation of knowledge. But "non-knowledge" as an abstract cannot be expressed in Syriac at the time of the translation of the Peshitta.
 |Ar "because there is not in them knowledge." It is not that "non-knowledge" is in them, but rather that "knowledge" is not in them. The Syriac simplifies to reach the underlying meaning. Similarly Paul's "Some have ignorance/non-knowledge of God" in 1 Cor 15:34 almost necessarily becomes something like "Some do not have knowledge of God."

The occurrences of the verb $\dot{\alpha} \gamma v o \varepsilon \in \omega$ are generally simpler to represent than the nouns meaning "ignorance." Obviously, whereas the Syriac lacks a word for "non-knowledge" it can simply negate the verb "know" with the particle $ل$. Eight occurrences of the verb $\dot{\alpha} \gamma v o \varepsilon \varepsilon^{\prime} \omega$ are thus safely rendered. What rather complicates things is the Pauline penchant for double negatives. He says: ov̉ $\theta \dot{\varepsilon} \lambda \omega \dot{u} \mu \hat{\mu} \varsigma \dot{\alpha} \gamma v o \varepsilon i ̂ v ~(R o m ~ 1: 13 ; ~ 11: 25 ; ~ 1 ~ C o r ~ 10: 1 ; ~ 12: 1 ; ~ 1 ~ T h e s s ~ 4: 13 ~$ v.l.) or ov̉ $\theta \dot{\varepsilon} \lambda$ о $\mu \varepsilon v$ v́ $\mu \hat{\alpha} \varsigma ~ \alpha ̀ \gamma v o \varepsilon i ̂ v ~(2 ~ C o r ~ 1: 8 ; ~ 1 ~ T h e s s ~ 4: 13 ~ v . l) ~ o r ~ o v ̉. ~ \gamma \alpha ̀ \rho ~ \alpha v ̉ \tau o ̂ ̂ ~ \tau \alpha ̀ ~ v o \eta ́ \mu \alpha \tau \alpha ~$ $\dot{\alpha} \gamma v o o u ̂ \mu \varepsilon v$ (2 Cor 2:11). Since Syriac has no negative prefix other than $V$, to represent the Greek alpha as well as the other Greek negative would require the use of Syriac $V$ twice. The

 $(1 \times)$. The pattern is completely consistent.

## 3. Word Order Disturbances

We consider now another kind of translation difficulty relating to alpha privatives: it seems that alpha privatives have been at the root of a number of disturbances in word order.

The first type of word order disturbance is easy to describe and was discussed in Foundations for Syriac Lexicography I in connection with pair-reversal in Syriac translation. ${ }^{2}$ It has been observed that there is a tendency in Syriac translations to reverse pairs of items relative to their Vorlage. ${ }^{3}$ One instance in which a clear cause could be described, was when the first Greek word in a pair had an alpha privative. If this alpha privative were rendered by $ل$ and the order of the pair were kept the same, then there would be a risk that the negative would be understood as applying to the whole phrase. Early Syriac translations avoid this by placing the negated word second in the pair even though it is first in Greek. I gave some examples of this in the Gospels:


 9:41 Syrs), and (Lk 9:41 Syrc).

To these we may now add the following examples (not just from the Pauline corpus):
Rom 10:21
$\pi \rho o ̀ s ~ \lambda \alpha o ̀ v ~ \dot{\alpha} \pi \varepsilon 1 \theta$ oôv $\tau \alpha$ к $\alpha \grave{~ \alpha ̀ v \tau ı \lambda \varepsilon ́ ~} \gamma \circ v \tau \alpha$

1 Pet 1:8
$\chi \alpha \rho \hat{a} \alpha \dot{\alpha} v \varepsilon \kappa \lambda \alpha \lambda \eta ́ \tau \omega$ к $\alpha$ ì $\delta \varepsilon \delta o \xi \alpha \sigma \mu \varepsilon ́ v \eta$

I am now wondering whether it is correct to place these with the rest of the pair reversals, or whether they might not be treated as a separate category. It is possible to find re-orderings involving negatives but which are not strictly related to pairs. The same constraint, however, applies: if the negative had appeared too early in the phrase it was in danger of being understood to apply to more words than it should have done.

Consider the following examples:

> $\pi v \varepsilon$ v́quтоя $\rightarrow$ (1 Pet 3:4)

[^21] (1 Pet 5:4)

 (Titus 2:7)
Here the equivalent of $\dot{\alpha} \varphi \theta$ opí "incorruptibility" is delayed so that the two negatives are grouped at the end (where they will not interfere with other phrases).

This calls for some sensitivity when we decide which words are equivalent to which in the original.

## 4. SEMANTIC LOSS

We need to take seriously the possibility of semantic loss or shift when an alpha privative is rendered into Syriac. The following is an illustration:

The word $\dot{\alpha} v 0 \pi$ óк $\rho \iota \tau$ о̧ "unhypocritical," "unfeigned" can be rendered into Syriac using a negative (Rom 12:9; 2 Cor 6:6). However, it is part of the group that translators may also

 considerably more general than the Greek.
 I:iniali, "the true God." Thus, whereas the Greek denies "pretence" on one occasion and "lying" on another, the Syriac generally affirms truthfulness-it lacks the specificity of the Greek. Moreover, the rendering in Titus 1:2 "true God" may also allow the understanding "true God" as opposed to "false god" and does not make the sense "truth-telling God" sufficiently explicit.

We now consider another example of semantic shift. The word dóópatos "unseen," "unable to be seen" is a perspicuously negative word. It is thus rendered by negative words in Col 1:15, 16, and 1 Tim 1:17. It is particularly important to use the negative in the occurrences in Colossians since dóópa o̧ occurs alongside its positive counterpart ópatós. However, a different route is taken in Rom 1:20. There $\tau \grave{\alpha} \alpha \dot{\alpha}$ ópa $\tau \alpha$ "the unseen things" of God become in Syriac |حمتر "hidden things." This is not an isolated rendering since it is also found in the Syriac version of the Ignatian correspondence (to Polycarp, ch. 2). Why is something "unseen?" Has it necessarily been "hidden?" Or are we wrong to translate as "hide?" Should Qumranologists really render nistärōth as "hidden things?" Or is it inevitable that in languages that do not readily form negated vocabulary such concepts as "unseen" should be rendered by vocabulary that seems active to us. Such words that we may render
"hidden," however, may not be so active: they may not have quite the same connotations of an act having been carried out to reach the state. "Hidden" is simply "not seen."4

## 5. CONCLUSIONS

Unlike Greek ones, Syriac lexemes will tend to be made of words based on roots that do not by visual or audible structure express a semantic opposition to other words. The words tend to be defined in their own right, rather than by opposition to other words. This may raise questions for our understanding of these terms. For instance, should our glosses on these words in our dictionaries use fewer terms with affixed negatives than we do, say, in rendering Indo-European languages? Are these words covering wider areas than we have been prepared to admit (witness the example of the verb "hide")? Is there a way of recognizing that words like "true" are necessarily multivalent, potentially fulfilling more functions than an equivalent word in an Indo-European language? What are we to do with the fact that negation has so often been dispersed to a part of the phrase where there is no equivalent negative in Greek? At what point should we consider the prefix $V$ to be part of a lexeme? The cases of "unbelief" and "incorruptibility" show a close liaison between $V$ and what is classically considered a lexeme. More such liaisons would be formed in the century or so following the translation of the Peshitta as increasingly specific theological vocabulary was made to represent Greek theological vocabulary. An argument in favour of considering such terms as single lexical items would be if there were a lack of occurrences of the "positive" form without the negative.

As we consciously reflect on the problem of the alpha privative, we cannot be sure whether Syriac translators in antiquity saw the problem as we do. Nevertheless, we can see that this morpheme did indeed cause them to have recourse to a surprising range of different strategies in translation.

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# CHAPTER 3 <br> Form and Function in the Treatment of the Passive Participle 

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The transitivity, intransitivity, stativity, or passivity of a verbal form affects the number and nature of elements in its valence pattern, that is, elements occurring along with it in a grammatically well-formed sentence. The more elements required, the more "verbal" the form is considered to be. The opposite is also true: the fewer the elements, the less inherently verbal the form is taken to be. Thus our judgement in classifying verbal forms is affected by the class of verbs to which a form belongs. Whole sets of verbs have ended up in classical lexica listed without certain paradigmatic forms, for example, participles, while the form corresponding exactly to the participle is given as a separate entry and called an adjective. Yet the latter function does not satisfactorily account for all occurrences of the form.

Due to their passive nature, passive participles tend to function attributively, but this is not the case everywhere and at all times. It is the "Doppelnatur" of the participle which allows for the variety in its syntactic functions, but this does not change its part of speech. For lexica to be consistent in their treatment of language data, the systematic functioning of elements within the whole of the language must be kept in focus.

In constructing a lexicon, various principles can be followed. Usability and systematic elegance are both worthy goals. The effects of the two can be in conflict in the practical treatment of language data. Alternative treatments of the passive participle are presented and the effects are compared. Suggestions are made for preserving the best of both approaches.

## 1. The Problem

While trying to formulate what might be the basic issues underlying the various treatments of the participle in lexica, it seems to me that these are related to the context in which one chooses to operate when producing a lexicographical work. On the one hand, because of the

[^23]desire to be easily accessible to the student, there is the tendency to assign a specific function to a particular form encountered. Because a lexicon by its nature focuses on separate words, this approach is attractive and can appear to have few drawbacks. On the other hand, when seeking to serve the more advanced scholar, there is the necessity of placing a form within the context of the language system as a whole, and, even beyond that, of placing it within the context of how language systems operate in general.

In the end, there is no real need to polarize these two approaches. It is possible to preserve the benefits of each approach and to build a lexicon which both accommodates the beginner and satisfies the more advanced scholar.

To understand the approach I advocate for the treatment of the passive participle in Syriac, it is necessary to look beyond the passive participle at all participles and beyond Syriac at other languages with comparable phenomena. I would not go so far as to say that I place it "in relation to a unified theory of the structure of human behaviour," as Kenneth Pike did when presenting his linguistic theory (tagmemics), ${ }^{2}$ but I would like to treat it in relation to a unified theory of the structure of linguistic behaviour rather than to concentrate on isolated occurrences of the passive participle within a limited selection of texts from a single language.

The motivation for such an approach arises not only from my own fascination with general linguistics, but also from the practical demands of the research project with which I work, in which we attempt to let the computer analyze language data on the basis of formally recognizable patterns.

Many of us will remember how Terry Falla described the turnabout in his approach caused by the remark of Francis Andersen: "If it is a noun, call it a noun; if it is an adjective, call it an adjective." Previously, however, I have suggested almost exactly the opposite approach, namely, treating the participle according to its form as a part of the verbal paradigm and deriving functions on the basis of a single set of syntactic rules applicable in all cases. ${ }^{3}$

As I understand the approach suggested by Andersen, a single form will have as many lexical entries as it has functions. For the participle this could add up to as many as four: verb, noun, adjective, and sometimes even adverb, that is, when functioning as a subjectoriented adjunct. Furthermore, some participial forms would be given more, and others fewer entries depending on what is encountered in the selection of texts on which the lexicon is based. What is attractive in the approach suggested by Andersen is that in a specific case the user is supplied a particular function chosen by the lexicographer in his or her unfathomable wisdom as being applicable in that exact case, but only if the lexicon happens to have covered the material the student is interested in. Practically speaking, there are a number of drawbacks to this approach:

[^24]- the separate entries for a single form would appear to be homonyms, which they are not;
- the number and types of entries are limited to the exact selection of texts upon which the lexicon is based and would need to be adjusted and expanded for other texts, that is, the scope of applicability of such a lexicon would be limited;
- the fact that there is a single, universally applicable set of syntactic rules which determine the function of participles is not reflected by having a separate entry for each function.

In considering the fate of passive participles in lexica, it appears that some always end up as adjectives, while others score more highly at being presented under the verbal entry. To what are the scholars reacting in making such distinctions among the passive participles? On what basis, for example, is 0 "being written" accepted as a form of the verb $\boldsymbol{\sim}$, while the form حسص, corresponding to the paradigmatic form of the passive participle, occurs as a separate entry, and the verb حاa , "to be evil; to seem evil," has no form listed for the passive participle?

The transitivity, intransitivity, stativity, or passivity of a verb itself affects the number and nature of elements governed verbally. The more elements that fall under this verbal government, the more "verbal" the form is perceived to be. The opposite is also true: the fewer such elements there are, the less inherently verbal the form is taken to be. Thus our judgment in classifying verbal forms is affected by the class of verbs to which a form belongs. Whole sets of verbs have ended up in classical lexica listed without certain paradigmatic forms, in particular, the participle, while the form corresponding exactly to the participle is given as a separate entry and called an adjective. Though, due to their passive nature, passive participles tend to function attributively, this function is not satisfactory for all occurrences of the form. ${ }^{4}$

Still another factor might be playing a role. It seems that the grammar of the language in which the lexicon or grammar is written affects the value given to verbal forms in the source language, as seems to be the case in the following explanation of certain passive participles:

> Note especially the syntagm - <br>へへ, which has the same value as the Engl. (Present) Perfect I have written, expressing a result, and what follows the preposition represents the subject of the verb: $\downarrow$ "many are things that we have done." Also with a passive Ptc. in Pa. or Af.: ... مصدا بaعر| بمعمر حه "the peace treaty which I have concluded with our lord the Emperor."5

[^25]The literal translations supplied by Nöldeke for his examples of passive participles seem to reflect more consistently the inherent nature of the Syriac construction:

A favourite mode of employing this Part. includes mention of the agent introduced by $\mathbb{Q}: \ldots$. stand with it as grammatical subject; but such may also be wanting, so that the form of the verb may be impersonal; thus it may be formed even with intransitive verbs:
 13, $8 \ldots{ }^{8}$
The crux of the matter is that participles-both active and passive-have the potential to function as verbs, both in independent and in dependent clauses, as nouns, as adjectives, ${ }^{9}$ and even as adverbs (subject-oriented adjuncts), as can be seen from the following examples:

|  | active participles | passive participles |
| :---: | :---: | :---: |
| verb | 000مسم 000 حه حـحمة\| "and they covered him with garments" (1 Kgs 1:1) |  <br> "and Ahijah was clothed with a new garment" <br> ( $1 \mathrm{Kgs} \mathrm{11:29)}$ |
| noun | مana "true believer" |  <br> "the treasure house of Nathan, the king's minister" (2 Kgs 23:11) |
| adjective |  <br> "an erring spirit" (Isa 19:14) | هـمتا גحت:\| مهتتا <br> "idols fashioned and false" Anc. Doc. 42, $22^{10}$ |

For an example of a participle as a subject-oriented adjunct functioning adverbially in the sentence we turn to Hebrew, since for this Syriac characteristically uses a construction involving the particle , so that the syntactic relationship with the larger context is mediated by the particle.

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ויתן אל־הגר שם על־שכמה
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[And Abraham rose up early in the morning, and took bread, and a skin of water,] and gave (it) to Hagar, putting (it) on her shoulder (Gen 21:14)

Thus, due to its being both nominal and verbal, as can be observed in its morphology, the participle can participate in a wide variety of syntactic contexts. What determines its function in a particular instance is the element which governs the participle syntactically, and the

[^26]elements which fall under the syntactic government of the participle itself. The language deals with these multiple functions systematically, that is, the syntax in which a form appears designates the function which the form has at that point. To avoid creating new syntactic rules for each instance, a single set of rules, covering the syntactic environments in which a participle occurs, has been developed. The rules work as a fall-through system, ${ }^{11}$ that is, a structure lodges where its syntactic characteristics match the description, rather like a coin counter where a coin lodges in the counter at the position matching its dimensions. In each particular instance the form falls through the set of rules and lodges in the position matching its syntax. The syntactic function is assigned on the basis of the structure involved.

My approach to the participle was developed for Hebrew data in which the participle exhibits great flexibility in its syntactic function. Although it appears that the Syriac material makes a proportionally heavier use of fewer of the syntactic rules, the Syriac material still fits into the more extensive set of rules, and the versatility of a participial form is manifest in the Syriac data as well.

## 2. The Core of the Participial Construction

At the core of a participial construction is the participle itself with its potential to govern elements verbally. In 1 Kgs 1:1 "him" and "with garments" are verbally governed by the verb "cover." Together with the elements governed by it verbally, the participle is governed by elements in the broader context from which derives its final function.

Elements which manifest characteristics of more than one lexical category require that the different components be accounted for in the analysis and that the scope of the syntactic effects of a particular category be indicated. The basic structure of linguistic rules here employed is that of a head expanded by other elements at various levels manifesting particular relationships to the head:

$$
\begin{array}{ll}
\text { XP } \rightarrow \text { Spec X" } & \begin{array}{l}
\text { A phrase can have a "specifier," e.g., the subject of a VP or the } \\
\text { definite articles in a NP }
\end{array} \\
\mathrm{X} " \rightarrow \mathrm{X} " \mathrm{YP} & \begin{array}{l}
\text { A phrase can have non-obligatory expansions, e.g., adjuncts of a } \\
\text { VP or attributive or appositional phrases in a NP }
\end{array} \\
\mathrm{X}^{\prime} \rightarrow \mathrm{X} \mathrm{YP} & \begin{array}{l}
\text { The head of a phrase can have obligatory expansions, e.g., } \\
\text { complements of verbs and, in Semitic languages, the nomen rectum }
\end{array} \\
\text { following the nomen regens }
\end{array}
$$

Alongside these structures there is also the coordinated phrase structure in which two or more phrases which do not govern each other are joined by a conjunction. This will be noted here as:
$\mathrm{CjP} \rightarrow \mathrm{XPCj} \mathrm{XP}$ phrases, not governing each other, joined by a conjunction

[^27]In work done by Lappia and Voskuil ${ }^{12}$ on nominal infinitives it has been proposed that elements with both nominal and verbal characteristics be accounted for in terms of head movement. Within a noun phrase, a lexically empty zero-level category with nominal properties is assumed, which can govern a verbal category. The head of the verbal phrase is moved to the empty zero-level category with nominal properties where it assumes these properties. The rule has a verbal dimension justifying the presence of the verbal aspects of the form and a nominal dimension accounting for its nominal characteristics.

This rule seems to work well to account for the syntax of participial constructions. It can be depicted as follows: ${ }^{13}$

[participle] [trace]

By head-to-head movement, the head of the VP moves to the head of the NP and acquires nominal properties, leaving a coindexed trace $(t)$ behind. This trace maintains the verbal government over the elements belonging to the participle in its verbal properties. In the diagrams, levels which are not filled in the structure being discussed will not be depicted.

## 3. Fall-Through Rules

A short list of questions provides the "fall-through" route for processing the participle. They are presented here one by one and accompanied by examples:
(1) Are there elements present which belong under the verbal government of the participle?
$\rightarrow$ Take these elements along as one syntactic package belonging under the verbal government of the participle.

[^28]

This construction could then be embedded within a nominal environment, for example, in the hypothetical sentence: "Those covering him with garments left the room abruptly," where the participial construction with its verbally governed elements would function as the subject of the sentence. Admittedly, in English we need an additional pronominal element "those" or "the ones" to make the example work; in Hebrew the added pronoun would not be necessary.

Besides elements which the participle governs verbally, there are other elements syntactically related to the participle.
(2) Are there elements present syntactically related to the participle within the nominal phrase structure?
$\rightarrow$ The participle has a non-verbal function within the larger context, though it still verbally governs the elements as described under Rule 1.

In cases where there are lexically filled nodes within the noun-phrase structure, the participle remains verbal within the smaller context, but is nominal or adjectival within the larger context. The choice between a nominal and an adjectival function is again determined by the elements under whose government the participle occurs.

In Example 2, the participle has a lexically filled node occurring higher in the NP hierarchy (the adjective) and cannot therefore function as a verb within the larger environment. Here it is nominal in function because it is a noun that can be expanded by an adjective. The phrase as a whole can occur in various positions within a sentence (subject, object, object of a prepositional phrase, and so on).


Example 2.
2 Kos 23:11

left to right:
 house-of treasure


مas.anمر minister


بمهرا
of the king
"the treasure house of Nathan, the king's minister"
Example 3.

That a passive participle can function in like manner is illustrated in Example 3.
In Example 4, an active and a passive participle both function attributively.


## Example 4.

The head of a nominal phrase can be expanded by apposition or attribution, among other things-here the two participles which expand the head of the NP are functioning either appositionally or attributively. If the participles are to be taken as appositional, they would be functioning as nouns and the translation would be slightly different: "idols, those fashioned and erring ones," which is rather cumbersome.

After perusing the nominal phrase environment, we move on to the main predication of the clause within which the participle occurs.
(3) Is the main verbal node of the clause lexically filled?
$\rightarrow$ If the main verbal node is filled by some verb other than "to be," then the participle is not the main verb but functions as part of some constituent belonging under the verbal government of that verb.

In Example 5, a participle in the construct state verbally governs a direct object, while simultaneously being governed by another verb within the syntactic hierarchy.

It could even be that the embedded nominal structure in which the participle finds itself occasions its appearance in construct state. More examples are needed to confirm this suspicion (compare similarly $1 \mathrm{Kgs} 2: 7$; $2 \mathrm{Kgs} 23: 4$ ).

2 Kgs 24:16
left to right: م>>
all-them


men making

I
"all the war-making men, the king of Babylon brought them [in captivity] to Babylon"

## Example 5.

Returning to question 3 above, there is another possible answer:
$\rightarrow$ If the main verbal node is empty, that is, is filled by the Ø-copula or if the main verbal node is filled by a form of the verb "to be" and there are no lexically filled nodes in the NP structure in which the participle occurs, then the participle is the head of the predicate complement and can be reinterpreted as the main verb of the sentence functioning together with a form of "to be" when present.

[^29]
## 4. Copula Constructions

To justify the proposal above, we make a short diversion to explain this treatment of "to be" and the verbless clause. Whereas most verbs define the sort of situation in which the subject is to be found or the relationship obtaining between the subject and the verbal complements or adjuncts, the copula allows for a great diversity in the relationships between the subject and the predicate complement. This has led some linguists to posit several separate copulas in the lexicon to cover the diverse relationships between the two elements, for example, relationships of identity, class membership, existence, location, and so on.

Peculiar to the copula is that both subject and predicate complement agree in number, gender and case (where applicable). In treating copula structures, Heggie proposed a "unified approach" to the copula where "to be" is taken to be an element which selects a small clause (also known as the verbless clause) as complement. ${ }^{15}$ Heggie extends her analysis of copular constructions to cover data from Modern Hebrew in which the copula is absent. For these she posits a non-overt copula which operates as the lexical copula does, producing canonical clausal structures. Elements within a proposition lacking a verb have similar syntactic relations to one another as do elements within propositions containing a lexical copula. This has led me to assume the presence of a " $\varnothing$-copula" for propositions lacking a verb. Whether the " $\varnothing$-copula" should be assumed in a particular case is determined on the phrase and clause boundaries, that is, whether the phrases involved constitute an independent proposition or whether they merely further modify one another within a larger syntactic unit.

In Example 6, the participle is clearly embedded within the predicate complement. Here the participle with a verbal adjunct is subject to the government of other elements higher in the syntactic hierarchy, in this case to the preposition 0 which functions as the predicate complement of the copula. The subject of the small clause is here co-indexed with the Spec (subject) of the VP higher in the hierarchy.

[^30]1 Kgs 2:7

left to right:
(000
[they] shall-be
"[But show kindness to the sons of Barzillai...] and let them be of those that eat at thy table"

## Example 6.

## 5. The Possibility of Reanalysis

When no nominal nodes occurring between the participle and the main verbal node are lexically filled and the main verbal node is either empty (the "Ø-copula") or filled by a form of "to be," then the participle can function either as a part of the predicate complement or as the main verb, with or without the presence of the verb "to be." That there can be a choice between the two options is due to the possibility that a syntactic structure can undergo reanalysis. Reanalysis takes place as a language changes through time, one of the dynamic principles behind language change. ${ }^{16}$ As illustration, let us compare two Hebrew examples:

[^31]Gen 1:6 ויהי מבדיל בין מים למים
lit:: "and it shall be a division between water and water" (without reanalysis)
or: "and it shall be dividing between water and water" (with reanalysis)
Isa 59:2 בי אםשונתיכם היו מבדלים בינכם לבין אלהיכם
lit:: "but your sins were dividing between you and your God" (with reanalysis)
While in Gen 1:6 traditionally the translation without reanalysis has been chosen, in Isa 59:2 the translation without reanalysis is not to be expected in a translation of this verse ("your sins were a division between ..."). Considering how the participle, with or without the copula, came to function as the main predication in post-biblical texts, it is not surprising to note this process already going on within the Old Testament. The point here is that both structures are treated with the same analytical procedure and the interpretation or reinterpretation of the same formal elements is assumed to belong to the dimension of diachronic development.

Returning to Syriac, we consider the broader context of 1 Kgs 1:1 already discussed above:

1 Kgs 1:1

left to right:
[they] were

مصهم
covering

ح ح هحهـ
him with garments "they covered / were covering him with garments"

With no lexically filled node between the participle and the main verb, and with the main verb being the copula, the participle has no syntactic barriers to being able to function as the main predication, together with the copula.

The same can occur with a passive participle, as can be seen in Example 8.

1 Kgs 11:29


Example 8.
The passive participle structure in 1 Kgs 11:29 has one less element governed verbally by the participle (that is, "him" in $1 \mathrm{Kgs} 1: 1$ ), as is often the case with passive participles, since by nature the grammatical subject of the passive participle is the one undergoing the action of the verb. However, this does not mean that the passive participle should now be entered in the lexicon as belonging to another part of speech.

Because all participles have the potential to function as a verb, noun, adjective, or adverb, depending on the syntactic environment, it would appear to be consistent and straightforward to acknowledge this characteristic of the language system instead of trying to press these forms into a single, pre-defined function in the lexicon.

## 6. COMMENTS BY GRAMMARIANS

Considering the remarks of grammarians on this issue, it seems there is some support for this approach, that is, that passive participles should be treated as part of the verbal paradigm, including in the entries in the lexicon. Muraoka gives examples where passive forms are active in their significance:

One often comes across what is passive in form only, but active in meaning: e.g. ...
 picked up ... and carrying). ... The resultative force is apparent in intransitive verbs which, by definition, are not capable of having genuine passive forms: Spic. 43.7 مan "I have walked in instruction." In a case like the following,
 have been forgiven you." ${ }^{17}$
Nöldeke assigns a particular nuance of tense to the passive participle, by no means robbing it of its verbal status:

The Passive Participle expresses the completion of an action, and stands as a predicate instead of the Perfect, just as the Active Participle does instead of the Imperfect: ... 10 / 1 " God (=AهoLi)" Spic. 13, $17 \ldots$ what we have in all such cases is the true, resultannouncing Perfect--as a narrative tense this participle hardly ever appears.
Thus with loo a kind of Pluperfect is formed, that is, the statement of a result reached already in the Past: 000 مana "had been got ready" Ov. 172,22. ${ }^{18}$

Nöldeke also gives examples of participles passive in form but active in meaning:
 "and had not the protection of God embraced the world" Jos. St. $4,14 \ldots$ These words, however, may also be used in a true passive sense, e.g. "ممبa "taken"; ;-i>> "pulled, torn away". ${ }^{19}$
There is a point when certain forms become fossilized in a particular function, and this can also lead to a shift in vocalization. Once a form has shifted away from the vocalization as a participle and has assumed a separate vocalization as adjective or noun, it is no longer a part of the verbal paradigm and should be treated as the particular form it manifests. It would seem that in his comments on "participles used as nouns," Nöldeke fails to distinguish participial forms having a nominal function from forms which have become fossilized as nouns and have assumed a different vocalization pattern. In the list which he provides as forms which have "become nouns completely," Nöldeke enumerates:



[^32]A number of different types of data are included in this list. One formally differentiating criterion is that nouns have inherent gender while participles and adjectives have derived gender, which is therefore variable. If we compare the forms in Nöldeke's list with the entries in Thesaurus Syriacus we find:
;مسدا "friend" is listed in Thesaurus Syriacus under the verb as one of the meanings of the active participle, having variable gender;
; "shepherd" is listed in Thesaurus Syriacus both separately as a noun (with a note "= act. part. emph. st. m.") and as a participial form of the verb, both the noun entry and the participial forms are listed with variable gender;

IA "place of habitation" is listed in Thesaurus Syriacus as a separate feminine noun with vocalization which is not consistent with the participle; on the other hand, "dweller; inhabitant" is listed both as a separate noun (with note "part. emph. = subst.") and as a participial form by the verb, both with variable gender;
 nouns with vocalization deviating from that of the regular feminine participle; the gender is fixed, not variable as with a participle.

Thus various types of phenomena are here swept onto one heap. Where a form has assumed a vocalization pattern other than that of the participle, we are dealing with an independent nominal or adjectival form, but where that is not the case, it is unnecessary to deny these their participial verbal status since all participles have the potential to function as nouns or adjectives, depending on the syntactic context in which they appear.

## 7. CONCLUSION

On the basis of the systematic functioning of the participles-both active and passivewithin the syntax of the language, I propose that participles be presented in the lexicon under the verb to which they belong. Separate entries for their other functions could be given as an aid to the beginning student ("user friendliness"), but it should be made clear that the form is in fact a participle of a given verb, though its function in a given case is as indicated in that specific instance for a particular syntactic environment.

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# Chapter 4 <br>  Syntactic and Semantic Aspects 

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Reconsideration of the taxonomy, parts of speech, and the syntactic and semantic analysis underlying many individual lexemes in existing Syriac lexica will be basic to the making of a new Syriac lexicon. This paper will address some questions related to the particles: $\sim \underset{\sim}{\sim}$ and in Classical Syriac. It will argue that a syntactic analysis of these particles can go beyond the general observation that they usually come after the first word of the clause. Defining the rule for the position of these particles more precisely decreases the number of exceptions to the rule considerably. The parallels with the syntactic behaviour of Greek $\gamma \dot{\alpha} \rho$ and $\delta \dot{\varepsilon}$, too, can be described more precisely than in terms of "after the first word." As for the semantic analysis of these particles, it will show that the formal and syntactical equivalence of Syriac in and $\underset{\sim}{\sim}$ and Greek $\gamma \dot{\sim} \rho$ and $\delta \dot{\varepsilon}$ should not lead to the assumption that they are also semantic and functional equivalents, an assumption that is pervasive not only in Syriac grammars and dictionaries, but also in modern editions of the Greek New Testament.

> A mere particle? Yet a "for" can condemn or free, bistories are linked by an "and," stories turn on a "then" or a "therefore;" as for a "but," it's a wrecker's ball, a protest, a boundary, a bridge,
> a gate to a different beginning.

Terry Falla

## 1. INTRODUCTION

The present contribution deals with the Syriac particles: and $\underset{\sim}{\sim}$. It is useful to study them together because they share some characteristics of syntactic behaviour, and also because of the similar ways they have been treated in Syriac grammars and lexica. The study begins by reviewing the syntactic relationship between the two Syriac particles and the Greek
$\gamma \alpha ́ \rho$ and $\delta \dot{\varepsilon}$ respectively. It then examines from a semantic perspective the Syriac particles as Syriac terms employed by Syriac translators in Classical Syriac literature. Accordingly, the particles are studied not only as elements in a translation of an underlying Greek text, but also in their own right in their own textual contexts.

## 2. METHODOLOGY

To ensure that the sample text is sufficiently large to yield fruitful and testable results, but not unmanageable for the scope of this essay, we have adopted as a base the text of the Peshitta New Testament for the analysis in section three (Syntax), and the Peshitta text of the Four Gospels and of the Pastoral Epistles, 1 and 2 Timothy and Titus, for the analysis in section four (Semantics), though the latter analysis does extend to many examples outside the Gospels and Pastoral Epistles. Unless it is indicated otherwise, references to the Syriac text are to the Peshitta New Testament.

Where it is indicated, the Peshitta has been compared with the two extant versions of the Old Syriac, the Curetonian and the Sinaitic. Where it is necessary to distinguish the versions from each other, they are respectively referred to by the abbreviations Syrp, Syrc, and Syrs. Square brackets indicate that the Old Syriac Version they enclose is not extant. Thus Syr[clsp indicates that the Curetonian version is not extant for the reference that it distinguishes, and Syr ${ }^{[c] p}$ indicates that the reading in question is to be found only in the Peshitta, but that we should note that the Curetonian version is not extant and so cannot be checked. In addition to the texts of F.C. Burkitt for the Curetonian version ${ }^{1}$ and Agnes Smith Lewis for the Sinaitic version, ${ }^{2}$ we have employed George Kiraz, Comparative Edition of the Syriac Gospels, ${ }^{3}$ and Jerome Lund's concordance to the Old Syriac Gospels. ${ }^{4}$

A proper semantic analysis of Syriac New Testament vocables, be they verbs, nouns, adjectives, or particles, requires a detailed consideration of the Greek underlying the Syriac. The text taken as the basis of the Greek New Testament is the $27^{\text {th }}$ edition of Nestle-Aland ( $\mathrm{NA}^{27}$ ). ${ }^{5}$

In numerous instances, the Greek term underlying a Syriac term is to be found only in a variant Greek reading cited in the critical apparatus of NA ${ }^{27}$, in Aland's Vollständige Konkordanz, or in one or another of the critical editions of the Greek New Testament (Bover, ${ }^{6}$ IGNTP [International Greek New Testament Project], ${ }^{7}$ Legg, ${ }^{8}$ Merk, ${ }^{9}$

[^33]Tischendorf, ${ }^{10}$ Tregelles, ${ }^{11}$ Aland's SFG, ${ }^{12}$ Aland's SQE, ${ }^{13}$ the United Bible Societies' Greek New Testament,,${ }^{14}$ Vogels, ${ }^{15}$ and Von Soden $\left.{ }^{16}\right) .{ }^{17}$ Often it is impossible to know which of two or more Greek readings may have been in the Syriac translators' text. All such readings have been taken into account. This exhaustive approach is important if we are to gain a proper estimate of the relationship between the Syriac : and the Greek $\gamma \dot{\alpha} \rho$ and the Syriac $\boldsymbol{\tau}_{\dot{i}}$ and the Greek $\delta \dot{\text { c }}$.

The methodology adopted for the evaluation of variant Greek readings is the same as in Terry Falla's $A$ Key to the Peshitta Gospels (KPG). There are two criteria. The first is that only extant variant Greek readings are considered as potential corresponding terms. Presumed retroversions of Peshitta and Old Syriac renderings such as we find in the critical apparatus of Hermann von Soden's Die Schriften des Neuen Testaments are not included. The second criterion is that a variant Greek reading is considered only when it can be demonstrated on the basis of an analysis of the relevant data that its Syriac parallel is, in the context in which it occurs, conceivable as its translation. Accordingly, it is not the nature or extent of Greek manuscript evidence that is used as a criterion, but whether the term in the receptor language is conceivable as a rendering of the variant reading in the source text. ${ }^{18}$

To provide an accurate estimate of the relationship between the Syriac and the Greek underlying it, it is important to analyze the Syriac correspondences of the Greek $\gamma \dot{\alpha} \rho$ and $\delta \dot{\varepsilon}$ as well as the Greek underlying the Syriac and $\sim$. If this were not done, the analysis would reveal only one side of the relationship between the source and target texts and the resulting data would be distorted.

The statistics cited in this essay are based on the critical and concordantially exhaustive analysis provided by KPG for the Peshitta Gospels. For the Peshitta Pastoral Epistles they are based on a critical comparison of all occurrences of : $\sim \underset{i}{\boldsymbol{\sim}}$ and George Kiraz, $A$ Computer-Generated Concordance to the Syriac New Testament with the Greek underlying them.

Two final methodological observations are called for regarding the semantic analysis. The first is that each Syriac book has been studied as a separate entity as well as part of the prescribed corpus. The second is that : Greek, as well as compared with it. On the one hand, this is to avoid inappropriately skewing the Syriac towards the Greek, and, on the other, to seek to do justice to the nuances of the target text.

[^34]
## 3. SYNTAX: Part of Speech

 according to Brockelmann, Costaz, Falla, and Ferrer and Nogueras. ${ }^{19}$ It is called a "causal conjunction" in the Thesaurus Syriacus and J. Payne Smith's Compendious Syriac Dictionary (CSD). ${ }^{20}$ With ${ }^{4}$, there is greater variation. Brockelmann and Ferrer and Nogueras call it a "conjunction," but Falla calls it a "conjunctive particle;" Duval mentions it under "adverbes de temps" and Costaz under "les adverbes." ${ }^{21}$ Nöldeke speaks of "anreihende Adverbia." ${ }^{22}$

It is true that both $\sim_{i}$ and be used to mark the relationship between clauses and fulfil discourse functions, but they should not be called conjunctions without qualification, because they also have a function within the clause that is adverbial rather than conjunctive. A fundamental difference between conjunctions and other markers of clause relations such as connective or conjunctive adverbs concerns the relation of the word to the clause. A conjunction serves to indicate a connection between clauses, but is not part of one of them. It is not satzgliedfähig. ${ }^{23}$ Because of the fixed syntactic rules that determine the position of $\sim$ and occur, as well as elements connecting one clause to another. In other words, we recognize
 grammatical structure to which they belong, one within a clause and the other between clauses. In summary, in their function between clauses they may be accurately classified as conjunctions and in their function within clauses, as adverbs.

As the following examples demonstrate, $\sim \sim$ and $\boldsymbol{\sim}$ can occur in sentences that begin with another particle that is purely conjunctive in that, unlike : $\sim \underset{i}{n}$, it does not operate as a building block within the clause but only between clauses. This is another reason not to call $\sim$ and $\underset{\sim}{\sim}$ conjunctions, but to use a term that recognizes their dual syntactic functions and that distinguishes them from the conjunction that precedes them in the same clause. Thus we find with :
 If you love those who love you, what reward is there for you?

[^35]
Either he will hate the one and love the other, or he will honour the one and despise the other. and with ${ }^{9}$ :

After Jesus was born in Bethlehem in Judaea, during the time of King Herod
Mt 5:29 29
If your right eye causes you to sin, gouge it out.
In these cases the function of $;$ position. Let us look at another example in more detail. In 1 Tim 4:16 we find that and : $\mathrm{\sim}$ a link Clause 3 to different things: 4 in a temporal or consequential relation; and $: \sim$ to the preceding lines: ${ }^{24}$


We prefer to take as a conjunction and : as a "conjunctive adverb," and employ the latter term for both: and $\overbrace{\pi}^{0}$. "Adverb" is more definitive than "particle" in the term "conjunctive particle." ${ }^{25}$ Furthermore, the combination "conjunctive adverb" accurately represents the dual functions of both: and between clauses and "adverb" to their function within a clause. Other terminology used for these types of words includes "connective adverb," ${ }^{26}$ "connective particles," ${ }^{27}$ "cue phrases," ${ }^{28}$ or "discourse connectives." ${ }^{29}$

### 3.1 Syntactic Behaviour: Position in the Clause

Both: and $\underset{\sim}{\sim}$, follow strict rules that determine their position in the clause. In the present description we will try to define this position as precisely as possible and go beyond general statements that are found in the standard grammars.

[^36]
### 3.1.1 :

 immediately after the first word yet they may also take the place farther on." ${ }^{30}$ Studies that try to go beyond such general statements often provide lists of exceptions in which ; and/or $\underset{\sim}{\circ}$ do not follow the first word. ${ }^{31}$ Although such lists may be very helpful and accurate, we think that a more precise description of the position of $\sim$ and reducing the number of "exceptions"-is possible if we work with the concept of "phrase atom" or "minimum unit." We define phrase atoms as the smallest indivisible units of a phrase, that is, those elements that cannot be subdivided into smaller units. ${ }^{32}$ They are those building blocks of a

 appears that $\sim \sim$ and $\boldsymbol{r}_{\boldsymbol{i}}$ prefer the position in the clause after the first phrase atom of a clause, rather than after the first word. Thus if the first phrase atom consists of more than

(a) First phrase atom $=$ Preposition + Preposition + Noun

But it was not this way from the beginning
(b) First phrase atom $=$ Construct Noun + Noun

The chief priests and the elders and the whole Sanbedrin
(c) First phrase atom $=$ Preposition + Construct Noun + Noun

For out of the overflow of the beart the mouth speaks
(d) First phrase atom $=$ Construct Noun + Construct Noun + Noun

Every high priest who comes from among men
The same syntactical behaviour occurs with distributive repetition of nouns: ${ }^{34}$
1 Cor 12:7
But the manifestation of the Spirit is given to each one ${ }^{35}$

[^37]PARTICLES

Only in exceptional cases does the particle come directly after a noun in the construct state, that is, inside a phrase atom. Nöldeke gives the following example:

Now the sons of Billa3 ${ }^{36}$
In our corpus we do not find a case like this with a construct noun. But sometimes $\sim \sim$ and $\mathcal{T}_{\mathscr{i}}$ occur between a preposition and the noun they govern:

But because of bis oath and bis dimner guests be commanded it to be given her

After a long time the master of those servants returned
In other cases it intervenes in compound prepositions:

For before the law, when sin was in the world

### 3.1.2 : Precede Specifications

Phrases can be much longer than the "minimal units" defined in the preceding section. They can take all kinds of specifications which are-at least syntactically-not obligatory, like adjectives, appositions, or genitive constructions with 9 . If the first phrase atom of a clause takes one or more specifications, $\sim \sim_{i}^{n}$ and come directly after that phrase atom. Thus they occur in the following positions:
(a)(i) Before an adjective:

But a bad tree bears bad fruit

The good seed stands for the sons of the kingdom
(ii) In the exceptional cases where the adjective precedes the noun, $\sim$ and $\overbrace{\text { ? }}^{n}$ come between the adjective and the noun:

But many who are the first will be the last
(b) (i) Before an apposition:

But Simon Cephas followed him at a distance

To God our Father be praise and glory for ever and ever

[^38](ii) Before an apposition with numerals:

For I bave five brothers
(c)(i) Before a demonstrative:

Mt 6:32
For after all these things the Gentiles seek.

How is it that you don't know how to interpret this present time?
(ii) Also, when the demonstrative precedes the noun, and $\mathcal{C}_{\dot{i}}$ come between the demonstrative and the noun:

That same day Jesus went out of the house
Mt 17:21 هُ هُم
But this kind does not go out except by fasting and prayer
(d) Before a "genitive" with !:

The birth of Jesus Cbrist happened as follows

For an angel of the Lord came down from heaven
(e)(i) Before a prepositional phrase modifying a noun:

Mt 9:3
Some of the scribes said to themselves

One of those standing near drew a sword


On the first day of the week, early in the morning while it was still dark, they went to the tomb ${ }^{37}$

But unto every one of us is given goodness ${ }^{38}$
(f) Before relative clause: ${ }^{39}$

For with what judgment you judge, you will be judged

[^39]
But the things that come out of the mouth come from the heart
(g) Between an adjective and its modifier:

How much more valuable is a man than a sheep!
(h) Between a noun or pronoun and its coreferential pronoun in the Pronominal Agreement construction: ${ }^{40}$

Mt 26:24 oáa
But woe to that man

Stay in that house
(i) Obviously, combinations of the categories mentioned above occur as well. In other words, if the phrase atom takes two or more specifications, this does not affect the position of : $\sim$ nd ander the first phrase atom:

Lk 16:19
There was a rich man

### 3.1.3 Complex and Embedded Structures

In the preceding sections we defined the position of : $\sim$ and $\boldsymbol{r e l}_{i}$ in relation to the clause. A clause is any construction in which predication occurs. In many cases sentences consist of structures in which predication occurs more than once and compound or complex sentences are created. However, the rules described above still apply in these cases. Thus or on may take the second position in an embedded clause:

For whoever wants to save his life, will lose it

For whoever has, to bim will be given ${ }^{41}$
In these cases : $\sim^{n}$ and take the second position in the clause introduced by $\boldsymbol{9}$. Contrast the cases where : and take the second position in the main clause and, as a consequence, precede , given above, $\$ 3.1 .2(\mathrm{f})$.

[^40]In cases of extraposition "the second slot" can be defined in relation to the extraposed element and in relation to the main clause. In the following examples $\sim \underset{i}{n}$ and come after the first phrase atom of the extraposed element: ${ }^{42}$

But I am among you as one who serves

I have testimony weightier than that of John
Illuminating is a case like

But the woman is the glory of man
$\boldsymbol{r}$, follows the extraposed element; $\underline{(\underline{\Omega}, \text {, which also prefers the second position in the }}$ clause, ${ }_{4}^{4}$, has the second position in the main clause.

### 3.1.4

The particles: and $\boldsymbol{r e}_{\dot{i}}$ are not the only linguistic elements that prefer the slot after the first phrase atom. The same applies to the enclitic personal pronoun (= e.p.p.), the enclitic loa, and $\mathbb{Z}+$ suffix pronoun. Some of these elements may occur together. Even if the noun phrase in first position takes a specification, two elements may intervene:

For we are to God a pleasant odour through Christ
2 Cor 9:7 حـُمَهُحْا
For God loves a cheerful giver
If two or three of these elements preferring the second slot occur together, they occur in a fixed order. Thus we find the following combinations:
(a) (i) The e.p.p. and : or orchr together. In this case the enclitic comes first:
$2 \operatorname{Tim}$ 1:12
Because I know whom I have believed

An elder must be blameless
(ii) With two e.p.p.'s we find:

I know that nothing good lives in me
2 Cor 11:19 محُشُم innô
You gladly put up with fools!

[^41] $\because \underset{\sim}{n}$ or

Mt 4:18 18 范
For they were fishermen
Mk 6:18
Because John said to Herod
The position of the e.p.p. and enclitic loa before or or is easily understandable in the light of the tight connection between an enclitic element and what precedes it.
(c)(i) A small prepositional phrase and : or orcur together. If the prepositional phrase consists of $-\mathbb{Q}+$ suffix pronoun, it precedes

Mt 2:20 مُحْ
For they are dead which sought the young child's life

For this people's heart has become hardened
(ii) We have found only one exception in:

For I say to you
The phenomenon mentioned under (i) is unusual with other prepositions like Lé $\downarrow$, Ň, or even $\boldsymbol{\sim}$. Thus we find:

Jesus looked at them and said

For he sent bim backe to him
There is one exception where + suffix pronoun precedes: or oren $_{\substack{44}}^{\text {44 }}$
1 Tim 1:14
For the goodness of our Lord was abundant to me
Nor does the prepositional phrase precede : $\sim$ or $\underset{i}{\boldsymbol{i}}$ if $\mathbb{X}$ takes a noun:
Lk 7:5 حُمْ
Because he loves our people
Mk 13:9
You will be handed over to the judges
If our point of departure is the question "In what cases do : $\sim$ and not come in second position?" such cases belong to the exceptions. However, in taking a broader approach and

[^42]starting from the question：＂What elements prefer to occupy the second position in the clause，and what happens if more than one of them occur together？＂there is no longer a need to treat the examples quoted as exceptions．Various linguistic elements prefer the second slot in a clause．If they occur together，they follow an established order．In the preceding paragraph we have seen what the order is when two of these elements occur together，one of them being ：or eqe e．p．p．，enclitic log and $\mathbb{Z}+$ suffix pronoun precede ：or $\underset{\sim}{\sim}$ ．In other cases，all three elements（e．p．p．or enclitic lóa $+\mathbb{Z}+$ pronoun $+: \overbrace{i}^{n}$ or $)$ occur together．In these cases，too，follow the other elements．The enclitic comes first，preceding the prepositional phrase，for example：

Mt 3：9；5：20；12：36；18：10 ：⿳⿵冂𠃍冖又丶

Similarly with an enclitic form of loo：
Mt 7：29 مَحْه
Because he taught as one who had authority
Again，other prepositions with pronominal suffixes or $\mathbb{Z}+$ Noun do not precede ： ＋！

Because he was teaching bis disciples
Rom 10：2 مُمسْ：
For I testify about them
If a clause is introduced by a conjunction，$\sim$ and sometimes come directly after the conjunction：${ }^{45}$

If you had known
1 Cor 8：5 خُ فُحْ
For even if there are so－called gods
Contrast 1 Tim 5：8
If anyone does not provide for bis relatives
Kuty has demonstrated that in the Syriac New Testament，cases where ${ }_{i}^{i}$ introduces the clause take two different patterns：either $\tau_{i}$ retains the position it should have irrespective of the conjunction，or it is placed immediately after the conjunction．He discerns a tendency that ${ }_{2}$ getains the position when the preceding word is short（monosyllabic），but it is liable to take the slot directly after the conjunction when a longer word follows．${ }^{46}$

[^43]? PARTICLES ind

### 3.2 Comparison with Greek $\gamma \alpha \boldsymbol{\alpha} \rho$ and $\delta \dot{\varepsilon}$

In many Syriac grammars and dictionaries we find the observation that the preference of $: \sim$ and for the second position in the clause has its parallel in the use of Greek $\gamma \dot{\sim} \boldsymbol{p} \rho$ and $\delta \dot{\varepsilon} .{ }^{47}$ On the basis of our investigation we can refine this observation by noting that:
(a) The Greek particles $\gamma \alpha \dot{\alpha} \rho$ and $\delta \dot{\varepsilon}$, like : and first word. If the first word of the clause constitutes an indivisible unit with the following word(s), both the Greek and Syriac particles under discussion usually occupy the place after, rather than within, that unit.
(b) The fact that : paralleled by the behaviour of Greek $\gamma \alpha ́ \rho$ and $\delta \dot{\varepsilon}$, since both $\varepsilon i ̉ \gamma \alpha ́ \rho$ and $\varepsilon i ́ \delta \varepsilon ́$ are wellattested. ${ }^{48}$

## 4. SEMANTICS

In our examination of $\sim \sim_{\sim}^{n}$ and we now turn from syntax to semantics. As Dean Forbes and Frank Andersen show in their research in Hebrew taxonomy, syntax, and discourse analysis, particles of an ancient language can be as complex to analyze as any other part of speech. ${ }^{49}$ On the scale of syntactic and semantic significance, particles may not at first seem as important as nouns, verbs, and the ubiquitously troublesome adjective, but in reality they can be syntactically, semantically, and exegetically as significant.

This has not, however, been apparent in the lexical treatment of the semantics of :and $\sum_{\substack{e}}$. At its most limiting, this treatment has simply but inadequately glossed : as "for," and ${ }_{2}$ as "but" (Goshen-Gottstein, ${ }^{50}$ Jennings ${ }^{51}$, Nöldeke, ${ }^{52}$ Pazzini, ${ }^{53}$ Whish ${ }^{54}$ ). Syriac-Latin lexica (Brockelmann, Köbert, ${ }^{55}$ Thesaurus Syriacus) list two or three unreferenced Latin glosses, each of which is polysemous in meaning. But without detailed research on the part of the lexicon user it is not possible to know which of a range of meanings that Latin dictionaries assign to these glosses apply or do not apply to the Syriac particles. Some Syriac-

[^44]English resources (Costaz, KPG, CSD, Thackston, ${ }^{56}$ Thelly) and the Syriac-Spanish lexicon by Ferrer and Nogueras ${ }^{57}$ are more generous in the range of glosses they assign, but with the exception of KPG they do not cite references or illustrative examples. A more detailed examination is therefore called for. This section begins this task by discussing the relationship between $\sim \sim$ and $\gamma \dot{\alpha} \rho$ and $\boldsymbol{r}_{\dot{i}}$ and $\delta \dot{\varepsilon}$ in Syriac grammars and lexica. It then examines the meanings of: $\sim \sim$ and $r i$ in a limited but defined corpus and demonstrates the necessity of evaluating each occurrence of these particles in their syntactic context.

## 4.1 and $\boldsymbol{R}_{\substack{i}}$ in Syriac Grammars, Lexica, and Critical Editions of the Greek New Testament

The Syriac : and the Greek $\gamma \dot{\alpha} \rho$, and the Syriac $\quad$ and the Greek $\delta \dot{\varepsilon}$ have perhaps always been recognized as formal and syntactical equivalents (compare $\S 3.2$ ). But over and again, Syriac grammars and lexica promulgate the view that they are also functional and semantic equivalents. It is a perception that has continued from one generation to the next and that has helped to shape the way we understand the functions and meanings of ; and is evident in some grammars and lexica from the nineteenth century to the present that treat
 $\rightarrow$ Jennings, Whish; ${ }^{58}$ both Phillips, ${ }^{60}$ Thesaurus Syriacus.

For H.M. Harman (1885) the semantic equivalence between ;- and $\gamma \dot{\sim} \rho$ on the one hand and $\delta \dot{\varepsilon}$ and $\boldsymbol{q}_{\dot{i}}$ on the other was apparently total, for he argued for a Greek text behind the Curetonian version of the Old Syriac Gospels based purely on the presence in that


In some notable instances, $\sim \sim$ and $\boldsymbol{r}_{\dot{i}}$ are not listed as though they were loanwords,
 and $\tau_{\dot{E}}=\delta \varepsilon ́$ Brockelmann, Coakley's revision of Robinson, ${ }^{63}$ Costaz, Nöldeke). These resources thus also contribute to the "virtual tradition of consensus among standard Syriac


[^45]the Greek $\delta \dot{\varepsilon} .{ }^{65}$ This perception is strengthened by comments such as we find in Nöldeke (1904) that " entirely to imitate $\gamma \alpha ́ \rho$ and $\delta \dot{\delta} \dot{\varepsilon} "\left(\right.$ (emphasis added) ${ }^{66}$ and in Coakley's revision of Robinson (2002)

 but is misleading in that he makes it seem as if oũv is the only correspondence in the corpus he treats.)

Other widely used grammars of this period and lexical works from Brockelmann to the present have similar comments. Phillips, for instance, has " Nestle "in ró $\rho$ for" and " $\mathrm{F}_{\mathrm{E}}$, $\dot{\varepsilon}$, aber, nämlich; but, for." In the second volume of Evangelion

 Even Massimo Pazzini's most useful recent lexical work has the unqualified comment "Gr.


The assumption of equivalence between the Syriac and the Greek also underlies the sometimes erroneous citation of $\sim \sim$ and $\tau_{\dot{i}}$ as witnesses to $\gamma \dot{\alpha} \rho$ and $\delta \dot{\varepsilon}$ in modern editions of the Greek New Testament, from Tischendorf (1869-1872) to Nestle-Aland (NA ${ }^{27}$, 1993). One of numerous examples is ${ }^{2}$ cited as a witness to $\delta \dot{\varepsilon}$ against oũv-which is frequently rendered by Tischendorf, Von Soden, Vogels, and in Jn 13:22 in Alford, Tischendorf, Von Soden, Nestle-Aland ${ }^{27}$, Aland's SFG, and Aland's SQE.

Another example is $\kappa$ e cited as a witness to $\delta \dot{\varepsilon}$ against $\kappa \alpha i ́$-which is frequently rendered by $\mathrm{r}^{\mathrm{P}^{70}}$ —in Mk $4: 1$ in Von Soden and Legg, Mk 4:5; 11:8; 13:11, 12 in Alford, Legg, and Tregelles, in Lk 2:25; 23:35 in IGNTP, and in Lk 7:40 in Von Soden and IGNTP.

A third example is $\sim \sim$ which renders $\delta \dot{\varepsilon}$ in Mt 23:12. Because they do not acknowledge that, for whatever reason, $\sim \sim$ is sometimes the correspondence of $\delta \dot{\varepsilon}$ (compare Lk 2:44; $4: 25 ; 12: 48$ ), Tischendorf, Tregelles, Von Soden, and IGNTP assume that the Greek equivalent has to be $\gamma \dot{\alpha} \rho$. One further example is which renders ö õ in Lk 2:11. Because

[^46]IGNTP apparently does not recognize that $\sim \sim$ is a genuine correspondence of ö $\tau$ (compare Lk $6: 19 ; 16: 8 ; 18: 14 ; \operatorname{Jn~} 5: 30 ; 8: 20$ ) it assumes that ő $\tau 1$ is omitted in the Peshitta. ${ }^{71}$

It is true that in Syriac translations of Greek works $\gamma \dot{\alpha} \rho$ is frequently rendered by and $\delta \dot{\varepsilon}$ by e, but to conclude that the Syriac is virtually exclusively dependent on the two Greek particles in question is an erroneous assumption in both Syriac and Greek scholarship. Neither translationally, nor semantically, nor lexically are we justified in presenting ;in and $\boldsymbol{\tau}_{\dot{i}}$ in a manner that suggests that they are respectively to be equated with $\gamma \dot{\alpha} \rho$ and $\delta \dot{\varepsilon}$.

## 4.2 as a Translation of the Greek

In the Syriac New Testament, the Syriac $\sim n$ and Greek $\gamma \dot{\alpha} \rho$ and $\delta \dot{\varepsilon}$. This is evident in the following analyses, first of $\sim \sim$ and then of ( $\$ 4.5$ ). When all Greek variant readings are taken into account, we find that between $8 \%$ to $11 \%$ ( 32 to 43 occurrences) of the 384 occurrences of in in Peshitta Gospels do not have $\gamma \dot{\alpha} \rho$ as a correspondence, ${ }^{72}$ and in the three Peshitta Pastoral Epistles $25 \%$ of the 42 occurrences of in do not have $\gamma \dot{\alpha} \rho$ as a correspondence. ${ }^{73}$ A total of 23 of these occurrences of (17 in the Gospels and six in the Pastoral Epistles-between $56 \%$ to $75 \%)^{74}$ do not have a formal correspondence in the underlying Greek (see $\S 4.4 .5$ ). ${ }^{75}$ The rest translate other Greek terms: $\dot{\alpha} \lambda \lambda \dot{\alpha}, \dot{\alpha} \lambda \lambda \lambda^{\prime}$ ő $\tau 1, \dot{\alpha} v \theta^{\prime} \tilde{\omega} v, \delta \dot{\varepsilon}, \kappa \alpha i ́, \mu \varepsilon ́ v \ldots \delta \dot{\varepsilon}$ or $\mu \varepsilon ̀ v \gamma \alpha \dot{\alpha} \rho \ldots \delta \dot{\varepsilon}=$ - ...

[^47]```
: \(\sim^{7}\) in the Peshitta Gospels
Total occurrences: 384
Greek correspondences:
үó \(\rho 338\) to 349
ő \(\tau 17\) to 10
ס́ 4 to 10
\(\dot{\alpha} \lambda \lambda \alpha \dot{\alpha} 1\) to 3
\(\dot{\alpha} \lambda \lambda \lambda^{\prime}\) ő \(\tau 10\) to 1
\(\dot{\alpha} v \theta^{\prime} \tilde{\tilde{\omega} v}\) (so then, therefore) 1
каí 3
```



```
No correspondence in the Greek 18
```

:~~ in 1 \& 2 Timothy
Total occurrences: 33
Greek correspondences:
үó 25
б́́ 4 (1 Tim 2:12; 3:5; 5:8; 6:6)
No correspondence in the Greek 4 (1 Tim 1:19; 6:21; 2 Tim 2:23; 3:14)

```
:
Total occurrences: 8
Greek correspondences: \(\gamma \dot{\alpha} \rho 6\)
No correspondence in the Greek 2 (Titus 1:11, 15)
```


### 4.3 The Peshitta Rendering of $\gamma \dot{\alpha} \rho$

Let us now turn specifically to the treatment of $\gamma \dot{\alpha} \rho$ in the Peshitta New Testament. While the Greek $\gamma \alpha \dot{\rho} \rho$ has the Syriac as its principal correspondence, there are significant exceptions in the Peshitta Gospels.

### 4.3.1 The Translation of $\gamma \dot{\alpha} \rho$ by Terms Other Than

When all Greek variant readings are taken into account, the Peshitta Gospels translate between 356 to 367 occurrences of $\gamma \dot{\alpha} \rho$. Between fourteen and twenty-four of these instances are translated by a term other than $\mathrm{n}^{n}$. The fourteen about which there is no doubt are:
(a) $\boldsymbol{9}$ Lk 6:23(1st occurrence)
(b) $\mathrm{F}_{\substack{\mathrm{i}}}^{\mathrm{Mt} 6: 32 ; 26: 12 ; \mathrm{Mk} 9: 6\left(1^{\text {st }} \text { occurrence), } 41 ; \mathrm{Lk} 9: 26\right.}$
(c) 1 Mt 9:16
(d) ${ }^{\text {(c) }}$ 人
(e) W シٌó Mk 9:40
(f) $\circ$ Mt 15:2
(g) ,

The other ten are also likely as it can be argued that the textual evidence is weighted in support of $\gamma \dot{\alpha} \rho$ as the Greek term underlying the Syriac rather than the variant reading introduced in brackets in the following list:


(c) Wínco Jn 9:30 (or oũv)
(d) $\circ$ Mt 25:3(1 ${ }^{\text {st }}$ occurrence) (or $\delta \varepsilon$, or oũv); Lk 21:26(2 $2^{\text {nd }}$ occurrence)
(e) :

From this analysis it is clear that close to $8 \%$ of the occurrences of $\gamma \dot{\alpha} \rho$ in the Peshitta Gospels are rendered by Syriac terms other than $\sim$.

If to these two lists we add the agreements of and the differences in the Old Syriac we find that of the fourteen Peshitta citations in the first list only three have a precedent in the Old Syriac, though seven readings that differ in the Sinaitic version are not extant in the Curetonian version, so that we do not know whether these seven readings agreed or disagreed with the Peshitta:
(a) $\boldsymbol{9}$ Lk 6:23( $1^{\text {st }}$ occurrence) Syr ${ }^{[c] s p}$
 : in Syr ${ }^{[c]}$; Lk 9:26—:~- in Syr ${ }^{\text {c }}$
(c) $\mathrm{J}_{\mathrm{G}} \mathrm{Mt} 9: 16 \mathrm{Syr}{ }^{[\mathrm{clsp}}$

(e) Híá Mk 9:40—:~n in Syr[cls
(f) 0 Mt 15:2—:~ in Syr ${ }^{\text {cs }}$

Of the ten Peshitta citations in the second list five have a precedent in the Old Syriac. The other five readings that differ from the Peshitta are not extant in one or the other of the Old Syriac versions, so that we do not know whether these readings agreed or disagreed with the Peshitta:


(c) Nón Jn 9:30 (or oũ̃) Syrrlc
(d) $\circ$ Mt 25:3(1 $1^{\text {st }}$ occurrence) (or $\delta \dot{\varepsilon}$, or oũv) Syr ${ }^{[c \mid s p} ;$ Lk 21:26(2 ${ }^{\text {nd }}$ occurrence) Syr ${ }^{\text {csp }}$
 Syr ${ }^{[c] s p}$
PARTICLES in AND

### 4.3.2 The Rendering of $\gamma \dot{\alpha} \rho$ as a Marker of Inference

The most recent edition of $A$ Greek-English Lexicon of the New Testament (BDAG) is distinguished by the fact that it provides definitions as well as glosses for all words. ${ }^{76}$ For $\gamma \dot{\alpha} \rho$, its latest editor, Frederick Danker, gives three primary definitions. The first is "marker of cause or reason," for which BDAG provides the primary gloss "for." The second is "marker of clarification," for which the primary gloss is "for, you see." 77 Both of these semantic functions apply to the use of the Syriac in Classical Syriac literature. It is the Peshitta's rendering of $\gamma \alpha \boldsymbol{\rho} \rho$ where this particle functions in the Greek New Testament and other early Christian literature according to BDAG's third definition, "marker of inference," that is particularly intriguing. BDAG glosses this function as "certainly, by all means, so, then." When BDAG applies these glosses to the function of $\gamma \alpha \dot{\alpha} \rho$ in actual textual contexts, it translates them as "by no means = $\mu \grave{\eta} \gamma \dot{\alpha} \rho$," "then," "[no,] indeed!," "yes, indeed!," "[not] for a moment," and "[they decide,] then." The Peshitta translators, no less than the twentyfirst century BDAG, take a semantically differentiated approach to these seven occurrences of $\gamma \alpha \rho$, which are translated by five different terms:

(b) for 1 Cor 9:19; 2 Cor 5:4; for or indeed Acts 16:37
(c) Wina so, therefore, accordingly, then Heb 12:3
(d) o so, consequently Jas 1:7

In three instances the Peshitta has: Two of these (1 Cor 9:19; 2 Cor 5:4) we may translate as "for," which finds a parallel in the RSV and NRSV. To the other (Acts 16:37) we may assign the meaning "for," "indeed," which is paralleled by BDAG, NEB, REB, and NRSV. But in four places the Peshitta has sought an alternative to $: \sim$. The result is the five different renderings for the seven occurrences:
 none of you suffer);
(b) : for, BDAG [though I am free] then 1 Cor 9:19; similarly 2 Cor 5:4; RSV and NRSV have for in both verses;
: for, indeed; BDAG, NEB, and REB [no,] indeed! Acts 16:37; cf. NRSV Certainly [not];;
(c) "یُá so, therefore, accordingly, then; BDAG yes, indeed! Heb 12:3;
(d) © so, consequently; BDAG [not] for a moment Jas 1:7;
(e) :

[^48]
### 4.3.3 Cases Where the Syriac Leaves $\gamma \dot{\gamma} \rho$ Unrepresented

In some cases, the Peshitta and Old Syriac do not explicitly represent $\gamma \dot{\alpha} \rho$. The same approach is adopted by some contemporary English translations. An example is Acts 4:34:

 translation of ov̉ठ́ elsewhere)
There were no needy persons among them NIV
There was not a needy person among them RSV, NRSV
There was never a needy person among them REB
None of their members was ever in want JB
Further examples occur in questions. Some English translations parallel the Syriac.
Mt 23:17 Syrcs reads:
$\tau i ́ \varsigma \gamma \grave{\alpha} \rho \mu \varepsilon i \zeta \omega v$ (or $\mu \varepsilon i \zeta \circ$ )
مسر زص
Which is greater ...? NIV
Which is the more important ...? REB
Mt 23:19 Syr ${ }^{\text {ssp }}$ reads:
đí $\gamma \grave{\alpha} \rho \mu \varepsilon i ̂ \zeta o v(o r ~ \mu \varepsilon i ̂ \zeta \omega v)$
مُحُرْ زِّص
Which is greater ...? NIV
Which is the more important ...? REB
But leaving the Greek $\gamma \dot{\alpha} \rho$ unrepresented in rhetorical questions is by no means always the case. For instance, $\sim$ is provided in questions in Mt 9:5 Syr ${ }^{[\mathrm{clp}}$; 16:26 Syrclsp; 23:17 Syrp, and 1 Cor 10:29. In these verses finds a parallel in the English "for" provided in the RSV and NRSV, though the first three are not represented in the NIV (compare Mt 23:17, 19 above). In other words, in the examples cited the Syriac demonstrates the same flexibility as contemporary English translations towards the Greek.

### 4.3.4 The Pastoral Epistles and the Need for Sample Texts to be Representative

The relationship between $\gamma \dot{\alpha} \rho$ and $\sim \sim$ in the Peshitta Pastoral Epistles is very different from the one between $\gamma \alpha \boldsymbol{\alpha} \rho$ and : in the Peshitta Gospels. Thirty-two of the thirty-four occurrences of $\gamma \dot{\alpha} \rho$ find their equivalent in : One of the two other occurrences is translated by • (2 Tim 3:2), and the other has no Syriac correspondence (2 Tim 2:7).

With regard to the Peshitta's treatment of $\gamma \dot{\alpha} \rho$, the Pastoral Epistles demonstrate the danger of relying on a sample that is too small to be representative, and the importance of analysing more than one book of a chosen corpus, for from the examples discussed in this section ( $\$ 4.3$ ) it is clear that is not treated in the Peshitta New Testament as if it were a mere imitation of $\gamma \dot{\alpha} \rho$. To the contrary, it is evident that the Peshitta translators were aware
of differences in the semantic function of $\gamma \alpha \dot{\rho} \rho$, so that when the latter has a connotation that lies outside the semantic range of $: \sim$ an alternative rendering was selected.

### 4.4 Semantic Functions of and Appropriate Meanings in English

Both: $\sim_{\sim}^{\sim}$ and have wider ranges of meaning than they are usually given in most Syriac lexical works.

As we have seen $(\$ 4.3 .2)$, BDAG provides three primary definitions for $\gamma \alpha ́ \rho:$ marker of cause or reason, marker of clarification, and marker of inference. These functions may be used as a semantic guideline for: as it is employed in our prescribed corpus so long as it is remembered that (a) Syriac translators did not assume that was always the semantically appropriate translational equivalent of $\gamma \dot{\alpha} \rho$, or that should be restricted to the translation of $\gamma \dot{\alpha} \rho$, and that (b) each occurrence of in must be assessed independently of the Greek as a Syriac word in its Syriac context.

### 4.4.1 :~ as Marker of Cause or Reason

Like $\gamma \dot{\alpha} \rho,: \sim$ often functions as a marker of cause or reason: "for, because, therefore, so then" Mk 16:8 Syr[clp; Lk 12:3 Syrcsp; Jn 2:25 Syriclsp; Acts 12:9; 2 Cor 12:12, et al. It is the only use acknowledged by Thesaurus Syriacus and CSD in which (as we saw in the section on syntax) it is classified as "a causal conjunction."

In some instances, $\sim \sim$ can be represented in English by terms other than "for, because, therefore, so then." James Murdock translates Rom 3:3 as For if some of them bave not believed. ${ }^{78}$ But in this context, it would be appropriate to translate : (as well as $\gamma \alpha$ á $\rho$, which it renders) by "then:" what, then, if some were unfaithful? The use by
 what, pray, is our life?

As a marker of cause or reason, in in often used with another particle that precedes it:


 Syrcs; Lk 1:44 Syr[c]sp, 48 Syr ${ }^{[c] p}$; 2:10 Syr ${ }^{[c] p}$; 6:34 Syrrcls; 17:21 Syrcp; 20:33 Syrcs; 22:71 Syrc ${ }^{\text {cs }}$; Acts 9:11; 2 Cor 7:11.

Earlier ( $\$ 4.3 .3$ ), we saw that $;$ often occurs in questions as the translation of $\gamma \dot{\alpha} \rho$. In such cases ${ }^{n}$ and $\gamma \alpha \dot{\rho}$ correspond in meaning. Thus we can use "for" to render in Mt 9:5 Syrflcp; 16:26 Syrc ${ }^{[s] p ;}$ 23:17 Syrp, and 1 Cor 10:29, just as the RSV and NRSV employ it to translate $\gamma \alpha{ }^{\alpha} \rho$.

Sometimes, however, $\gamma \alpha ́ \rho$ is used in questions where English must often leave it untranslated and add "then, pray," or prefix "what!" or "why!" 79 Where $\sim$ is the equivalent

[^49]it can be treated in exactly the same manner as $\gamma \alpha \dot{\alpha} \rho$. An example is the question مُصُر
 23:22 Syrcsp. In this context, say Zerwick and Grosvenor, $\gamma \dot{\alpha} \rho$ "seeks a reason for the preceding demand." ${ }^{80}$ The comment is equally applicable to $\sim \mathrm{n}$. Hence the most obvious prefix for both the Greek and the Syriac is "why?" "why, what evil has he done?" 81

But the Syriac versions, like English ones, do not always represent $\gamma \alpha \rho$ where it is used in questions. As we have seen, it is an approach that has parallels in both modern English translations (Mt 23:17 Syr ${ }^{\text {cs }}$, NIV, REB, 19 Syr ${ }^{\text {csp }}$, NIV, REB §4.3.3) and in BDAG. In accordance with its principle of adding a prefix where $\gamma \dot{\alpha} \rho$ is left untranslated, BDAG renders $\mu \eta$ خ̀ $\gamma \grave{\alpha} \rho$ оiкías oủк $\varepsilon$ है $\chi \varepsilon \tau \varepsilon$ in 1 Cor 11:22 as "What! Have you no houses?" Like BDAG, the Peshitta leaves $\gamma \alpha \dot{\alpha} \rho$ untranslated, but renders $\mu \eta$ by the interrogative particle , Why! Have you no bouses?

### 4.4.2 as a Non-causal Marker in Rhetorical Questions

To the preceding function of : in questions should be added one that has been identified by Jan Joosten in the Peshitta Old Testament and other Syriac literature outside the biblical corpus. According to Joosten, there are rare cases where :~n in a rhetorical question does not mean "for, because," but has a different function. All the clauses in question (Gen 4:9; Isa 36:10; Jer 13:12; Job 1:9; 6:22; 21:9, et al.) require the answer "no" (or "yes" in case the question contains a negation). "What is certain," says Joosten, "is that this use of ger is highly idiomatic: the particle does not correspond to any formal equivalent in the Hebrew and was freely added to enliven the style." ${ }^{82}$

### 4.4.3 as Marker of Clarification

As a marker of clarification, $\sim \sim$ can be glossed as "for," "for, you see." Jn 3:16 Syrcsp is an
 23:3 Syrcsp; 24:38 Syrcsp; Mk 7:3 Syr[c]p; Lk 8:40 Syrcsp; 9:14 Syrcsp; Jn 4:8 Syrp; Rom 7:2). Two further examples, which BDAG defines as "brief, explanatory, parenthetical clauses," are Mt 4:18 Syrp//Mk 1:16 Syr ${ }^{[c] p}$ :
 Gal 4:25). One should note, however, that in the Synoptic parallels Mt 4:18 Syrp and Mk 1:16 Syriclp the Old Syriac (Syrcs) interprets the Greek particle as a marker of cause or reason, for it has ,

[^50]This function of : can also be translated by the terms "well," "then," or "indeed:" "indeed, to be sure" Rom 1:18; 2:25; 4:3, 9; 5:7 (1 ${ }^{\text {st }}$ occurrence); 2 Cor 1:12; 10:12; 11:5; 1 Tim 2:5; "yes, indeed; certainly" 1 Cor 9:10; 1 Thess 2:20.83
 añ can be understood in one of two ways as a marker of clarification: ${ }^{84}$
(a) As premised on the previous statement, "the saying is trustworthy," and accordingly translated as "for," "for, you see:"

The saying is trustworthy: for (for, you see),
If we have died with bim, we shall also live with him
(b) As helping to reinforce the truth of the preceding statement and accordingly translated as "indeed:"

The saying is trustworthy:
If, indeed, we have died with him, we shall also live with him
Both meanings would meet the requirements of the context, so that perhaps in an English translation we should be open to both.

### 4.4.4; as the Rendering of $\gamma \alpha \dot{\rho}$ as a Marker of Inference

Earlier ( $\$ 4.3$ ), we saw that in three of seven instances where $\gamma \dot{\alpha} \rho$ functions as a marker of inference as that term is employed in BDAG (1 Cor 9:19; 2 Cor 5:4; Acts 16:37) the Peshitta

 because (Rom 15:27). In this instance it would seem that the Peshitta translators felt that $\gamma \dot{\alpha} \rho$ exceeds the semantic range of : and that other Syriac renderings were therefore necessary.

### 4.4.5 Where It Lacks a Formal Correspondence in the Greek ${ }^{85}$

The seventeen places in the Peshitta Gospels and six in the Pastoral Epistles where :n lacks a correspondence in the Greek are unexceptional. As the following references reveal, eight have a precedent in the Old Syriac. Lk 24:37—o in Syr ${ }^{\text {cs }}, 1$ Tim 1:19 and 2 Tim 2:23 are apt examples of the particle being used to convert a Greek sentence into two Syriac sentences.

In three places the Syriac converts a Greek participle into a perfect verb followed by : Mt 22:43 Syrcsp; Mk 12:6—o in Syr[c]s and Lk 24:22 Syrp. In the two first verses the


[^51]:~ for he said. Lk 24:22 follows the same pattern with its translation of $\gamma \varepsilon v o ́ \mu \varepsilon v \alpha ı ~ o ̉ \rho \theta \rho ı v a i ́ ~$ by : On for they went early.

In terms of semantic function, most of the occurrences of that lack a correspondence in the Greek have the function of marker of cause or reason: Mt 22:43

 Syr ${ }^{[c] p ;}$ 16:2 Syr ${ }^{[c] s p ; ~ 17: 16 ~ S y r}{ }^{[c] s p ;} 1$ Tim 6:21; 2 Tim 2:23; 3:14; Titus 1:11.

In five instances : $n$ is probably best viewed as a marker of clarification: Jn 1:9—< in Syrcts]; 4:24 Syrcp; 14:18; 1 Tim 1:19, and Titus 1:15.

## 4.5 ©e as a Translation of the Greek

The disjunction between the Syriac $r$ and the Greek $\delta \boldsymbol{\varepsilon}$ in the Peshitta New Testament is even greater than that between: and $\gamma \dot{\alpha} \rho .{ }^{86}$ There are hundreds of places in the Peshitta New Testament where $\delta \dot{\varepsilon}$ is translated by terms other than ${ }^{9}$. Conversely, as can be seen in the following synopses-which take all known Greek variant readings into account so that often more than one Greek term vies for the status of being the actual Greek correspondence and consideration as the one that was actually in the Syriac translator's source text—between 126 to 174 occurrences of $\boldsymbol{c}_{\boldsymbol{i}}$ in the prescribed corpus translate terms other than $\delta \dot{\varepsilon}$.

```
< e in the Gospels
Total occurrences: 1073
Greek correspondences:
סغ́ 879 to 957
```




```
Terms other than \(\delta \dot{\varepsilon} 122\) to 170 :
кaí 44 to 74
oṽv 37 to 55
\(\dot{\alpha} \lambda \lambda \alpha \dot{\alpha} 5\)
\(\mu \varepsilon ́ v \tau\) о七 4
خáp 3 to 5
```



```
\(\pi \lambda\) ク́v 2
```



```
каí \(=\) г \(\quad\)... ○ 1
```

[^52]PARTICLES
\[

$$
\begin{aligned}
& \text { ö } \mu \omega \varsigma ~ \mu \varepsilon ́ v \tau o \imath ~ \kappa \alpha i ́ ~=~
\end{aligned}
$$ \underset{i}{ } \cdots oí1
\]

No correspondence in the Greek 17

```
\(\mathrm{F}_{\mathrm{e}}\) in \(1 \& 2\) Timothy
Tơtal occurrences: 42
Greek correspondences:
§́́ 33
```



```
oõ̃v 1 (1 Tim 3:2)
үóp 1 (1 Tim 6:10)
No correspondence in the Greek 5 ( 1 Tim
6:2; 2 Tim 2:12, 17; 4:13, 15)
```

re in Titus
Total occurrences: 7
Greek correspondences: ס́́ 5
No correspondence in the Greek 2 (Titus
1:11, 15)

In the Gospels, the terms translated by $<\dot{\dot{O}}$ include $\dot{\alpha} \lambda \lambda \dot{\alpha}$, $\gamma \dot{\alpha} \rho$, and $\pi \lambda \eta$, but especially кんí, $\mu \varepsilon ́ v \tau 01$, and oũv. As the entry on $\uparrow$ in KPG records, close to a quarter of the Peshitta

 nel but if anyone does not, anyone who does not (Syrcc]s has $ل$, , ,oo and whoever does not).

In contrast to John and Matthew, both Mark and Luke often employ $\boldsymbol{\sim}$, to render $\kappa \alpha$ í. Mark uses $\mathcal{F}_{\dot{i}}$ to translate $\kappa \alpha i ́$ in twenty-one to thirty-seven instances, and Lัّuke in twentythree to thirty instances.
 the clause concerned in the first verse and has only $9 \hat{l}_{0}$ for the second). In one instance
 is rendered by $\uparrow ?$
 Matthew, two in Mark, four in Luke, and eight in John- $\mathcal{F}_{i}$ has no corresponding Greek term (see $\$ 4.7 .4$ ).

These Peshitta translational patterns differ greatly from the approach of the two Old Syriac versions to the Greek particles in question. But the three do share in common the fact that none of them conforms to the relationship between $\mathcal{F}_{\dot{i}}$ and $\delta \dot{\varepsilon}$ that has been assumed by Syriac and Greek scholarship.

An intimation of the range of Greek terms-which do not involve variant Greek readings-rendered by $r$, in the Old Syriac is evident in the following examples of agreements between the Olld Syriac and the Peshitta:
(a) $\dot{\alpha} \lambda \lambda \alpha ́ \operatorname{Lk}$ 6:27 Syrlc]sp; Jn 16:25 Syr ${ }^{[c] s p}$
(b) $\gamma \alpha \dot{\alpha}$ Mt 26:12 Syr ${ }^{[c] s p}$
 33 Syr ${ }^{[c] s p}, 51$ Syr ${ }^{\text {csp }}, 52$ Syr ${ }^{\text {csp }}$
(d) кגì ioov́ Mt 9:3 Syrclcsp
(e) $\mu \varepsilon ́ v \tau$ ou Jn 7:13 Syrcsp; 20:5 Syr ${ }^{[\mathrm{c}] \text { sp }}$
(f) ő ơ Lk 19:43
(g) oũv Jn 4:1 Syrcsp; 6:14 Syrcsp; 7:11 Syrcp, 40 Syrsp; 12:3 Syrsp; 18:10 Syr[clsp, 19; 20:6 Syr[c]sp; 21:7 Syr ${ }^{[c] s p}$


### 4.6 The Peshitta Rendering of $\delta \boldsymbol{\varepsilon}$

The Peshitta New Testament employs a number of terms to render $\delta \dot{\text {. }}$ Numerically, ${ }^{\boldsymbol{C}}$ stands at the head of the list, and $\bullet$ in second place. Aland's Vollständige Konkordanz lists sixtythree occurrences of $\delta \dot{\varepsilon}$ in the Pastoral Epistles. Fifty-eight of these are translated by the Peshitta. Thirty-eight find their correspondence in, $\boldsymbol{c}$ and twenty ( $34 \%$ ) in another term:
 the Peshitta Gospels where $\bullet$ claims $\delta \dot{\varepsilon}$ as its second most frequent equivalent, ${ }^{90}$ while other renderings, though significant, are in the relative minority.

But frequency can divert attention away from semantic subtlety. It can overshadow, for instance, the important part played by a Syriac particle that is used infrequently to translate a high-frequency Greek term because the actual function that it renders is of comparatively low frequency. Under the function of $\delta \dot{\varepsilon}$ as "marker of contrast," BDAG (page 213) has the subcategory "after a negative rather." This function seems to have been appreciated by the Syriac translators, who render it mainly by $\int_{0}^{\pi}$ (which is the primary equivalent of $\dot{\alpha} \lambda \lambda \dot{\alpha}$ ), in one instance by $\sim \sim$, and in three by
(a) $\int_{6}^{n} \mathrm{i} \mathrm{Lk}$ 10:20 Syrcsp ("but rejoice that your names are written in heaven"); compare Acts 12:14; Eph 4:15; Heb 4:13, 15; 6:12; 9:12; 12:13 = $\delta \dot{\varepsilon} \mu \hat{\alpha} \lambda \lambda o v$
(b) : Acts 12:9 ("for he had supposed that he had been seeing a vision")
(c) ${ }^{2} \mathrm{Mt}$ 6:33 Syrc ${ }^{c|c| l \mid}$ ("trather [but] seek first the kingdom of God"); compare人

[^53]In summary, the evidence contradicts the thesis that on the one hand $\mathcal{R}_{\dot{\dot{L}}}$ is little more than the Syriac counterpart of the Greek $\delta \dot{\varepsilon}$, and on the other that $\delta \dot{\varepsilon}$ finds its semantic equivalence in

### 4.7 Semantic Functions of $\boldsymbol{e}_{i j}$ and Appropriate Meanings in English

As with : the following analysis is based on the use of $\tau 0$ in the prescribed corpus, though the examples that are cited are not limited to it.

### 4.7.1 $\boldsymbol{\sim}$

In its function expressing continuation or contrast, the conjunctive/connective particle (see $₫ 3$ ) is used as a marker:
(a) (i) Connecting lines of narrative as in relating one teaching to another: "and, as for, now" Mt 5:29 Syrcsp; 6:16—o in Syrc[s]; Rom 14:1; 1 Cor 7:1
(ii) Linking narrative segments: "now, then, so, that is" Mt 1:18 Syrcsp; 2:1—o in Syrcs; Jn 1:39—o in Syr ${ }^{\text {cs }}$; 4:46—o in Syr ${ }^{c \mid s]}$; Rom 3:22
(b) Of contrast:
(i) "but, however, on the other hand" Mt 18:7— V i in Syrcs ; 19:8 Syrcsp; Lk 10:6 in ${ }^{\hat{i}}$

(ii) after a negative, "but, rather" Mt 6:33 Syrc[s]p
(iii) forming a transition to something new Lk 12:7; Jn 16:25 Syr ${ }^{[\mathrm{clsp}}$
(c) Of contrast with heightened emphasis in combination with iُ:
 even the strands of the hair of your head ${ }^{81}$ are all numbered Mt 10:30-_ oio in Syrcl]s
(ii) you might believe Jn 20:31—Syr[c]s does not include oi
(d) Of an implied clause of concession: ${ }^{92}$ "nevertheless, and yet, yet, however" Jn 12:42,



### 4.7.2 $\boldsymbol{R}_{\boldsymbol{i}}$ Introducing the Result of an Inference

As a marker denoting that what it introduces is the result of an inference from what precedes, $\tau_{i}$ is a primary equivalent of oũv in the Peshitta Fourth Gospel (see $\int 4.9$ ): "now, so, consequently, accordingly, then, so then" Mk 16:19 Syrcp; Lk 20:29 Syrp; 21:14—o in Syr ${ }^{\text {cs } ; ~ J n ~ 4: 46 — o ~ i n ~ S y r c[l] ; ~ 5: 19 ~ S y r p ; ~ 9: 8 — o ~ i n ~ S y r ~}{ }^{[c] s}$; 10:7 Syriclp; 18:17 Syr ${ }^{[c] p ; ~ 20: 21 ~ S y r}{ }^{[c] p}$ et al.

[^54]In Mt 12:12 the context is a rhetorical question: "how much more valuable, then (Wea in Syr ${ }^{\text {cs }}$ ), is a human being than a sheep?"

### 4.7.3 $\boldsymbol{R}^{\boldsymbol{q}}$ in Wishes and Apodoses ${ }^{93}$

Joosten's study of $\boldsymbol{Q}_{\dot{i}}$ alerts us to the fact that a semantic investigation of a particle in a particular corpus may well miss an important function either because it is not employed in that corpus, or because attention has not been drawn to it previously. ${ }^{94}$ His concern is with the use of $\kappa_{i}$ in wishes or in apodoses incapable of fulfilment. This use, says Joosten, is "a survival of the original Semitic meaning of den: 'then, thereupon'."95 He gives many examples from various sources including the Peshitta Old Testament and the Old Syriac (in wishes Mt 23:23 Syr ${ }^{\text {cs }}$; Lk 19:42 Syrc; in apodoses Mt 17:20 Syrs ; Lk 19:23 Syr ${ }^{\text {cs }}$.

Joosten notes that none of the cases he cites from the Old Syriac are to be found in the Peshitta New Testament. There are, however, two occurrences that have escaped his notice. In the synoptic parallels Mt 11:21 and Lk 10:13 the Peshitta as well as the two Old Syriac versions have, , which does not have an equivalent in the Greek, in the apodosis. Like Mt 17:20 in Syrs cited by Joosten, the construction begins with (the word iُخحم deeds of power done in you had been done in Tyre and Sidon, then they would have repented long ago in sackecloth and ashes. Even if these occurrences are seen as remnants of Old Syriac readings preserved in the Peshitta, they are nevertheless two of six witnesses in the Early Syriac versions of the Gospels to the function Joosten has so helpfully brought to light.

### 4.7.4 Where It Lacks a Formal Correspondence in the Greek ${ }^{96}$

In seventeen instances in the Peshitta Gospels (the same number as $\int 4.4 .5$ ) and seven in the Pastoral Epistles $\boldsymbol{r}_{\boldsymbol{i}}$ lacks a correspondence in the Greek. Eight have a precedent in the Old Syriac (the same number as $\$ 4.4 .5$ ). These additions are a witness to the use of functions of $\boldsymbol{\tau}_{\boldsymbol{i}}$ in the early Syriac versions that were introduced by a translator free from the influence of a specific correspondence in the source text. With the exception of an ambiguous occurrence in Jn 5:28, all the additions serve one or another of the functions described in the preceding section:

 Peshitta addition simplifies the rendering of the underlying Greek construction and adds clarity to the Syriac construction. The NRSV has a parallel addition: "and

[^55]afterwards he was famished." In the Peshitta text of Mk 4:28 again follows the adverb ${ }^{\wedge}$ n.
(b) (i) Connecting lines of narrative: "and, as for, now" Lk 21:19 Syrcp-in in Syrs; 2 Tim 2:17; 4:13, 15; Titus 2:7; 3:13
(ii) Perhaps also denoting emphasis: "now, and" (in contrast to Syrc ${ }^{[[]]}$which adds

(c) (i) Denoting contrast: "but the child Jesus remained in Jerusalem" Lk 2:43-o in Syr ${ }^{[c] s}$; see also Lk 13:3; Jn 3:33 Syr ${ }^{\text {csp; }} 4: 22 —$ in Syr $^{c s}$; 6:50 Syr ${ }^{\text {cp }}$, 54 Syrp; 9:25 Syr ${ }^{[c] s p ;}$ 12:29—o in Syr[c]s; 14:24 Syr[clp; 1 Tim 6:3
(ii) The addition of $\boldsymbol{q}_{i}$ (and of two occurrences of 0 ) as an indicator of contrast in the versicle in 2 Tim $2: 11 \mathrm{~b}-13$ is an example of a particle that performs at an aesthetic as well as syntactic and semantic level. It heightens the antithesis between the fourth line of the versicle and the two that precede it, and contributes to the strongly alliterative and assonantal dimension of the poem. ${ }^{97}$
(d) In a rhetorical question, perhaps for the purpose of emphasis: "indeed, but:" حِ
(e) Introducing an apodosis (see $\S 4.7 .3$ ): "then" Mt 11:21 Syr ${ }^{\text {csp }}$; Lk 10:13 Syrcsp
(f) As Murdock acknowledges in his translation, the punctuation of the Peshitta text of Jn 5:27-28 makes the text difficult to understand. ${ }^{98}$ For this reason we have not assigned a function to $\boldsymbol{c}_{\mathrm{i}}$ in Jn 5:28.

### 4.8 Particles Other Than $\sim$ and $\boldsymbol{\sim}$

In this essay we have focussed on $\boldsymbol{n}^{n}$ and $\underset{\sim}{r}$. But these are not the only particles that have been misused in textual criticism and misrepresented in Syriac lexica. Both Syriac and Greek scholarship have imposed uninformed limitations on the relationship between the Greek kaí and the Syriac o. Again, from Tischendorf to the present, к $\alpha$ í and $\bullet$ are often treated as if they were the only authentic equivalents of each other. But $\mathbf{0}$, which has several uses, ${ }^{99}$ is also
 in several instances, and is employed to render other Greek terms in particular contexts. ${ }^{100}$

Conversely, к人í is the principal equivalent of $\boldsymbol{i}$ and $\boldsymbol{9} i_{0}$, and, as we have seen, is often translated by

The following readings which employ three different Syriac terms-none of them oreflect the apparent responsiveness of Syriac translators to syntactic and semantic nuances of к人í: ${ }^{101}$

[^56] you Jn 8:25 (no equivalent in Syr ${ }^{[c] s}$ ) may be regarded as a creative solution to the syntagm ő $\tau \iota$ кaí, for which modern Greek scholarship and translations offer multiple solutions. ${ }^{102}$

(c) temporal use of $\boldsymbol{g}$ in Lk 19:43 Syrcsp to match the temporal use of каí:103 ${ }^{103}$,
 ( $\chi \alpha ́ \rho \alpha \kappa \alpha ́ ~ \sigma o ı ~ \kappa \alpha i ̀) ~ \pi \varepsilon \rho ı \kappa v \kappa \lambda \omega ́ \sigma o v \sigma i ́ v ~ \sigma \varepsilon ~[S y r c s p ~ o m i t ~ w o r d s ~ i n ~ b r a c k e t s] ~] ~$
These examples demonstrate that a proper estimate of the Old Syriac and Peshitta particles : $\sim$ and and of other particles as well, requires an analysis of the full cycle of Syriac and Greek terms involved.

### 4.9 A Comparison of the Peshitta Gospels with Each Other

Our observations regarding $\tau_{i}$, thus far concern the selected corpus as a totality. But a comparison of the Peshitta Gospels with each other reveals that their approach to $\boldsymbol{\tau}_{\boldsymbol{i}}$ and the Greek they use it to translate is by no means uniform.

The Peshitta Gospel $\tau$ 亿ie frequently translates kaí. But with only two exceptions, the Peshitta never renders kaí by ẹi in Matthew and John, but only in Mark and Luke, which


In the Peshitta text of John, $\boldsymbol{r}_{\underline{i}}$ is the principal equivalent of oṽv. Conversely, when all Greek variant readings have been taken into account, between a third to a half of the
 the equivalent of oũv, o $\tilde{v} v$ is the only term that $\mathcal{C}_{\dot{i}}$ could have translated; according to the evidence that is available in critical editions of the Greek New Testament, there are no variants that also have to be taken into account as conceivable correspondences of $\boldsymbol{\sim}$ list identifies the nine instances where ${ }_{2}$, has a precedent in the Old Syriac: Jn 4:1 Syr ${ }^{\text {csp }}$, 46; 5:19; 6:14 Syrcsp, 15; 7:11 Syrcp—o in Syr ${ }^{\text {s.c }} 40 \mathrm{Syr}^{\mathrm{sp}}$ —o in Syrc; 8:12; 9:8; 10:7; 11:20(1st occurrence), 33; 12:1, 3 Syr ${ }^{[c] s p}$, 7; 18:10(1 $1^{\text {st }}$ occurrence) Syr[c]sp, 17, 19 Syr ${ }^{[c] s p, ~ 28, ~ 29, ~ 33 ; ~}$ 19:23(1 ${ }^{\text {st }}$ occurrence), 31; 20:6 Syrclcsp, 21, 30; 21:7 Syr ${ }^{[c \mathrm{clsp}}, 13$.

Sixteen of these twenty-eight Peshitta Johannine instances of $\boldsymbol{\tau}$ have no precedent in



[^57]7 Syr[c]s; 18:17 Syr[c]s, 28—o in Syr[c]s, 29—o in Syr[c]s; 20:21 Syricls; 30—o in Syr[c]s; 13—o in Syr[c]s.

Were we able to check both Old Syriac versions at the places where one of them has no precedent for the Peshitta $\boldsymbol{r}_{\boldsymbol{i}}$, but the other is not extant (twelve in the Curetonian and one in the Sinaitic), and the three places for which neither version is extant (Jn 18:33; 19:23, 31) we might find other occurrences of ${ }_{c}$. But even were that the case the evidence suggests that $\tau_{i}$ in the Peshitta is the consequence of deliberate lexical choice. As an equivalent of oũv, the Johannine $\sum_{\substack{e}}^{\text {presents itself as more than a remnant of occurrences that were not }}$ corrected to "טُá, which is the conclusion of Sebastian Brock. ${ }^{106}$ Rather, in its Johannine Peshitta context, it may be accepted as a particle that was considered by its translator (revisor) to be a semantically appropriate rendering of oũv (see $\S 4.7 .2) .{ }^{107}$

## 5. CONCLUSION

To subject Syriac particles to an exhaustive investigation is helpful, to say the least, for the insights to be gained are many and varied. First, we have seen that syntactically the two particles chosen for study can be defined more precisely than they have been previously and that semantically they cannot be yoked to their formal Greek counterparts. To the contrary, we have seen that it is linguistically perilous to make assumptions and draw conclusions on the basis of general rather than detailed observations, or without entering into the labyrinth of textual facts required by the complex relationship between source and target texts.

Secondly, the study reveals that Syriac particles no less than other parts of speech demand that they be assessed on an instance-by-instance basis. A critical point of exegesis in the target text can turn on a syntactic and semantic interpretation of a particle in the source that informed it. In this regard, choices exercised by the Syriac translators often reveal a sensitivity to the semantic functions of the underlying Greek particles-functions that in the modern period are often recorded only in major resources such as BDAG, Blass, Debrunner and Funk, and Robertson. It is a phenomenon that draws attention to the significance of detail in the study of particles, not only for a proper understanding of the particles themselves, but also of the text of the Early Syriac versions and their relationship to their source texts.

Thirdly, the study of Syriac particles is a window into translation techniques employed in the Peshitta New Testament. We have observed that distinct patterns of usage and different approaches in different books suggest deliberate vocabulary choices across a spectrum of material, and not just in specific instantiations. It underlines the need to treat

[^58]the two Syriac particles: and independently of each other. In conjunction with other evidence it also points to the collective authorship of the Peshitta New Testament.

Fourthly, the subject matter of our essay has inevitably again drawn attention to the fact that Syriac particles are frequently cited in textual criticism in support of either a primary or variant Greek reading, but often incorrectly. The situation can be rectified only through an exhaustive comparison of the Greek with the Syriac, and the treatment of Syriac as a natural language.

Fifthly, the continuing study of particles is critical for lexicography, especially for the lexicon that desires to provide grammatical classification in the form of taxonomy and parts of speech, and meanings that will do justice to the lexicalized vocabulary. In this regard, many of the syntactic, semantic, and text-critical insights could not have been accomplished without a detailed analysis of the Greek term underlying a Syriac term. The study therefore illustrates the need for the provision of source-text correspondences in a future lexicon of the Syriac New Testament, and for a sound methodology for the citation of those correspondences.

Finally, it must be said that the insights we have gained are to a restricted corpus. They therefore call for an examination of in and $\sim$ in other parts of the Syriac New Testament and in other Syriac literature, and for a detailed study of other Syriac particles. For the Syriac New Testament such studies promise further insights into the origins of the Peshitta and the number of translators involved in it.

As we have seen, particles are part of the literary, stylistic, and rhetorical fabric of the early versions. They deserve no less attention than that given to their Greek, Latin, and Hebrew counterparts.

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# CHAPTER 5 <br> TOWARDS AN ANALYtical Concordance of the Harkiean New Testament 

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#### Abstract

To justify the dominance of the translational perspective the accessibility of the version's Greek model is demonstrated by an analysis of the translator's philological principles. The second part continues to determine the non-Peshitta vocabulary of the Harklean for possible inclusion in a future Syriac lexicon. ${ }^{1}$


## 1. The Translational Perspective of the Concordance

In the domain of Syriac studies the first computer-assisted analytical concordance is the Konkordanz zum syrischen Psalter (1976) produced by N. Sprenger under the direction of W. Strothmann ( $\dagger$ 1995). ${ }^{2}$ Although the epithet "analytical" is absent from the title of this concordance and from the subsequent volumes published by Strothmann himself, ${ }^{3}$ it can be regarded as a model of an "analytical" concordance because of its lexical and morphological analysis as well as its translational information. A concordance for the New Testament Peshitta based on identical (and even more developed) analytical principles was produced by G.A. Kiraz (1993). ${ }^{4}$ The special analytical importance of these concordances has become clearer since the publication of the Old Testament Peshitta concordance issued by the Peshitta Institute Leiden (1997). ${ }^{5}$ This concordance produces the same analytical data as those of Strothmann, Sprenger, and Kiraz, but the data are arranged differently, and do not

[^59]reflect the more detailed morphological analysis of the others. The alphabetical arrangement of the articles and the sequential order of the entries according to biblical book, chapter, and verse are the sole principles of organisation in this concordance. Morphological information is reduced to the verbal stems of any given verb and attached to each entry by a Roman number. ${ }^{6}$ On the other hand, the Leiden concordance includes translational information about the corresponding Hebrew and Greek word(s), which is absent from the volumes of Strothmann and Kiraz. The existence side-by-side of concordances with different analytical features in the domain of Syriac studies makes it desirable to introduce the epithet "analytical" into the titles of concordances with explicit analytical arrangement of the entries. ${ }^{7}$

With regard to an analytical concordance of the Harklean New Testament the translational analysis will be the most characteristic feature. As the Harklean version is remarkably transparent in its representation of the Greek model it allows for a retroversion which goes far beyond the mere enumeration of the corresponding Greek-Syriac vocabulary. Full analysis of the Harklean will prepare the ground not only for a concordance but also for a Harklean Greek-Syriac lexicon and grammar of its own. This translational perspective of the version is the topic of the present article.

### 1.1 Two Basic Principles of the Concordance

An essential precondition for the lexical and morphological analysis of the Harklean is a critical and vocalized edition of the Syriac text to analyse the (most) original ${ }^{8}$ stage of the version and to avoid morphological ambiguity. ${ }^{9}$ Recently published texts of the Harklean Gospels, St. Paul and the Catholic Epistles included in comparative editions ${ }^{10}$ offer (although printed in unvocalized Estrangela-type ${ }^{11}$ ) convenient starting points for new and noncomparative editions of the Harklean in vocalized Serto-type. The existing comparative

[^60]editions of St. Paul and the major Catholic Epistles also paved the way for the translational analysis by the retroversions they offer. ${ }^{12}$ Although the detailed design of the future analytical concordance of the Harklean New Testament is still under discussion, there are, however, two basic principles which derive from the translational perspective of the version.

The first basic principle is that the concordance will follow Brockelmann's Lexicon Syriacum (2 ${ }^{\text {nd }}$ ed. 1928) in being organized according to root. The reason for this decision is the impact of translational analysis and the unexpected good access to the Greek model of the version. An analysis of the Harklean translation reveals that there is a striking correspondence between Greek words derived from the same root and the Syriac words used to translate them, which will also be derived from the same Syriac root; that is, one Syriac word and its derivatives will be consistent in the translation with the one Greek word and its derivatives. In order to represent the version's translational consistency satisfactorily in a concordance, the arrangement by root and by verbal stems respectively is the natural way to present the lexical and semantic correspondences.

The second basic principle is that the concordance will be presented in two sections: the first provides an analysis of the Syriac, providing the Greek correspondences used for each Syriac word; and the second is a reconstruction of the hypothetical Greek-Syriac lexicon.

A summary of translational information, however, will be located at the beginning of the entry, and will provide a condensed list of all Greek words corresponding to all derivations of a given Syriac root. For the article "حُحُ" the translational information is summarized as follows:

| حَّهُ |  |
| :---: | :---: |
| حَ: حَمُّ |  |
| ¢冖ّ حِّهُ |  |
| حِحْ |  |
|  | (i) oỉkovouía |

Entries formed by the status constructus $\wedge^{\wedge}$ are fully presented in the articles of the genitive respectively, but are given by a simple list in the article "حُحْ":


 lexicon and in the future concordance.

The Syriac-Greek list gives an extract of the Greek-Syriac lexicon from the perspective of a single Syriac root. This reduced perspective shows the root-to-root correspondence and the correspondence of word formation at a single glance. The Roman numbers will be

[^61]attached to the single Syriac citations in the analytical section of the future concordance to refer to the Greek background of a given key word（according to the model of Hatch and Redpath＇s concordance of the Septuagint and the Leiden concordance of the Peshitta）．

The information drawn from the translational Syriac－Greek summary at the beginning of an article is restricted to the single roots，thus suppressing translational information about different Syriac renderings of the same Greek word．It is the Greek－Syriac lexicon which opens the full translational perspective by assembling complete Greek－Syriac information．In fact this part is a Greek－Syriac index of the analytical part．The translator（s）had to note all Syriac correspondences of a given Greek word，to which they probably added correspondences of idiomatic phraseology；occasionally rather than consistently they quoted where within the biblical books the entries occurred．The Eusebian sections in the Gospels and the stichoi－numbers in the Apostolos offered an unfailing system of reference and were introduced into the Harklean manuscripts from the Greek model of the version．A page of the translator＇s Greek－Syriac lexicon may have looked like the following list：

| Oỉkeîos |  |
| :---: | :---: |
| Oi̋кย́тๆऽ |  |
| oiketeía | －حُشُمٌ Mt 24：45 |
| Oỉкと́ $\omega$ | always |
| oík $\eta \mu \alpha$ | （حُّActs 12：7 |
|  | 2 2 Cor 5：2；Jude 6 |
| oikkí | حُحفُّ |
| оі̋кıкко́ऽ |  |
|  | 50：14 |
| оі̉кобєбло́тทऽ |  24：43；Mk 14：14；Lk 12：39；13：25；14：21 |
| оi̋кобоиદ́ $\omega$ | always |
| оíкобои | ح حسْنُ |
| oikoঠó $\mu$ оs | حك |
| oỉкого的 $\omega$ |  |
| oikovo㿟人 | （i） 1 （ 1 Cor 9：17；Eph 1：10；3：2， 9 |
|  |  1：7； 1 Pet 4：10；（ii） |
| － ikos |  |
| oỉkov $\mu$ ह́v $\eta$ | always |

This list constructed from the Greek－Syriac correspondences gives full translational information including the different renderings of the single Greek words．For example，
 (on semantic grounds). From this list we also learn that oỉké $\tau \eta$ can be rendered by lín

### 1.2 Approaching the Greek Model of the Harklean Version ${ }^{13}$

The special attention paid to the translational analysis in the analytical concordance of the Harklean New Testament requires an explicit justification of the claimed level of accessibility to the Greek model by retroversion. From the very beginning of the project it was clear that the general limitations ${ }^{14}$ imposed on Syriac in representing Greek would affect the detailed restoration of the Greek model. However, the translator's thorough principle of "mirror translation," weakens these limitations and allows for a retroversion which is detailed enough to serve the translational perspective of the concordance. The actual possibility of retroversion is closely connected with the historical setting at the version's origin and with the philological principles adopted by the translator. Decisive help, however, to restore the Greek model comes from the fact that Greek manuscripts survived which are closely related to this model.

### 1.2.1 The Historical Setting

In the second decade of the $7^{\text {th }}$ century the Sassanids invaded the Byzantine Empire and occupied Syria, Palestine ( 611 capture of Antioch, 613 of Damascus, 614 of Jerusalem), and Egypt (619). In 615 Persian troops reached the Bosphorus at Chalcedon and threatened Constantinople. The ongoing political and military crises forced Emperor Heraclius (610$641)^{15}$ to strengthen the Empire by seeking to reconcile doctrinal controversies between the Christian communities (Chalcedonians and Miaphysites). In 616 this policy was successful in reconciling the schism between Syrian and Egyptian Miaphysites, which dated from the time of the Syrian Patriarch Peter of Kallinikos (581-591) and the Coptic Pope Damian (578607). ${ }^{16}$ After his brilliant campaigns of $622-628,{ }^{17}$ which caused the Sassanids to withdraw from the Eastern provinces and Egypt, the Emperor was in a powerful enough position to pursue the reconciliation of christological controversies by political pressure. In circa 631 he assembled the hierarchy of the Syriac Miaphysite Church at Mabbug to propose a

[^62]christological formula for union. ${ }^{18}$ The rejection of the formula by the Miaphysites was followed by severe restrictions and persecutions.

Persecutions of the Syriac Miaphysites by the Chalcedonians in 598-599 during the reign of Emperor Maurice (582-602) resulted in the expulsion of Thomas of Harqel ${ }^{19}$ (bishop of Mabbug) and several of his fellow bishops from their sees; ${ }^{20}$ they fled to Egypt and stayed in the Enaton near Alexandria. ${ }^{21}$ During the Persian invasion the Syrians could have returned to their former sees as the Persians now expelled the Chalcedonian clergy; but it is more likely that the Syrians stayed in Egypt to reunite with the Egyptian Miaphysites and to accomplish the vast translation project they started in the Enaton. ${ }^{22}$ This reunion and this translation project were the last participations of the Syrian Miaphysites in "ecumenical" Byzantine activities before Syria/Palestine and Egypt were lost to the Arabs shortly after Heraclius' death. Thomas of Harqel was involved in both projects. His excellent Greek education qualified him not only for the translation work but also to serve his Church on the "ecumenical" stage. He doubtless could speak Greek fluently and was the leading figure in the negotiations with the Egyptian Miaphysites and with the Chalcedonians.

### 1.2.2 The Translation Project and Its Ecumenical Dimension

The translation project of the Old and New Testaments resulted in the Syro-Hexapla and the Harklean version. Both translations were prepared by the same team (headed by Paul of Tella and Thomas of Harqel), at the same location (the Enaton) and were based on identical philological principles. Their outward appearance is dominated by the extensive use of critical signs inside the text (asterisks/obeloi) and of Greek and Syriac words in the margins. It was an official project inaugurated and supervised by Patriarch Athanasius I (Gammala). ${ }^{23}$

A Greek "ecumenical" perspective of the project is reflected by the Greek canon of biblical books as well as by the adoption of a "mirror translation," which gives a calque of the Greek original. The intention was not to produce a better Syriac New Testament version

[^63]but to adopt the Greek texts themselves. The creation of the Harklean and the Syro-Hexapla certainly responded to the challenge of reunion, and was designed to supply the Syrians with tools for theological and dogmatic discussion. In fact it was the Greek original of these tools the Syrians used in their discussions and negotiations; the Syriac adaptation probably was the official inner-Syriac promulgation of the "ecumenical" texts. As the Harklean was completed in 615/616 and the Syro-Hexapla in 619 the adoption of the Greek texts and their Syriac promulgation may have been stimulated by the reunion with the Egyptian Miaphysites of the year $616^{24}$ and not by the more general perspective of a union with the Chalcedonians.

### 1.2.3 The Comparative Design of the Translation

Besides the decisive turn to the Greek it is also the comparative presentation of Greek textual traditions (in Syriac translation) which reflects the "ecumenical" perspective of the project. This comparative presentation can be traced best in the Syro-Hexapla which is a translation of Origen's Septuagint text, of the fifth column of his Hexapla. The Hexapla can duly be described as a comparative edition. Its first column gives the Hebrew text, the second the transcription of the Hebrew in Greek letters, followed by the translations of Aquila and Symmachus, Origen's revision of the LXX, and the translation of Theodotion. The Syrians did not translate the Hebrew text, because only Greek texts were of "ecumenical" currency. They relied on the philological authority of Origen and his LXX which was handed down by Pamphilus and Eusebius and carefully quoted the additions and omissions (by asterisks and obeloi) of the LXX compared with the Hebrew text. The intention of the Syro-Hexapla is to adopt Origen's text in full and represent the other three Greek texts by quotation, thus preserving the Greek portions of the Hexapla en miniature. The Syro-Hexapla is a compressed comparative translation of the four different Greek Old Testament versions extant in the Hexapla which reduces the translations of Aquila, Symmachus, and Theodotion to marginal quotations.

The comparative purpose is also the ruling principle of the Harklean version. The subscriptions explicitly say that Greek manuscripts were used to "compare" the text, but nothing is said about the character or origin of the texts compared (while in the SyroHexapla the marginal quotations are labelled with Olaf, Semkath, and Taw according to the translators Aquila, Symmachus, and Theodotion respectively). From the present-day textcritical perspective one can say that comparison in the Harklean version (grosso modo) refers to an early Byzantine text of the New Testament which was adopted for the main text of the version, and to non-Byzantine texts which were represented by selected readings in the margins. Thomas' version, however, is of explicit Byzantine character only in the Gospels; in the Corpus Paulinum this character is reduced and its existence in the ActsCatholic Epistles has been doubted. To understand the uneven textual character of Thomas' text we have to take into account the gradual development of the Byzantine text in the

[^64]different parts of the New Testament canon. At the beginning of the 7th century this text was developed most in the Gospels, less in St Paul, and hardly at all in Acts and the Catholic Epistles. ${ }^{25}$

Of course the Syrian Miaphysites had no knowledge of texttypes as modern critics have, but they were aware of the non-uniformity of the Greek text. For Thomas the basic contrast between text and margin was the one of "ecclesiastical" and "non-ecclesiastical," "ecumenical" and "non-ecumenical" texts; for modern critics it is the one of different texttypes. The Syrian Miaphysites knew that the Greek text offered different textual traditions which should be presented according to their "ecumenical" or "marginal" dissemination.

The Greek model of the Harklean version we can expect to be a carefully chosen "ecumenical" text. But how should we identify this "ecumenical" text which certainly was of varying textual character in the individual parts of the New Testament? How did Thomas identify it? Thomas' choice was not based on text-critical considerations, but on authority. With the exception of the Gospels the Byzantine text of his time was too undeveloped to be identified by him as an undisputed ecclesiastical authority. As Origen's Hexapla had no New Testament correspondence, the Syrians themselves had to select the authoritative texts. The authority behind their selected texts is likely to be identical with that behind the SyroHexapla: the textual tradition of Caesarea represented by Eusebius, Pamphilus, and Origen.

### 1.3 The Philological Principles of the Harklean Version

The search for a Greek New Testament text that would be acceptable in an ecumenical context prompted the Syriac Miaphysites to examine and compare existing textual traditions and to adopt the high standards of Alexandrian philology. This investigation enabled the Syrians to select approved Greek texts and avoid relying on arbitrary or accidental sources. Their expertise in selecting Greek manuscripts is now the primary means by which we can identify the Harklean's Greek model. The second means is the translator's philological procedure for presenting the textual traditions.

### 1.3.1 Revising the Philoxenian Version

The translator of the Harklean refers to his foundational philological principle in the subscriptions of his version. He declares his translation to be a "revision" of the Philoxenian version (of $507 / 508$ ), executed with three Greek manuscripts in the Gospels, two in the Corpus Paulinum, and one only in Acts-Catholic Epistles. ${ }^{26}$ What does this basic principle mean? How did the "revision" work?

[^65]The adoption of a Syriac text to be revised by Greek manuscripts seems to undermine the Greek "ecumenical" perspective of the version. But in fact the opposite is true. According to the Harklean subscription to the Corpus Paulinum (which includes the subscription to the Philoxenian version) the latter is of Caesarean provenance by its underlying Greek text for which an explicit affiliation from Pamphilus is reported. ${ }^{27}$ Although this provenance is not mentioned in the subscriptions to the Gospels and Acts/Catholic Epistles, the Caesarean affiliation of the whole version or at least of the Praxapostolos could easily be taken for granted. An explicit Caesarean imprint on the Philoxenian (and consequently on the Harklean) are the Eusebian sections, canon tables, and the letter to Carpian in the Gospels and the "Euthalian apparatus" in the Praxapostolos.

Provided the Caesarean affiliation of the Philoxenian was not in question for the Syrians, and that this affiliation was the reason for making this earlier version the starting point for the new, we can be sure that the Harklean revision could not introduce substantial alterations to the Philoxenian version. It is likely that the revision actually was a check and update ${ }^{28}$ of the Philoxenian Syriac in order to produce a "mirror translation;" it also introduced additional Greek textual materials into the existing version but kept them separate from the Greek substance of the version by either quoting them in the margins or by putting them with critical signs (asterisks and obeloi). Regarding the purpose of these quotations there is no substantial difference between text and margin. Additions and omissions could be marked in the main text, while alternative readings had to be placed in the margins. This procedure is intended to include and to distinguish at the same time. It was important from the "ecumenical" perspective to offer a maximum of Greek traditions without mixing and distorting their characteristic textual features.

From our knowledge of the Harklean Greek model (see $\S 1.4$ ) we are in a good position to observe the reviser's principle of distinguishing between the various textual traditions he adopted for his work. We can see that neither the marginal quotations nor the words put with asterisks were part of the original Greek model. ${ }^{29}$ This implies the consistent use of one

[^66]single authoritative Greek manuscript for revising the Philoxenian (that is, producing the Harklean), while additional Greek manuscripts are the source of the comparative quotations in the Harklean margins. ${ }^{30}$ This knowledge of the revisional procedure cannot be drawn from an interpretation of the Harklean subscriptions but solely from a text-critical investigation. Thomas' strict adoption of a single Greek manuscript for the main text of his version is one more essential condition for the identification of the Greek model.

### 1.3.2 The Substantial Identity of the Philoxenian and the Harklean

Probably to bring his own new version in parallel with the Caesarean-Palestinian origin of the Syro-Hexapla, Thomas adopted the Philoxenian as the starting point for his work. An essential result of this adoption was that the underlying Greek texttype was not changed while the translation was being revised with Greek manuscripts. Selecting a Greek model of identical or similar type was the best way to avoid substantial changes. As the Philoxenian was created more than one hundred years ago, Thomas' revision may also have introduced minor modifications to the Greek text behind the Philoxenian according to the actual Greek model he selected; comparative material, however, he drew from manuscripts of different types. The Syriac translation was thoroughly updated, and developed from being a fairly exact rendering into a "mirror translation."

A proof of the substantial identity of both versions can be given by comparing the Greek model of the Harklean with Syriac quotations of the Philoxenian extant in writings of Philoxenos of Mabbug. The result of this comparison is presented below. ${ }^{31}$ Although there is a total of about 330 verses in the Corpus Paulinum attested by quotations of Philoxenos, only 19 differences could be traced in the Greek background of both versions (provided the quotations of Philoxenos are reliable representatives of the version). In twelve cases the Harklean is opposed to the Philoxenian (that is, the Philoxenian is revised by the Harklean); in seven more cases (underlined in the list below) the Philoxenian is revised but retained in the margin of the Harklean. A characteristic feature of the Philoxenian Syriac is numerous anticipations of the Harklean syntax and vocabulary as well as the reproduction of Peshitta elements.

[^67]The following writings of Philoxenos are among those composed after 507/508 CE, when the new version was created: Ph Comm.jh $=$ Philoxenian quotation according to the edition of de Halleux, Pbiloxène de Mabbog. Commentaire du prologue johannique. -Ph Comm.Mt.Lk $=$ Philoxenian quotation according to the edition of Watt, Pbiloxenus of Mabbug. $-\mathrm{PhEp}=$ Philoxenian quotation according to the edition of de Halleux, Lettre aux moines de Senoun. $\mathrm{Ph}^{\mathrm{De} \text { trin }}=$ Philoxenian quotation according to the edition of Vaschalde, Pbiloxeni Mabbugensis tractatus de trinitate et incarnatione. $-\mathrm{Ph}^{\text {Euthal }}=$ Philoxenian quotation drawn from "Euthalian material" preserved in Ms BL Add. 17,193; cf. Wright, Catalogue II, 989-1002 and de Halleux, Pbiloxène de Mabbog. Sa vie, ses érrits, sa théologie, page 125, note 42.
$\mathrm{Hk}=$ Harklean version, $\mathrm{Hk}^{\mathrm{mg}}=$ Syriac marginal reading of the Harklean version, $\mathrm{Hk}^{\text {gr }}$ $=$ the Greek model of the Harklean version.

Rom 1:2 NA $\left.{ }^{27} \mathrm{Hkgr} \pi \rho \circ \varphi \eta \tau \hat{\omega} \nu \alpha v ̉ \tau o \hat{v}\right]$ PhComm.jh 40,13; 50,22; 139,14 om. $\alpha v ̉ \tau 0 \hat{v}-$ Rom





 matching the Greek text - 1 Cor 12:13 NA $\left.{ }^{27} \tilde{\varepsilon} v \pi v \varepsilon \widehat{v} \mu \alpha \dot{\varepsilon} \pi \mathrm{o} \circ \dot{\tau} \sigma \theta \eta \mu \varepsilon v\right]$ Hkgr.txt $\tilde{\varepsilon} v \pi o ́ \mu \alpha$




 $\mathrm{Hk}^{\mathrm{mg}}$ ) - Gal 6:15 $\mathrm{Hk}^{\text {gr }} \mathfrak{\imath} \sigma \chi$ ט́عı] $\mathrm{NA}^{27} \mathrm{Ph}^{\text {Euthal }}$ fol. 3 v ह̇ $\sigma \tau \iota \nu\left(=\mathrm{Hk}^{\mathrm{mg}}\right.$ ) $-\underline{1 \text { Tim 3:16 } \mathrm{NA}^{27} \text { ő } \varsigma ~}$ Hkgr ơ] $\mathrm{Ph}{ }^{\text {Comm.jh }} 65,1$ ős ( $=\mathrm{Hk}^{\mathrm{mg}}$ ). It is not evident whether the Harklean margin oci refers to ő or ő (my interpretation is ős) - 1 Tim 6:15 NA ${ }^{27} \mathrm{Hkgr} \mu \alpha \kappa \alpha ́ \rho$ poç] PhComm.jh 229,7
 PhComm.Jh 34,28 om. $\lambda$ ov ${ }^{2} \rho \circ \hat{v}$ (the omission is not attested in Greek or Syriac) - Heb 8:9
 $\pi \rho \varepsilon \sigma \beta \hat{\tau} \tau \varepsilon \rho \circ \mathrm{\imath}] \pi \alpha \dot{\alpha} \tau \varepsilon \varsigma$ oi $\pi$. PhComm.Jh 149,11 (not attested in Greek and Syriac).

The philological principles of the Harklean version are (1) revision of the Philoxenian based on a Greek manuscript of identical or similar texttype; (2) the introduction of comparative material taken from Greek manuscripts of different texttype; (3) strict separation of the comparative material from the main body of the text; (4) a new ("mirror") translation of the substantial Greek text common to both versions. These principles contributed to the protection of the selected Greek traditions in general and to the protection of the Greek model from being mixed with comparative material in particular. These principles paved the way for the recognition and restoration of the Greek model to be possible even after almost fifteen hundred years.

### 1.4 The Identification of the Greek Model ( $\mathrm{Hkgr}^{\mathrm{gr} \text { ) }}$

To identify the Greek model of the Harklean we have to apply ourselves to: (1) a group of manuscripts related to this model, (2) the Greek margin of the version, and (3) the translation technique ("mirror translation").

### 1.4.1 The Manuscripts Related to the Greek Model (GR2138)

The original Greek model of the Harklean is lost. But there is a group of four $10-15^{\text {th }}$ century manuscripts ${ }^{32}$ which by their archetype are closely related to the Greek model of the Harklean. This archetype ( Z , ca. $6^{\text {th }} / 8^{\text {th }}$ century) and the model $\mathrm{Hk}{ }^{\text {gr }}$ (ca. $5^{\text {th }} / 6^{\text {th }}$ century) go back to a $5^{\text {th }} / 6^{\text {th }}$ century ancestor $(\mathbf{U})$. The reason of the group's indirect relation to Hkgr is a number of significant disagreements between $\mathbf{Z}$ and Hkgr ; their significant agreements, however, give proof of relationship. The following list gives (almost) all exclusive agreements between GR2138 and Hkgr in the Corpus Paulinum:

The lemma is taken from NA ${ }^{27}$, the variant from Hk /GR2138.
See NTSyr II, 1 p. 25; II, 2 p. 33: II, 3 pp. 44-45.
Rom 4:16 $\quad \tau \widehat{\varrho} \sigma \pi \varepsilon ́ \rho \mu \alpha \tau \imath] \tau \widehat{\varrho} \pi \imath \sigma \tau \varepsilon v ́ o v \tau \imath-\operatorname{Rom} 14: 11 \tau \widehat{\varrho} \theta \varepsilon \widehat{\varrho}] \tau \widehat{\varrho} \kappa v \rho i ́ \varphi-\operatorname{Rom}$ 16:15 $\pi \alpha \dot{\alpha} v \tau \alpha \varsigma$ ] om. (in Syr ${ }^{\text {h }}$ excluded from the main text by asterisk) - 1 Cor 5:13 ó $\theta$ عòs





 - Eph 2:10 $\dot{\alpha} \pi o ̀ ~ \tau \hat{\omega} \nu ~ \alpha i ̂ \omega ́ v \omega v] ~ a d d ~ \kappa \alpha i ̀ ~ \alpha ̉ \pi o ̀ ~ \tau \hat{\omega} \nu ~ \gamma \varepsilon v \varepsilon \widehat{\omega} \nu ~ — ~ P h i l ~ 1: 10 ~ i ̌ v \alpha ~ \hat{\eta} \tau \varepsilon] ~ a d d ~ \tau \varepsilon ́ \lambda \varepsilon ı o 七 ~$

 $\tau 0 \widehat{v}$ X $\rho ı \sigma \tau 0 \widehat{v}-1$ Thess 4:1 $\dot{\alpha} \delta \varepsilon \lambda \varphi o i ́] ~ a d d ~ \mu o v-1$ Thess 4:13 $\theta \dot{\varepsilon} \lambda o \mu \varepsilon v] ~ \theta \check{\varepsilon} \lambda \omega-1$ Thess









[^68]



As GR2138 is a group of rather late manuscripts it is affected by the influence of the Byzantine text. In accordance with the development of the Byzantine text in the individual parts of the New Testament GR2138 is most consistent in the Catholic Epistles. In the Corpus Paulinum it is often divided by this influence; for the Gospels the consistency of the group cannot sufficiently be checked as the Gospels are extant only in 1505 and 2495. The Byzantine text certainly did not only affect the members of GR2138 (and the original text of their archetype), but also the Harklean version itself (see below in note 38). This influence of the Byzantine text is the reason for the general distortion of the textual traditions involved. It is a heavy charge for the reconstruction of the original text of the Harklean version. ${ }^{33}$

Usually the Harklean "mirror translation" is a transparent reflection of the Greek text of the model $\mathrm{Hkg}^{\text {gr }}$; additional guidance comes from the texts of Gr2138. Even the total disagreement of GR2138 and Hkgr seldom leaves Hkgr in darkness, as other manuscripts can offer a matching Greek text. With very few exceptions this guidance results in a satisfactory retroversion, although minor details cannot be traced with certainty and should be marked as uncertain in a printed retroversion. Identification of the Greek model requires full collation of GR2138 and of the early manuscript tradition. Fortunately the resources and projects of the Institute for New Testament Textual Research have provided the necessary detailed information for the retroversions of the Corpus Paulinum and the major Catholic Epistles. ${ }^{34}$

Two important insights derive from the attempts of retroversion. First, that the accessibility of the Greek model is not limited to individual New Testament writings or corpora of New Testament texts. At a minimum the whole Praxapostolos of the Greek model is accessible through the members of GR2138, and the Gospels at least in part. Secondly, that the archetype $\mathbf{Z}$ of GR2138, Hksr itself and $\mathbf{U}$ (the archetype of $\mathrm{Hkgr}^{\text {gr }}$ and $\mathbf{Z}$ ) represent three codices of similar textual character. This clearly reflects their common background and membership of the Greek model used for the translation when taken in the broader context of the history of the New Testament text. ${ }^{35}$ It is this common background

[^69]and membership which enabled the Greek model of the Harklean to be traced in a group of manuscripts indirectly related to this model.

### 1.4.2 The Greek Margin

The following list gives the complete Greek margin of the Harklean Corpus Paulinum (excluding, however, the numerous proper nouns). ${ }^{36}$ It presents the Greek margin and the Syriac text to which it is attached. In an earlier stage of the preparation of this article I believed that it was an extract of the translator's Greek-Syriac lexicon. The obvious purpose for presenting Greek words and expressions which were difficult to mirror in Syriac in order to illustrate and justify the Syriac rendering seemed to originate from the translator himself. An additional original feature seemed to be the presence and consistency of this material in the early Harklean manuscripts. But when I realized the "massoretic" imprint of this material, especially represented by the glosses and transliterations of the Greek, I changed my mind. The Greek margin is more likely to derive from those who transmitted, checked, and studied the Harklean version. Although of secondary character, the Greek margin reflects the earliest lexicographical work on the Harklean already attested for the $8^{\text {th }}$ century in Ms Plut. I. 40 of the Biblioteca Laurenziana (Florence), dated Kanun I 1068 AGr (= Dec. CE 756).

Sigla: ms J = ms syr. 37 of St Mark Monastery (Jerusalem); ms O = ms New Coll. 333 (Oxford); in $\mathrm{ms} \mathrm{C}=\mathrm{ms}$ Add. 1700 of the University Library Cambridge; in this ms no margin (neither Syriac nor Greek) is extant. Usually the marginalia are included in the manuscripts J and O. To those which are extant in one manuscript only the siglum "J" or "O" is attached. - Glosses and Syriac transliterations of the Greek are not explicitly given but indicated by "+ gloss" and "+ transliteration." For 1 Cor 2:14 I give the transliteration for illustration.

## Romans







2:3 $\mathfrak{\omega}(\mathrm{ms} \mathrm{O}) / \mathfrak{o}$
مبُمْ


6:9 кขplعv́عı/ مٌ

[^70]7:13 ( $\tau 0 \hat{v}$ ) $\dot{\alpha} \gamma \alpha \theta 0 \hat{v} /$ /Aُح

8:11 $\theta \vee \eta \tau \alpha \dot{\alpha} /$ /h


8:25


9:28 $\sigma \cup v \tau \varepsilon \lambda \widehat{\omega} \nu /$ /


11:12 к


11:33 $\omega$ ( ms O )/ó
11:33 $\omega \varsigma /$ /
12:3 $\omega \mathrm{c} / \mathrm{T}_{\mathrm{T}}$

13:4 بорєî/ حخّم
13:6 $\pi \rho о \sigma \kappa \alpha \rho \tau \varepsilon \rho \circ$ ôv $\tau \varepsilon \varsigma /$ /








## 1 Corinthians


3:10 $\alpha \rho \chi \iota \tau \varepsilon ́ \kappa \tau \omega \nu /$ /R


4:15 $\pi \alpha l \delta \alpha \gamma \omega \gamma \circ$ จ́s/
5:7 غ̇t




7:25 $\gamma \nu \omega ́ \mu \eta \nu /{ }^{\circ}$


```
7:40 \(\gamma \nu \omega \dot{\omega} \mu \eta \nu(\mathrm{ms} \mathrm{O}+\) transliteration)
9:2 \(\sigma \varphi \rho \alpha \gamma^{\prime} \mathrm{\imath} /\) /
9:18 \(\theta\) v́ \(\sigma \omega\left[\mathrm{NA}^{27} \theta \dot{\eta} \sigma \omega\right](\mathrm{ms} \mathrm{J}) /{ }^{\text {/ }}\)
```



```
10:2 cỉs 兀òv M
```





```
11:19 \(\delta \varepsilon \hat{1}\) /
```





```
12:11 îoía / /
12:13 \(\pi\) ó \(\mu \alpha\) /
```












```
مث:
2 Corinthians
```





```
2:14 \(\theta \rho ı \alpha \mu \beta \varepsilon\) ט́vov
```





```
4:11 11 号
5:7 ع̌̌̌Ov (mss JO + gloss)/Lُ
6:3 \(\mu \omega \mu \eta \theta \hat{T}\) (mss JO + gloss) \(/\) /
```





```
8:5 ŋ̀ \(\lambda \pi i ́ \sigma \alpha \mu \varepsilon \nu /\) /
```

8:19 хعı
8:20 $\dot{\alpha} \delta \rho o ́ \tau \eta \tau \imath /$ حُخْ

9:10 $\gamma \varepsilon \nu \dot{q} \mu \alpha \tau \alpha /{ }^{2}$

11:2 ท $\rho \mu о \sigma \alpha ́ \mu \eta \nu /$ /




11:32 ó ė $\theta$ vó $\rho \chi \eta \varsigma /$ /


12:7 бко́ $о$ оч/ /Rْ
12:7 $\Sigma \alpha \tau \hat{\alpha} v\left[=\right.$ v.l. in $\mathrm{NA}^{27} ;$ txt $\left.\Sigma \alpha \tau \alpha v \hat{\alpha}\right] /$, مُمْ


## Galatians


1:13 к $\alpha$ 亿 દ̇






2:14 iov


3:24 عís (X



4:19 ف̉סív


Ephesians
1:10 $\alpha$ بمْ
2:2 $\alpha i \hat{\omega} v \alpha$ то



3:15 $\pi \alpha \tau \rho 1 \alpha \dot{\alpha} /$ Lُ

4:9 $\mu$ ќp $\eta /$ / 1 Lُ



4:29 $\tau 1 \varsigma /$

- á


5:32 عiऽ (X



6:14 خท̀v ỏ ō

6:16 Oupalóv [NA ${ }^{27}$ Ovpe-]// مَمْمُ


## Philippians





3:21 عís тó/, حهُ
4:3 $\sigma v v \eta \dot{\theta} \theta \lambda \eta \sigma \alpha \dot{\alpha} v \mu \mathrm{O} /$ /hُ


4:12 $\mu \varepsilon \mu v ́ \eta \mu \alpha \mathrm{~L} / \mathrm{L}$

## Colossians


1:7 ovvסov́ ${ }^{\text {صُÅ }}$



2:14 $\pi \rho \circ \sigma \eta \lambda \omega \sigma \alpha \varsigma(\mathrm{ms} \mathrm{J}) / \alpha_{\hat{U}}^{3}$
2:18 к $\alpha \tau \alpha \beta \rho \alpha \beta \varepsilon v \varepsilon ́ \tau \omega / \tau$


2:20 סоү



3:15 $\beta \rho \alpha \beta \varepsilon v \varepsilon ́ \tau \omega$ (ms O + transliteration)/


## 1 Thessalonians

1:2 $\alpha \delta 1 \alpha \lambda \varepsilon$ сí $\pi \tau \omega$ / / $\alpha$
1:5 $\pi \lambda$ 七七орорі́

3:3 $\sigma \alpha$ íve $\sigma \theta \alpha \mathrm{l} /$ /iñ
4:3 ó ó $\gamma \boldsymbol{\gamma} \alpha \sigma \mu$ ós//مُ
5:8 Өஸ́p $\alpha \kappa \alpha$ (ms O + transliteration)/ $/ \mathrm{L}$



## 2 Thessalonians


2:4


3:14 $\sigma \eta \mu \varepsilon ı v ิ \sigma \theta \varepsilon(\mathrm{~ms} \mathrm{O}+$ transliteration)/
3:17 $\sigma \eta \mu \varepsilon$ îov (ms O + transliteration)/ $/$ Lُ

## 1 Timothy


1:9 $\alpha$ حْ
1:10 $\alpha v \delta \rho o \pi o \delta \imath \sigma \tau \alpha i ̂ \varsigma\left[N A^{27}-\delta \rho \alpha-\right](\mathrm{ms} \mathrm{O}+$ transliteration)/ $/$ lِ


1:16 غ̇ $\pi^{\prime} \alpha v ̉ \tau \widehat{\omega} / \alpha$ حُ

2:9 $\pi 0 \lambda \cup \tau \varepsilon \lambda \varepsilon \hat{\imath} /$ /م
2:12 $\alpha$ ข̉ $\theta \varepsilon \nu \tau \varepsilon$ îv/
2:15 тєкvo

محُحُوْمٌ
4:1
5:4 عủซعßع
5:6 $\sigma \pi \alpha \tau \alpha \lambda \hat{\omega} \sigma \alpha$ (ms O + transliteration)/ /
5:13 к $\alpha$ ì $\varphi \lambda$ र́
6:11 ต่/ ช่

6:20 ${ }^{\omega} / \mathrm{o}$
6:20 $\pi \alpha \rho \alpha \theta^{\prime} \kappa \eta$ (sic) (ms O)// $/$ /

2 Timothy


1:9 $\pi \rho$ مُبُ:شَّها مـمُمُـا/

2:19 ع̌ $\sigma \tau \eta \kappa \varepsilon v /$ مُمُمُ (or
2:26 غ̇ち

3:16 Өعó
4:5 عv̉ $\alpha \gamma \gamma \varepsilon \lambda$ í $\sigma \tau \circ$ / /




Titus
1:7 $\alpha$ טِّنُمُ

2:3 iع



2:7 $\dot{\alpha} \varphi \theta \alpha \rho \sigma$ í $\alpha \nu / \alpha$ /لُ


Philemon


## Hebrews

2:1 $\pi \alpha \rho \alpha \rho v \hat{\omega} \mu \varepsilon \nu(\mathrm{~ms} \mathrm{O}+$ transliteration + gloss $) /$.
Ms J breaks off at Heb 2:5; (حمَّلأُّا); henceforth ms O is the only representative of the Harklean Greek margin.



5:11 v $\omega$ の $\rho \circ$ / /

```
5:12 \sigma\tauo\imath\chi\varepsilon\imatĥ\alpha (+ transliteration + gloss)//0.0
```



```
}:19 \alpha
```






```
9:4 \sigma\tau\alphá}\mu\nu\nu\mp@code{/ / %
00:4 к\alphaì [NA27 + \alphai] \pi\lambda\alphá\alpha\kappa\varepsilon\varsigma/ 0
```



```
10:7 \varepsiloṅv к\varepsilon\varphi\alpha\lambdaí\delta1 (+ transliteration)//\mp@code{%}
10:29 kouvóv//a
00
```




```
11:16 غ̇\piov\rho\alphavíov (+ transliteration)// ح
v%
```


### 1.4.3 "Mirror Translation" (Sample)

Finally, a sample of "mirror translation" will illustrate the transparency of the Syriac to the Greek model. Phil 3 (taken from Das Neue Testament in syrischer Überlieferung II,2 526-27) is chosen for its simple syntax and well-balanced combination of Harklean textual features. The purpose of this sample text is to show that the accessibility of the Greek model is hardly affected by ambiguity with regard to the lexical level. There are only three ambiguities (in
 $\sigma v \mu \mu о \rho \varphi o ́ o \mu \alpha \imath$ ) which do not concern prepositions and articles (verses $1,3,8,9$ ).

We can find quasi-exclusive agreements of Hks/Gr2138 in verses 4, 12 (twice), and 17; agreements including the Byzantine text in verse 16, 20, and 21. Disagreements of $\mathrm{Hkg} / \mathrm{GR} 2138$ are in verses 3 and 13.

The Greek gives the text of Hkgr/GR2138 (= 1505.1611.2138.2495). Deviating members of GR2138 are explicitly quoted in the apparatus. Underlined words indicate differences between the retroversion and the NA ${ }^{27}$ text. Besides NA ${ }^{27}$ the sources of the Greek variants presented in the apparatus are Das Neue Testament auf Papyrus II. Die Paulinischen Briefe, Teil 2: Gal, Eph, Phil, Kol, 1 u. 2 Thess, 1 u. 2 Tim, Tt, Pblm, Hebr³; H. Freiherr von Soden, Die Schriften des Neuen Testaments, vol. 2: Text und Apparat. Usually manuscripts from von Soden's edition are summarized rather than listed individually; variants attested only by him are, with few exceptions, omitted.

[^71]$(\mathrm{MT})=$ Majority Text of the Greek NT according to NA ${ }^{27}$ p. $5^{*}$; $\mathrm{Hk}^{\mathrm{ms}} \mathrm{O}=\mathrm{ms}$ New Coll. 333 (Oxford); $\mathrm{Hk}^{\mathrm{ms} \mathrm{J}}=\mathrm{ms}$ syr. 37 of St Mark's Monastery (Jerusalem); Hk ${ }^{\mathrm{ms}} \mathrm{C}=\mathrm{ms}$ Add. 1700 of the University Library Cambridge; Hkgr = the Greek model of the Harklean; $\mathrm{Hk}=$ the Syriac text of the Harklean. See the Introduction of NA ${ }^{27}$ for a key to other sigla used here.


مْمٌُ .
 104.323.614.629.945.2464 al

Hkgr oкv $\quad$ роv or oкข $\eta \tau \varepsilon$ о




مv̌ L ${ }^{\top} \varepsilon v \mathrm{P}^{46}-{ }^{\ulcorner }$Orov (MT) $\mathrm{Hk}^{\mathrm{mg}} \boldsymbol{\aleph} * \mathrm{ABCD}^{2}$ FG 0278 vid $33.1739 .18811611 .1505 .2138 .2495 \mathrm{Hk}^{\mathrm{mg}}$
 D
$H_{k r}{ }^{g r} \pi v \varepsilon v \mu \alpha \tau \iota$ or $\varepsilon v \pi \nu \varepsilon v \mu \alpha \tau \imath$ - GR2138 (MT, Hk ${ }^{\text {mg }}$ ) $\theta \varepsilon o v$ against Hkgr $\theta \varepsilon \omega$. Thomas quotes the (MT) $\theta \varepsilon o v$ in the margin - Hkgr оик $\varepsilon \nu \sigma \alpha \rho \kappa ı$ or ov $\sigma \alpha \rho \kappa \imath$
 $\sigma \alpha \rho \kappa i ́, ` \dot{\varepsilon} \gamma \omega \dot{\omega} \mu \lambda \lambda \lambda v^{\prime}$

 $\alpha \lambda \lambda \omega \varsigma 075: \delta \varepsilon \alpha \lambda \lambda \omega \varsigma$ סокєı FG [ $\alpha \lambda \lambda$ оऽ $\delta$ окєı D I 1505.1611.2138.2495, and 206.1758 acc. to vSod ] - ${ }^{\square} 0151$
Hkg / GR2138 and a small number of witnesses $\alpha \lambda \lambda \mathrm{O}$ ऽ $\delta$ ок $\varepsilon 1$



${ }^{〔}$ خov vouov FG
Hk (by mistake?) $\pi \varepsilon \rho ı \tau o \mu \dot{\eta}$
 ${ }_{\alpha} \mu \varepsilon \mu \pi \tau о \varsigma$.

ॅب（1）「乌ท FG：
Hkgr $\zeta \eta \lambda$ Oऽ or $\zeta \eta \lambda \mathrm{Ov}$－Hk $\tau \eta \varsigma \varepsilon \kappa \kappa \lambda \eta \sigma \iota \alpha \varsigma$（caused by misunderstanding of $\delta 1 \omega \kappa \omega v$ ）

 ${ }^{\circ}{ }^{\text {P } 46.61 \text { vid }} \boldsymbol{N} *$ AG $028233.81 .1241^{s}-{ }^{s}$ B 056.0142614 pc



侯
 ＇$\mu \varepsilon$ vovvre（MT）P46．61vid אAP 0150.0282 ［and Min．in vSod］！［ $\mu \varepsilon v$ ovv BDFGKL $\Psi$ 056．075．0142．0151 1505．1611．2138．2495（and Min．in vSod）］— ${ }^{\circ}$ P46vid $\boldsymbol{\kappa} *$ 6．33．1739．1881 pc －${ }^{`} \pi \alpha \nu \tau \alpha$（MT） 2495 ［ $\tau \alpha \pi \alpha \nu \tau \alpha$ P $^{61} 075$ 1505．1611．2138，and 81．547．920．2005 acc．to vSod］ $-{ }^{s}$ AKPY 056．075．0142 2495 ［and Min．in vSod］：$\tau 0 v$ X $\rho$ ．I．P46．61 B－${ }^{\text {r }} \mu \mathrm{Ov}$（MT）$[\eta \mu \omega v$ AP 1505．1611．2138．2495，and 88．330．1149．1872．1891 acc．to vSod］－${ }^{01} \mathbf{\kappa} *$ BD＊FG 33
Hkgr／GR2138 $\mu \varepsilon \nu$ ovv — Hkgr？／Gr2138 $\tau \alpha \pi \alpha \nu \tau \alpha-H k g r / G R 2138$ and few witnesses $\eta \mu \omega \nu$




○（MT）1505．1611．2138．2495［Iףбov 056．0142 177．255．256．337．1319．1518．2127］－＇$\varepsilon v \mathrm{D}^{*}$ Hkgr／Gr2138 and（MT）omit I $\eta$ бov（Hk by asterisk）．Either I $\eta$ oov really was part of the Harklean＇s Greek model，or a later reviser marked it with an asterisk to indicate the absence from the MT．－Hkgr $\varepsilon$ ？



到 ${ }^{\prime} \alpha \nu \alpha \sigma \tau \alpha \sigma \varepsilon \omega \varsigma D^{*} \mid \gamma v \omega \sigma \varepsilon \omega \varsigma \alpha v \tau 0 v \dot{N}^{*}-{ }^{\circ}{ }^{\mathrm{P} 46} \mathrm{~N}^{*} \mathrm{AB} 1241 \mathrm{~s} .2464$ pc－${ }^{01} \mathrm{P}^{46} \boldsymbol{N}^{*} \mathrm{~B}-{ }^{\square} \mathrm{P}^{46}$

 056．075．0142．0150．0151 1505 （ $\kappa \alpha \sigma v \mu \mu-)$ 1611．2138．2495（and Min．in vSod）］



${ }^{\prime} \tau \omega \nu \varepsilon \kappa$ FG $\quad \tau \omega \nu(\mathrm{MT}) \mathrm{KL}$ 056．075．0142．0150．0151 1739＊．1881．2495［and Min．in vSod］； ［ $\tau \eta \nu \varepsilon \kappa \mathrm{P}^{46} \mathrm{\kappa}$ ABDR世 33．81．104．365．1175．1505．1611．1739c．2138 pc］
Hkgr／1505．1611．2138 тๆレ $\varepsilon \kappa$




 $\kappa \alpha \downarrow$（MT） $\mathrm{P}^{46.61 \mathrm{vid}} \boldsymbol{\kappa}^{2} \mathrm{ABD}^{2 \Psi} 075$ 33．1739．1881［ $\gamma \varepsilon \kappa \alpha \downarrow 1505.1611 .2138$（and 2005 acc．to

 KL $0150.0151 \mathrm{Hk}^{\mathrm{mg}}$ ？［ $\tau$ ov кvpıov I． 1505.1611 .2138 （and 1867.2005 acc．to vSod）］
$\mathrm{Hkgr}^{\text {gr }} / \mathrm{GR} 2138$ and one additional witness $\gamma \varepsilon \kappa \alpha \imath-\mathrm{Hkgr}^{\mathrm{gr}} / \mathrm{GR} 2138$ and few witnesses $\tau 0 v$ кvpıov． $\mathrm{Hk}^{\mathrm{mg}} \mathrm{X} \rho ı \sigma \tau 0 v \mathrm{I} \eta \sigma o v$ or $\tau 0 \cup \mathrm{X} \rho$ ．I $\eta \sigma o v$






 K D＊FG－${ }^{\text {「2 }} \alpha \pi \varepsilon \kappa \tau-\mathrm{FG}$

 asterisk can be original．On the other hand，a later reviser could have introduced the asterisk to change the text in order to bring it in agreement with the Byzantine text．I prefer the latter interpretation．
 ＇I $\eta \sigma 0 \hat{v} .{ }^{\prime}$
「 $\delta \iota \omega \kappa \omega \nu$ I 056 pc－${ }^{\text {「 }} \varepsilon \pi \mathrm{l}$（MT）DFGKLP 056．075．0142．0150．0151 2495 ［and Min．in
 X $\rho ı \sigma \tau \omega$ FG：$\tau . \theta . \varepsilon \nu$ X $\rho .242$［acc．to vSod］
$\mathrm{Hk}^{\text {mg }}\left[69.33 .104 \mathrm{acc}\right.$ ．to vSod］$\kappa \alpha \tau \alpha \sigma \kappa o \pi \omega v$－Hk renders $\beta \rho \alpha \beta \varepsilon ı \frac{}{}$（prize of victory）by




 more likely $\varphi \rho о \nu \omega \mu \varepsilon v$ than $\varphi \rho o v o v \mu \varepsilon v)-{ }^{\text {F }} \varphi \rho o v \eta \sigma \eta \tau \varepsilon 075-{ }^{\text {r1 }} \alpha v \tau 0$ 1505．1611．2138．2005 ［acc．to vSod］$-{ }^{\circ} \mathrm{D}^{*}$


 $\sigma \tau$ ．629；$\sigma v v \sigma \tau$ ．FG） $\mathrm{D}^{1.2} \mathrm{FG}$ 81．104．365．629．1175．1241s． 1881 al $\tau \omega \alpha v \tau \omega \sigma \tau$ ． $\mathrm{P}^{16.46}$
 049．056．075．0142．0151 1505．1611．2138．2495］
$\mathrm{Hkgr} / \mathrm{GR} 2138$ and（MT）with addition
 $\kappa \alpha \theta \grave{\varsigma} \varsigma$ है $\chi \varepsilon \tau \varepsilon \tau$ т́лоv $\dot{\eta} \mu \hat{\alpha} \varsigma$.
 ${ }^{\circ}$（MT） 2495
$\mathrm{Hks} / 1505.1611 .2138 .2005$［acc．to vSod］$\mu \mathrm{ov}$


مَيْ
 ${ }^{「} \varepsilon \lambda \varepsilon \gamma \circ \mu \varepsilon \nu \mathrm{D}^{*}-{ }^{\circ} \mathrm{P}^{46} \mathrm{D}^{*} 0142-{ }^{\top} \beta \lambda \varepsilon \pi \varepsilon \tau \varepsilon \mathrm{P}^{46}$－${ }^{「}$ кvpıov 049
In v． 18 （ 8 （ $\varepsilon \tau \varepsilon \rho \omega \varsigma$ cf．v．15）is not attested in Greek．This is the reason why Hk puts it with an obelos．In a short comment Thomas says：＂In two exact Greek manuscripts iم：سام is not found．＂Accordingly the word is not from the Greek model but from the Philoxenian．






${ }^{\circ} \mathrm{P}^{46}-{ }^{「} \mathrm{Hk}^{\mathrm{mg}}$［88．436 acc．to vSod］$\delta \varepsilon-{ }^{\text {「 }} \mathrm{Hk}^{\mathrm{mg}} \hat{\omega} \nu$
Hkgr／${ }^{\text {GR } 2138 ~ a r e ~ t w i c e ~(~} \gamma \boldsymbol{\alpha} \rho$ and ov ）agreeing with the（MT）reading．



任
 －אABD＊FG 0150 6．81．323．1175．1241s．1739．1881 pc［عıऽ то $\gamma \varepsilon v \varepsilon \sigma \theta \alpha \imath \alpha v \tau 0$（MT） $\mathrm{D}^{1} \Psi$ 049．056．075．0142．0151 33．1505．1611．2138．2495］－${ }^{〔}$ тоv $\sigma \omega \mu \alpha \tau$ оऽ FG：то $\sigma \omega \mu \alpha 1836$ ［acc．to vSod］－${ }^{「} \varepsilon \alpha v \tau \omega \aleph^{2} \mathrm{D}^{2} \mathrm{~L} \Psi$ 6．104．326．630．1175．1241s．1611．2138 pm Hkgr／Gr2138 and（MT）$\varepsilon 1 \varsigma ~ \tau о ~ \gamma \varepsilon v \varepsilon \sigma \theta \alpha ı ~ \alpha v \tau o$

## 1．4．4 Summary

To justify the dominance of the translational perpective in the future analytical concordance of the Harklean it was necessary to trace the accessibility of the version＇s Greek model．The historical setting，the inclusion of the Syro－Hexapla，and the philological principles of the translator／reviser contributed to the possibility of identifying the Greek model almost fifteen centuries after the completion of the version．The remaining ambiguity in the recognition of this model hardly prevents access to the Greek lexical level and the reconstruction of the translator＇s Greek－Syriac lexicon．

In 619 when the Persians sacked the Enaton the Harklean happily escaped destruction． But there were new and subtle dangers waiting for it．Due to the complicated layout of the version not all scribes were capable of transmitting the text properly：some of them omitted the marginal quotations and the asterisks and obeloi completely．Outside the original context the version was handed over to philology in a Syriac Church which no longer was part of the Byzantine Oikumene．Philologists were not interested in preserving the original text but in changing and updating it according to the contemporary Greek text of the New Testament． The original Greek model of the $5^{\text {th }}-6^{\text {th }}$ century was replaced by the increasingly dominant Byzantine text which was definitely fixed in the $12^{\text {th }}$ century by an ecclesiastical edition．

The development of the Byzantine text corresponds to a revisional development of the Harklean which mainly affected the comparative material．${ }^{38}$ Revisional updates gradually removed the non－Byzantine heritage and considerably changed the whole design of the version．The omission of asterisks and obeloi mixed the textual traditions that Thomas had carefully distinguished．Non－Byzantine readings of the original text were dropped，put with asterisks，or removed to the margins；non－Byzantine marginal readings were also dropped， because revisers failed to find them in their Greek Byzantine manuscripts．Fortunately the Syrian Miaphysites founded their work on excellent Greek manuscripts，sound philological

[^72]principles, and on an amazing skill of translation. This protected it from irreversible distortion. The future analytical concordance will be a late fruit of their labour.

## 2. The Harklean Vocabulary

The following pages continue to determine the non-Peshitta vocabulary of the Harklean version started in volume 1 of the Foundations for Syriac Lexicography (FSL) with the Gospels (pages 167-94). Now the starting point is the Corpus Paulinum, but again all occurrences of a given word are traced throughout the whole Harklean New Testament (excluding the nonPeshitta texts 2 Peter, 2 and 3 John, Jude, and Revelation). Pauline quotations already given in the Gospel-lists are repeated in the present volume and are linked with a reference (• and FSL I + page number) to these former lists. By this arrangement the non-Peshitta vocabulary of the individual New Testament corpora (Gospels, Corpus Paulinum, Acts-Catholic Epistles) can be presented completely in one FSL volume respectively; and a considerable portion of the vocabulary in the earlier or subsequent corpora is either already quoted or can later be referred to. A final list will treat 2 Peter, 2 and 3 John, Jude, and Revelation as a corpus of its own. The Peshitta text is taken from the British and Foreign Bible Society volume of 1920. Due to the different translation technique of the Harklean and the Peshitta the lexical correspondence of the Peshitta cannot always be given by a simple equivalent. In numerous cases there is no correspondence at all or there is one disagreeing with both the Greek and the Harklean. Therefore the Peshitta correspondences in the following lists simply give a report of the corresponding Peshitta text.

### 2.1 Simple Words That Do Not Exist in the Peshitta





 9:26 (Syrp ${ }^{\text {² }}$ )




$\varphi \cup \lambda \alpha \kappa \alpha$ á are
 5:8
 Pet 2:18 (Syrp id.)


- .ónj (FSL I, 174)/ $\dot{\alpha} \pi \alpha \lambda \lambda \alpha ́ \sigma \sigma \omega /($ trans.) set free (Syrp |iá) Heb 2:15
 6:17; Col 2:21





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 1 Thess 5:3 (Syrp /محْمُمُم)
( 2 Cor 2:14

全 (مخ̂م مُمُ)


























 12：6




$\sigma \omega \rho \varepsilon v ́ \omega$／مُُ Rom 12：20（Syrp ${ }^{\mathrm{P}}$ ：
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 $\mu \omega \rho о \lambda o \gamma i \alpha /$／

vo $\sigma$ と́p $\rho \mu \mu /$／Syrp id．）Lk 21：4； 2 Cor 8：14（twice）；9：12；11：9；Col 1：24； 1 Thess 3：10（Syrp


 （Syrp معمّ：مُنُّا


(م̌) Heb 3:8, 15






俄, 2 Tim 3:3







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 3:11; 2 Tim 3:3; Titus 2:3
סıáßoдos/sf

$\theta i \gamma \gamma \alpha ́ v \omega /$ oñ Heb 12:20 (Syrp
I 1 مْمُس $/ \mu \varepsilon \tau \rho \varepsilon ́ \omega /$ measure 2 Cor 10:12 (Syrp no c.)
$\mu \varepsilon \tau \rho \varepsilon ́ \omega /$ /
مَمصحُر/ / кoítๆ /bed; marital relationship; sperm; sexual impurity (Syrp




 10:2; Eph 1:17; 4:13; Phil 1:9; Col 1:9, 10; 2:2; 3:10; 1 Tim 2:4; 2 Tim 2:25 (Syrp $u^{*}$ ); 3:7; Titus 1:1; Philem 6; Heb 10:26
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 1:6; 2:5 (Syrp twice حُحمُما)








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 (SyrP ( 12:21
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 Col 1:9
бט́ve 2 Tim 2:7 (Syrp اتمحـمُ)








סov Rom 6:6; 7:6, 25; 9:12; 12:11
 (Syrp :ححّ)
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(Syrp no c.) Gal 2:4

 2:2 (SyrP ${ }^{\text {( }}$
:


- (FSL I, 179)/ $\chi \omega \rho \varepsilon$ (


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全
 (خَهُمُ
 حَّهُرأ

 (Syrp î


غ̇ $\boldsymbol{\tau} \tau \alpha \gamma \mathfrak{\eta} /$ /فُ Rom 16:26; 1 Cor 7:6, 25; 2 Cor 8:8; 1 Tim 1:1; Titus 1:3; 2:15

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مn/
$\mu$ بо́р甲 $\omega \sigma$, 2:6, 7 (Syrp id.)
$\omega \omega^{\nu \nu}$





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مثَ̂مُمُا （Syrp أُم̂́人 ${ }^{\text {r }}$ ）；3：14（Syrp no c．）；11：1（Syrp no c．）
أَمُلُ）Heb 5：14











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 2：12； 2 Cor 2：7，10；12：13；Gal 3：18；Eph 4：32（twice）；Phil 1：29；2：9；Col 2：13；Philem 22
信 （2́a）；12：27（Syrp（
1 1
صầ
 3：6（Syrp ：

ŋ́ $\sigma 0 \chi i \alpha /$／



$\varphi \backslash \lambda о \tau \iota \mu \varepsilon ́ \sigma \mu \alpha \imath /$ /
ノُُمُ / $\pi \dot{\alpha} \rho \varepsilon \sigma \iota \varsigma /$ passing by, overlooking (Syrp no c.) Rom 3:25
 $\dot{\alpha} \kappa \rho \alpha \tau \eta َ / /$ //lacking self-control 2 Tim 3:3
,
In all other cases $\kappa \alpha \tau \alpha \lambda \varepsilon i ́ \pi \omega=$,
 Col 1:23


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### 2.2 Proper Nouns

The following sample of proper nouns is from Romans 16. The Massora is taken from Das Neue Testament in syrischer Überlieferung II,1 503-545.

Sigla: ms J = ms syr. 37 of St Mark Monastery, Jerusalem; ms O = ms New Coll. 333 (Oxford); ms C = ms Add. 1700 of the University Library, Cambridge. The lemma is always ms J , the spelling(s) of the Cambridge and Oxford manuscripts are explicitly given where different from J. Ms J which ends with Heb 2:5 (حمّالِّةٌ) does not adopt the extreme Greek spelling of the Greek words as ms C and especially O :



Massora: , and , and , ione ,

Massora: ماماص/ام



Massora:




(iُمْكُمُمص Syrp)
Massora: امهر/صه, مهاصه:


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(îi)
Massora: (لمooi, Noi, and مal)

Massora: ه
(Syrp
Massora: ;مدا;
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Massora: afif and coofor

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Massora: :00.f and

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Massora: س:/



Massora: معمبراf:مص

Massora: همحم





Massora:

Massora: و:نمما


(Kov́ $\alpha$ роऽ Rom 16:23 (Syrp id.)
Massora: مع; and مeof



Massora:

### 2.3 Greek Words


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 21:38; Rom 5:18; 7:3, 21, 25; 8:1, 12; 9:16, 18; 10:17; 14:12, 19; 1 Cor 5:10; 6:20; 7:14; 15:14, 15, 18; 2 Cor 1:17; 5:14; 7:12; Gal 2:21; 3:7, 29; 4:31; 5:11; 6:10; Eph 2:19; 1 Thess 5:6; 2 Thess 2:15; Heb 4:9; 12:8


 (Syrp ${ }^{\text {م² }}$
(مُ 5:17; 15:5; 24:5, 14; 26:5; $28: 22$ (in Acts Syrp all
1,





 5:29; 20:35; 27:11; 2 Cor 8:13; 12:9; Gal 4:9, 27; Eph 4:28; 1 Thess 4:10; 2 Tim 3:4; Philem 9; Heb 12:13
In all other cases $\mu \hat{\alpha} \lambda \lambda$ ov is rendered by $\mathcal{A}$



 (مَ)






(Sablets (Syrp 2 Cor 3:3; Heb 9:4
 17 (Syrp ${ }^{\text {Pan }}$ )


 4:11; 2 Thess 3:4, 6, 10, 12; 1 Tim 1:3; 4:11; 5:7; 6:13 (Syrp , ̣̊̊), 17 $\pi \alpha \rho \alpha \gamma \gamma \varepsilon \lambda i ́ \alpha /$ Lُ
 time (SyrP آحصُر ومُمر) Gal 4:2
 $2 \operatorname{Tim} 1: 12,14$ (Syrp both 1 )




 (می̂̊); Gal 6:16 (Syrp
(مَّرْ

### 2.4 Syriac "Compounds"














$\zeta \omega \gamma \rho \varepsilon ́ \omega / \mathfrak{e}^{\text {Lk 5:10 }}$

 (Syrp lóa 1 Tim 3:6


 3:5

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 3:20


 3:15















 1:11; 3:11; 2 Tim 1:9








$\pi \rho о \tau i ́ \theta \varepsilon \mu \alpha \mathrm{l} /$ /




$\zeta \varphi 0 \pi 01 \varepsilon ́ \omega /$ / 5:21 (twice); 1 Cor 15:22, 36 - ک ¢о


$\nu \grave{\prime} \varphi \omega /$ / (حُ

أُحْ (Syrp (مّدمّا



$\tau \grave{\alpha} \pi \varepsilon \rho i ́ \varepsilon \rho \gamma \alpha /$ /

وَ هُّ (




 4:8, 9, 25; Eph 6:7; Phil 2:22; Col 3:24; 1 Thess 1:9; 1 Tim 6:2; Titus 3:3


 4:3











 (Syrp id.) Mt 11:30

ا 2 Tim 3:4
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(Syron (

(\%) Rom 12:13 $\varphi \backslash \lambda 0 \xi \varepsilon v i ́ \alpha /$ /




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 $\mathrm{ms})$; $\mathrm{Col} 4: 18$
$\dot{\alpha} \sigma \pi \alpha \sigma \mu$ ós//مُمْمُ 2 Thess 3:17



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 11:9; 1 Pet 3:7 (Syrp ~ ${ }^{2}$ Lín

(ín Phil 2:20
 $\sigma v \mu \varphi v ́ \omega /$ /ǎ






 23

(حَ) 1 Thess 2:14
(





$\varepsilon v ̉ \sigma \varepsilon \beta \varepsilon ́ \omega /$ Lẹ/worship Acts 17:23 (SyrP id.)




( Philem 11 (Syrp of
 (حِحْنَنُمُمُ) Eph 6:6; Col 3:22
 2 Cor 6:2; 1 Pet 2:5

(

(i) 1 Cor 7:35






 2 Tim 4:2

 6:31 (SyrP هح - 1 ( 1 (in)

 - عv̉סокí $\alpha /$ /
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مُما بُموَم


 2 Thess 1：11（Syrp（3）


（9） 1 Tim 6：4
$\lambda$ оүо $\alpha \chi \varepsilon ́ \omega /$／





## 2.5 ＂Compounds＂with $V$

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 $\dot{\alpha} \kappa \alpha \dot{\alpha} \theta \alpha \rho \tau o \varsigma /$／فُ．






人 لُ تُّ
组 1 Tim 6：17




 $\dot{\alpha} \pi \eta \lambda \pi \imath \kappa \omega ́ s)$ Eph 4:19

$\alpha \dot{\alpha} \nu \omega \varphi \varepsilon \lambda \eta$ 亿 / $/$ /
 loa wíh in
12:28


 (SyrP (مَبْمُمُم)



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( (Syr Heb 7:6
(Syrp ${ }^{\text {W }}$





 Rom 11:33


 54; Eph 6:24; 2 Tim 1:10





(Sytp

 18:27; Heb 6:4, 18; 10:4; 11:6



(9) Rom 11:33; Eph 3:8


(




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 2 Cor 6:14; 2 Thess 2:7; Titus 2:14; Heb 1:9; 10:17; 1 Jn 3:4 (twice)

(لُلا حصّ: Heb 7:24
(forn) Rom 1:31
信

## 2.6 "Compounds" with م:م




(مهُما 2 Cor 12:21; 13:2 2

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 $\pi \rho о \pi \varepsilon ́ \varepsilon \mu \pi \omega /$ /حْ $A$ Acts 20:38; 21:5; Rom 15:24; 1 Cor 16:6, 11; 2 Cor 1:16
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## 2.7 "Compounds" with احسب|









 25:17


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## 2．8 Adjectives，Adverbs

Adjectives and Adverbs which are＂compounds＂are quoted in section 2．4．
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人

 Acts 17：25（Syrp id．）



 7：16（Syrp ${ }^{\text {P }}$






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－人（

$\varphi \varepsilon^{\prime} \delta o \mu \alpha l$ constantly مُم（SyrP id．）
 ő őros constantly ${ }^{\text {un }}$（Syrp id．）


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 4：17；5：7；Phil 4：14； 1 Tim 3：4，13；Heb 13：18
$\kappa \alpha \lambda \widehat{\omega} \varsigma /:$ Mâá Mt 12：12；15：7；Acts 25：10；28：25；Rom 11：20； 2 Cor 11：4； 1 Tim 3：12；5：17－ $\kappa \alpha \lambda \widehat{\omega} \varsigma /$／A
－${ }^{\text {－}}$ ，


$\mu \alpha \kappa \alpha \rho ı \sigma \mu$ ó／／Lُó人 Rom 4：6，9；Gal 4：15
织




2 Tim 3：15


In all other cases ő $\lambda \mathrm{O}$／${ }^{\text {º́n }}$（Syrp id．）

هـ
ő $\lambda \omega \varsigma /$／Mt 5：34

 Titus 2：7
 11：1，11； 1 Cor 6：15；Gal 2：17；3：21；6：14
（Syrp ð）；Gal 1：13（Syrp 人？
к $\kappa$ م＇ （مثّمُزُ） 1 Cor 12：31（Syrp
 ỏкv $\quad$ محَuُl Mt 25：26



$\dot{\varepsilon} \tau о$ í $\mu \varsigma / /$／ 1 Pet 4：4


 2 Cor 4：11
(Sytp no c.)





 Eph 3:1, 14 (Syrp no c.); Titus 1:5


 2 Tim 3:6; Titus 3:3; Heb 2:4; 13:9; Jas 1:2; 1 Pet 1:6; 4:10 (SyrP مăّمُمُ)
(حْمُا (Š) Phil 2:15



(محّ:نُمُ (Syr) Heb 12:12
$\pi \alpha \rho i ́ \eta \mu \mathrm{l}$ / $\operatorname{\text {/Lk11:42(SyrPid.)}}$

(مّحْ:ا



 3:2; Jas 4:6; 1 Pet 5:5 (SyrP ${ }^{\mathrm{P}} \mathrm{O}$ )




 15:58 (SyrP id.); Col 1:23 (SyrP | ${ }^{\text {P }}$

触
(حُّهحصفُرُ 1 Cor 2:13 (twice)

2 Cor 10：4； 2 Tim 1：12；Titus 1：9；Acts 20：16


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人








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（Syrp 1 Cor 12：23




 1 Cor 11：18；12：28；15：46； 2 Cor 8：5； 1 Thess 4：16； 2 Thess 2：3； 1 Tim 1：16； 2 Tim 1：5 （ms O）；3：10；Heb 7：2；Acts 3：26；7：12；11：26；13：46；15：15；26：20；Jas 3：17； 1 Pet 4：17；




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# Chapter 6 <br> Lexica and Grammars in the Late Syriac Tradition: The Three Bishops: Audo, Manna, and David 

> Indeed, a single word, or one syllable only of a noun or a verb, gives no pleasure to the soul because it shows no meaning... but when we add nouns to verbs, and noun and verb bave thus been joined together, then the soul is pleased.

Job of Edessa (760-835?)
Book of Treasures
This paper describes the lexical and grammatical works of eastern scholars in the second half of the nineteenth century and the early decades of the twentieth century. Three authors and their works are examined: Toma Audo and his simtâ, Awgen Manna and his Syriac-Arabic lexicon, and Clemens Joseph David and his lexicon.

## 1. INTRODUCTION

The late nineteenth and early twentieth centuries produced a number of lexicographers and grammarians from within the Syriac tradition. The best known amongst the lexicographers is Toma Audo (1853-1918), a bishop of the Chaldean Church, whose Syriac-Syriac simtâ is not unknown to many western scholars. Next to Audo comes Awgen Manna (1867-1928), also a bishop of the Chaldean Church, who composed a Syriac-Arabic lexicon. The grammarians are less well known in the west. Worth mentioning is Mor Clemens Joseph David (18291890), ${ }^{1}$ Syrian Catholic bishop of Damascus, whose al-lum'a al-shabiyya fi nabw al-lugha alsuryaniyya, first published in 1879 with a second revised edition in 1896, is the largest grammar produced in the east after the time of Bar ${ }^{\text {e }}$ Ebroyo. David's grammar is hardly found in libraries in the west, but is available in the eBethArké: The Syriac Digital Library. ${ }^{2}$ David's Grammar is not included in Moss's Catalogue, ${ }^{3}$ but neither is Nöldeke's.

[^73]During the same period, similar activities took place in the west. The Thesaurus Syriacus was published between 1868 and 1901, and Brockelmann issued the first edition of his Lexicon Syriacum in 1895 (2 $2^{\text {nd }}$ ed. 1928). As for grammars, Nöldeke wrote his Compendious Syriac Grammar in 1880 (English translation 1904, reprint Eisenbrauns, 2001).

In what follows, I aim to give an overall review of these lexical and grammatical works. I shall pay special attention to features that differ from those familiar in western works.

## 2. Toma Audo's simi $\hat{A}$

The simtâ was first published in two volumes at the Dominican Press in Mosul, Iraq, in 1897. It was later reprinted under two competing English titles: Assyrian Dictionary ${ }^{4}$ by the Assyrian Language and Culture Classes Incorporated (Chicago, 1978) and The Assyrian Federation in Sweden (Stockholm, 1979), and Treasure of the Syriac Language ${ }^{5}$ by St. Ephrem the Syrian Monastery. All three reprints retained the original Syriac title intact, and produced the work in one volume.

Audo was aware of the lexical works of both eastern and western scholars, whom he explicitly mentions in the preface. The Easterners include Bar Bahlul, Hunayn ibn Ishaq, Jaqob Qtarblaya (d. 1783), Kheder of Mosul (1679-1751), and Gabriel Qardahi (1887, 1891); the Westerners include Giovanni Ferrari (1622), Michaelis (1788), and Payne Smith (1868). ${ }^{6}$ Audo acknowledges using these sources, and many of the idioms found in Payne Smith's Thesaurus can be found in the simtâ. Audo's admiration of Payne Smith and his work is worth citing in full:

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He who is worthy of much praise, and is elevated above all who endeavoured in this tiresome and difficult work [of compiling lexica] is Payne Smith, the chief mallpanâ and most excellent archdeacon of the Church of England which is called Great, who ordered, arranged, gathered, and piled up, with much labour, great exhaustion, patient work, mighty and vigorous perseverance, and a broad education the vocabulary of the Syriac language, all explained in Latin, while he showed his scholarship in Greek, Hebrew, Arabic, Persian, Turkish, Sanskrit, etc. And he also added to it [the lexicon] the words of the vernacular language, that is to say, the

[^74]Swadaya particular to the region of Urmia; and he did not neglect to list the usages of the Greek words which are scattered, here and there, in ancient writings. We can say that in notable skill this work is most perfect, complete and full [in comparison] with all those before it. Woe for the laborious and weary mallpanâ for he passed away from the temporal life before he completed his valuable work.
The simtâ is 1,128 pages long, with approximately 7,000 root-type entries and 28,000 total entries (root-type and lexeme-type entries). ${ }^{7}$ In fact, one of the unique features of the simtâ is the large coverage of derived lexical forms, not to be found in any other lexicon. To illustrate, the following table lists the forms under the root for given by Audo, Smith, and Brockelmann.

| Entry | Audo | Payne Smith | Brockelmann |
| :---: | :---: | :---: | :---: |
| - | $\checkmark$ | $\checkmark$ | $\checkmark$ |
| سُهُهُ | $\checkmark$ | $\checkmark$ | $\checkmark$ |
| سِمهِا |  | $\checkmark$ |  |
|  | $\checkmark$ | $\checkmark$ |  |
| * | $\checkmark$ |  |  |
| * | $\checkmark$ |  |  |
| ¢كُهُ | $\checkmark$ | $\checkmark$ | $\checkmark$ |
| مصنهُما | $\checkmark$ | $\checkmark$ |  |
| \% | $\checkmark$ |  | $\checkmark$ |
| - |  |  | $\checkmark$ |
| سٌ* | $\checkmark$ | $\checkmark$ | $\checkmark$ |
|  | $\checkmark$ |  |  |
|  | $\checkmark$ |  |  |
|  | $\checkmark$ |  |  |
|  | $\checkmark$ |  |  |
|  | $\checkmark$ |  | $\checkmark$ |
|  | $\checkmark$ |  |  |
| مَهُمُهُ | $\checkmark$ | $\checkmark$ | $\checkmark$ |

[^75]| Entry | Audo | Payne Smith | Brockelmann |
| :---: | :---: | :---: | :---: |
| كِّهُهُ | $\checkmark$ | $\checkmark$ | $\checkmark$ |
|  | $\checkmark$ | $\checkmark$ | $\checkmark$ |
| ${ }^{\text {\% }}$ | $\checkmark$ | $\checkmark$ | $\checkmark$ |
|  * | $\checkmark$ |  |  |
|  | $\checkmark$ |  |  |
| * | $\checkmark$ |  |  |
| 8إِّ | $\checkmark$ |  |  |
| مكُهُهُ |  | $\checkmark$ |  |
|  | $\checkmark$ |  |  |
|  | $\checkmark$ |  |  |
|  | $\checkmark$ |  |  |
|  | $\checkmark$ |  |  |
|  | $\checkmark$ |  |  |
|  | $\checkmark$ |  |  |
|  | $\checkmark$ | $\checkmark$ |  |

Entries marked with an asterisk $\left(^{*}\right)$ are listed in the simtâ without further explanation, but their meaning can be easily inferred by the reader. The long list of derivations is indeed useful as it confirms the existence of such forms, at least in later usage of the language.

By virtue of having Syriac as both the source and target language, the simta can be used
 unfair:" aims at incorporating such semantic data would benefit immensely from use of the simtâ.

The simtâ is also rich is citations, although the references to the citations are general and sometimes obscure. The absence of a list of abbreviations does not help. In the introduction, however, Audo explicitly lists the following sources for which I give here the abbreviation used in the body of the lexicon: the Scriptures ( $; \rho_{j}$, , Ephrem ( $\left.; \boldsymbol{\bullet}\right)$, Narsai, Jacob of Serugh ( $;$ ), Jacob of Edessa, Isaac of Antioch/Nineveh, Elia of Anbar, Bar ${ }^{\circ}$ Ebroyo ( $u$ ), 'Abdisho of Şoba (حص: ! (1), Thomas of Edessa, and Bar Ṣalibi. In addition, one finds in the



(مرمهa), صم citations than the first volume. Just prior to publication, I was given a list of abbreviations prepared by Rabban Yuyoqim Unval of Saint Ephrem Seminary at Maearrat Saydnaya, who is in the process of compiling a new comprehensive Syriac lexicon. An edition of the list appears in the 2008 edition of the simtâ published by Gorgias Press.

Under each lemma, Audo marks transitiveness for verbs, and gender for nouns. He also gives plurals when the plural form is not common. His style is verbose: while he makes extensive use of the abbreviation .a for era "that is," he also uses phrases like \ace "also (belonging to) the sense of..." which is equivalent to the semicolon separator in modern lexica indicating a change in the sense.

The order of lexemes under each root is closer to the system employed by Brockelmann than R. Payne Smith. Audo begins with the simple $p^{\prime} a l$ forms and then includes the nominal that belong to $p^{e} a l$. He then moves to $p a^{e} e l$, afe $e l$, etc. Verbal entries, thus, are intertwined with nominal ones.

## 3. Awgen Manna's LEXICON

Awgen Manna (1867-1928) published his Lexicon in 1900 under the title

 made use of 66 lexical and textual sources. The lexica include the ones known to him at the time (Bar Bahlul, Payne Smith, Qardahi, Audo, Michaelis, and Brun).

Manna's Lexicon consists of 803 pages, with approximately 24,000 entries. ${ }^{8}$ As with the case of Audo's simtâ, Manna's dictionary includes a vast range of entries, some unique to his Lexicon. In the introduction, he lists a brief sample of the roots which he claims are not to be found in other lexica, the first four of which are (the sample list is nine items long):
 with thread" (Isaac of Antioch).
2. "to raid, steal:" " falcon] becomes deceitful against his kind; he steals and brings birds" (Isaac of Antioch).
 loo vi; lati, "It is clear that when he gasps from the weight of his belly, he desires to eat bread in the Kingdom of God" (John bar Fenkaye).

 mind" (Mushe bar Kepha).

[^76]Manna then gives a sample of what he perceives are mistakes by other lexicographers including Qardahi, Audo, and Payne Smith. These are mostly rare nouns.

The order of lemmata under each root differs from Audo. Manna first lists all the verbal forms, followed by the nominal ones. Within each category, he begins with the simple $p^{e} a l$ forms, moving to $p a^{e e} e l$, af $e l$, etc. The arrangement of meanings under each lemma follows western dictionaries. Manna uses the Arabic numerals to separate senses. While he gives a number of Arabic glosses per Syriac word, the lack of citations makes it difficult to get the right meaning of the word, especially in cases when the Arabic is not familiar from Modern Standard Arabic.

Yet, Manna's Lexicon can be very useful for any future lexicographical project. It is rich in entries, as well as idioms and usages within each entry. For instance, one finds under
 abound.

## 4. DAVID's Grammar

David's al-lum'a al-shabiyya fi nabw al-lugha al-suryaniyya first appeared in 1879 in one volume. A second revised edition was published posthumously in 1896 in two volumes, exactly nine
 borrow the words of Audo. It is not clear if David had left a manuscript of revisions or not, or if he embarked on a revision before his death. A footnote in the introduction to the first volume (page 14) states "Know that whenever the beginning of a paragraph is preceded with this sign ' $(*)$ ', it indicates that the comment is not from the writing of the author, but from the overseer of the printing of this book." No name is provided either on any of the title pages, or elsewhere. It is most likely that the second edition was prepared and expanded upon by Rahmani (1849-1929), a pupil of David, who also produced a Latin translation of


Being the most extensive grammar produced in the East after the works of Bar ${ }^{\text {en }}$ Ebroyo, all later grammars built on David's al-lum ${ }^{\text {a }}$, including Diryan, ${ }^{10}$ al-Kfarnissy, ${ }^{11}$ Armalah, ${ }^{12}$ Dolabani, ${ }^{13}$ and others.

David's Grammar illustrates his knowledge in various Semitic languages. Not a few footnotes throughout the work, especially in the second volume, provide comparisons with the Aramaic dialect of Ma'lulah. For instance, we are told that the Ma ${ }^{\text {elolites put the taw of }}$
 Mandaic, and much of the discussion on verbs contains comparisons with Arabic and

[^77]Hebrew. When comparison is needed with a western language, David uses Greek and Latin as examples.

The presentation of the grammatical material itself differs substantially from western grammars in style, arrangement, and methodology. In general, eastern grammarians are influenced by the Arabic grammatical tradition, and this goes back to Bar ${ }^{\text {e }}$ Ebroyo, who, for example, applies the notion of المبتر and الخبر to Syriac. David follows this tradition very closely. Western grammars, on the other hand, follow the philological approaches of the late nineteenth and early twentieth centuries. In fact, while Nöldeke acknowledges that he makes use of the earlier "Jacobite" and "Nestorian" grammarians and lexicographers, he distances himself from the eastern approach which was brought to Europe by the Maronites. "I have taken my material from the best sources within reach," he tells us, "entirely disregarding Amira and the other Maronites." As a result, both western and eastern readers of grammars who are not familiar with the opposite tradition will need some time of adjustment to get used to the other approach. To illustrate this, consider the following two examples:

With regard to the masculine and plural forms of the noun, Nöldeke gives "formations" for different classes of nouns, for example, the most "usual formations" حتُما| حِّمُما "
 one can describe this approach with a templatic formalism, for example, $\mathrm{CiC} \hat{a}-\mathrm{CiC} \hat{e}$ and $\mathrm{CaCy} \hat{a}-\mathrm{CCaya}$, respectively (where C stands for a consonant). David's approach is radically different. He applies transformational processes in order to "derive" the plural from the singular. For instance, the plural of by moving $a$ from qāph to shin (which amounts to metathesis of $a$ and $s$ ). In order to implement David's approach with a modern formalism, one needs to apply a regular rule with a context that describes the templatic type of the noun. For example, the first transformation translates into
$\hat{a} \rightarrow \hat{e} / \mathrm{CiC}$ $\qquad$ \#
read $\hat{a}$ becomes $\hat{e}$ when preceded by CiC and followed by the end marker (\#).
Also note that Nöldeke's approach to the plural معْتُ implies the plural morpheme -ayâ, while David's approach results in the morpheme -yâ. This transformational approach is ubiquitous in eastern grammars.

The second example is of concern to lexicographers and concordance compilers. Does one list nouns under their absolute state, or emphatic state? Which form is the original one? While we, in the west, are accustomed to consider the absolute, by virtue of the term itself, as the original and derive the emphatic by suffixation, eastern grammars invariably give copious rules whose purpose is to derive the absolute from the emphatic subtractively. (Subtractive morphology, where one form is derived from another by the removal of morphemes, is known to exist in a number of languages.) This approach is implicit in the Syriac term equivalent to "absolute," "مص" "apocopated." The process itself is called "aphaeresis" or "contraction." Surprisingly, David's view on this matter is similar to the western one; he explains at great length (\$94),
 both in time and rank. But because of the ubiquitous usage of nouns with the [emphatic] Olaph, which western scholars believe was placed for definiteness, later [eastern] grammarians considered ${ }^{\text {ander }}$ a subtype of the noun which has the Olaph, and they began compiling rules to derive the from the non-مُمْ while the truth is the opposite, that is, the noun with Olaph ought to be derived from the
Yet, the next 25 pages of David's Grammar are filled up with rules that derive the absolute from the emphatic. Graduates of eastern schools, even today, follow this approach.

The modern grammarian can benefit from David's Grammar in a number of ways. First, it provides another way of looking at word formation which already has a following. Secondly, the discussion on orthography and the comparative data between east and west Syriac is unmatched in any other work. Thirdly, it provides long lists of data with regard to irregular forms, nominal types, and verbal types. It would be worthwhile consulting if one is to embark on writing a new extensive grammar.

## 5. CONCLUSION

This paper gives a brief overview of the lexical and grammatical works of three eastern bishops: Audo and his Syriac-Syriac simtâ, Manna and his Syriac-Arabic Lexicon, and David and his Grammar. The lexica provide unique entries and complement their western counterparts. Any future lexicon ought to make use of them.

The grammars, exemplified in this paper with David's Grammar, primarily give a different approach for Syriac word formation. While this approach may not fit well in a modern description of grammar, the modern grammarian is encouraged to understand how grammar is viewed in the Syriac world today, especially if that grammarian wishes to address


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# CHAPTER 7 <br> THE INTRODUCTION TO AwGEN MANNA's LEXICON 

Translated by<br>Bishop Polycarpus Augin Aydin<br>Metropolitan of The Netherlands

In his introduction to his Syriac-Arabic Lexicon, ${ }^{1}$ Awgen Manna gives a section describing the methodology of his work. This section is translated below.

## SECTION 1

On the method we employed in this collection of ours:

Since the Aramaic language, like the rest of the Semitic languages, is built upon a known grammar and its derivatives vary from the root which is formed in an established way, it was felt necessary to indicate such a root. Therefore we wrote the root of every Aramaic entry at the beginning of the line preceded by two large dots to distinguish it from the lexical entries and we indicated these with an asterisk at the end. Next, we lined up every root according to its branches at the beginning of the line and next to it we gave the root conjugation in Arabic, indicating the differences of meaning and numbering in Indian (Arabic) numerals. Since our aim was to enrich schools, particularly by means of a book far removed from long and tedious discussions, we have avoided mentioning the standard derivatives whose forms are known in grammatical categories such as active and passive participles, roots of derived verbal stems, roots of adjectives, and nouns such as .
 considered such a mention necessary-because of irregularity, rarity, need for additional explanation, or the existence of a meaning that diverges from the common meaning of the root. As for the definitions provided for the roots of adjectives, it has sufficed for the most part to give only some of the meanings followed by "etc."-the "etc." indicating that the
 the "etc." refers to the rest of the meanings of بُحیع. Furthermore, we have not provided all noun plurals, only irregular ones and we have indicated masculine or feminine only when it

[^78]was necessary in order to facilitate distinguishing one word from another. This was due to the general ease with which masculine may be distinguished from feminine noun forms. Desiring to make the book both easy to use and concise, we have used a number of abbreviations whose signs can be found in the following table.
: indicates that the following word is of Aramaic origin.

* indicates that the following word in conjunction with the word above it has a new meaning.
$\mathscr{1}$


. . indicates that the Form I imperfect of this verb takes a damma or a fath, for example:

. Á indicates that the Form I imperfect of this verb takes a fath or a kassa, for example:

- indicates that the Form I imperfect of this verb takes a damma or a fath, for example:

indicates that the verb is passive: مـج
 it broke."
ج indicates that the following noun is plural.
$\dot{J}_{\text {indicates that the preceding noun is masculine. }}$
$\stackrel{\star}{\text { indicates that the preceding noun is feminine. }}$
$ث \dot{\mathrm{j}}$ indicates that the preceding noun is both masculine and feminine.
indicates that the noun is passive.
indicates an active participle.
indicates a verbal noun.
كالسابق or indicates that the preceding word has the same meaning as the word above it.
indicates that the preceding word has the same meaning as the one below it.
indicates that the preceding word is Chaldean [sc. Aramaic] and permissible to use.
مرة indicates that the following word is a nomina vicis [ism marra]
Note
If you are looking for a word and it is in its basic form (that is, not a derived form), look for it under its alphabetical entry. Otherwise, you should remove all additional letters (that is, those not belonging to the root) and then look for it in its proper place. Thus, if you want to







## SECTION 2

On the books we consulted—apart from the Holy Bible-in compilation of our book:

1. Lexicon Syriacum by Hasan bar Bahlul
2. The Syriac-Latin dictionary of the scholar Payne Smith [Thesaurus Syriacus]
3. Al-Lubab by the Maronite Father al-Qardahi
4. The dictionary of the Chaldean Metropolitan Toma Audo [Simto d-leshono: SyriacSyriac dictionary]
5. Syriac-Latin dictionary by Michael
6. The Syriac-Latin Treasure by the Jesuit Father Brown
7. Selections from Rabban Hunayn the Doctor and 'Anayeshu the Teacher
8. Selections from various other teachers
9. The Flower of Knowledge [Zabrat al-Márif] by Yaequb al-Qatrbelly
10. Turjuman ["Translator"] of the Syriac language
11. The Demonstrations of Aphrahat the Persian Sage
12. The writings of St Ephrem: Rome and Lamy editions
13. The homilies of famous Narsai the Malpana, numbering about 100
14. The letters of Yeshu ${ }^{\text {y }}$ ya(h)b Huzaya, the wondrous Patriarch
15. The letters of Timothy the Great, the famous Patriarch
16. Commentary on the sacraments of the church by Patriarch Timothy II
17. Commentary on church hierarchy by George the bishop of Arbil
18. The Reasons of Feasts by Tuma of Edessa and Qiyura his disciple
19. The Paradise of 'Abdisho of Șoba [Nisibis]
20. The Pearl of eAbdisho of Ṣoba [Nisibis]
21. The Collection of Synodical Canons by 'Abdisho of Șoba [Nisibis]
22. Ecclesiastical decrees by "Abdisho of Ṣoba [Nisibis]
23. The Book of Divinity and Humanity by Babai, the abbot of al-Azal Monastery
24. The Scholia of Theodore of Kashkar
25. The Hexameron of Emmanuel
26. The Centuries by Elia of Anbar
27. The Bee by Sulayman Bishop of Basra
28. Commentary on John's Gospel by Theodore [of Mopsuestia], the Expositor
29. The book of John bar Fenkaye
30. The History of the famous Thomas of Marga
31. The ascetical writings of Isaac of Nineveh
32. The Commentary on the New Testament of Isho dad bishop of Haditha
33. Gannath Busame [The Garden of Delights]: A commentary on the lectionary according to the Chaldean Church Calendar
34. The Explanation of Difficult Passages of the Torah [Sharh ghawamid al-tawra]
35. An excellent medical book thought to be by Hunayn the Physician
36. The famous Treatises of Warda
37. Poetry of Khamis bar Qardahe
38. The book of Isaac of Shabdan
39. The Grammar of John bar Zo ${ }^{\text {bi }}$
40. The Perfection of Ethics and its appendices by John of Mosul
41. The Life of Joseph Busnaya
42. The story of Rabban Hormizd in metre
43. The story of Rabban Bar 'Idta’ [
44. Paradise of the Desert Fathers by Hnanishue the Teacher. The Bedjan edition
45. The Story of Mar Ya(h)b Alaha and its appendices. The Bedjan edition
46. The Lives of Saints, vols. 1-4. The Bedjan edition
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48. Aristotle's introduction to philosophy, Eisagoge بـفعبف:
49. The Book of the Soul by Mushe bar Kepha
50. Discourses of Philoxenos of Mabbug on monks
51. The History of John of Ephesus
52. The Book of Disputes by Bar Ṣalibi known as 2Aacoذ2
53. The Ethicon of Bar ${ }^{\text {º }}$ Ebroyo ,
54. The Nomocanon of Bar ${ }^{\text {e }}$ Ebroyo
55. The ecclesiastical and secular history of Bar ${ }^{\text {e }}$ Ebroyo
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58. The Candelabra of Bar ${ }^{\text {e }}$ Ebroyo
59. The Treasure-house of Mysteries of Bar ${ }^{\text {E Ebroyo }}$
60. The Conversation on Wisdom of Bar ${ }^{\text {E Ebroyo }}$ مaبٍ
61. The Cream of Wisdom of Bar ${ }^{\text {TE }}$,
62. The Poems by Bar ${ }^{\text {E Ebroyo, edited by the Maronite Augustine Shababi }}$
63. The Laughable Stories of Bar ${ }^{\text {e Ebroyo }}$
64. The Rhetoric of Anton Rhetor
65. The Treasures of Ya ${ }^{\text {equ }}$ qub of Bartelleh
66. The homilies of the famous St Isaac of Antioch

## SECTION 3

Concerned with the mention of certain Aramaic sources not used in other lexica and the correction of certain of their [sc. the other lexica's] errors.

I previously indicated that when I consulted the books of the masters of the language, I discovered many sources-even Aramaic ones-which the dictionaries I referred to did not cover. Therefore, as a service to those who are interested, I wanted to here provide a few examples of these.
(and its derivatives) to reverse; to tie a camel with a rikas [a rope which bound the camel's head to its feet]; to subdue; to humiliate; to overturn something; to repel in the






 چóَ

 هْ́
In the Bible the word occurs meaning "to become weak, feeble, bored, or angry," and "to fight."

منִس : to stink; for meat to stink (and it has this meaning in the Suwadaya dialect ["Suwadaya" refers to the modern neo-Aramaic colloquial of Assyrian/Chaldean Christian communities]). The author of the Garden of Delights says:

 .


„ يسمفس عمُس : to grind; to ripen (grain); to swallow (medical powder). In the Book of








These few examples suffice, so let us now turn to mentioning some of the mistakes of the lexica and correcting them.

R"According to Qardahi, this word means "thumb." To support this, he relies on a



 something whose length ranges between that of a wood plane and rope saw [? dastarak] and it is called a riqan." ónood plane, riqan." But as for Father Qardahi, he did not observe all of this and instead took rigan to mean "henna," as it is defined in Arabic dictionaries. Riqan, however, here has been Arabized from Syriac and its meaning "wood plane," is obvious. It suffices to say that it was common for the authors of the lexica to Arabize Syriac words.
: שajnterpreted by Father Qardahi as "vicious snake," but the correct meaning is "viciousness, craftiness, cunning," as is evident from Bar Bahlul, since he says, "שaذ"مح: viciousness. مبُّذْمَ the vicious one."
: interpreted by the above-mentioned Rev. Father [sc. Qardahi] to mean "man of pleasure," but the correct meaning is تُمفةٌ with dolath since it is a Greek word.
2. 2 : the correct spelling is

 Father Qardahi and Metropolitan Toma Audo. But the correct meaning is "mill," "grinder"
 grinders, millstones; 'arb grinder." Tawabin ['mills'] and arba' ['grinders'] are plurals of tabun ['mill'] and raha ['grinder']. As for 'arub it is the plural of 'arb, which means 'grinder' and is a word which has been arabized from the Syriac 'بَذُّ, which means 'millstone.' Bar Bahlul



Payne Smith defined it as a horn or trumpet and Father Qardahi and Brown the Jesuit followed him in this respect. This is an error which goes back to the centuries in which mediaeval scholars interpreted the meaning of the word in question. They took it to mean "artichoke," which is a thorny plant useful for medicine. In Persian it is called kangar and in colloquial Arabic, ka $a^{\text {e }} \mathrm{u}$. Bar Bahlul states: "According to Zachariah Rhetor it means 'horns'

 evident from Bar Bahlul's explanation of خُمبتّ as not at all carrying the meaning "horn," or
 artichoke]. [He states also that] خُبمبتً is a synonym of kharshaf."
 "half wage." The correct meaning is "clump of earth," "solitary stone," or "half a baked brick," that is, a piece of dried or baked mud.

Z"̈̈" : Payne Smith thought it to mean "spirit" or "soul," relying on a statement of Bar ©
 interpreted as "spirit" or "soul," as is obvious. Rather, its meaning is "breeze," or "wind." The translation of this sentence should therefore be: "a city of many winds and breezes with few meadows and forests." And proof of that Bar ${ }^{\text {e }}$ Ebroyo understood 200 in this meaning



I have only written all of this out of a desire to assure the mind of those interested in this abridgement. I have not intended to criticize those most excellent scholars who laboured first and who are worthy of gratitude and eternal praise, especially when we consider that those mistakes and ones like them should not be attributed to them, but to copying errors of ignorant scribes whose intention was usually to earn their living. Therefore, it should be no wonder our own lexicon is not devoid of similar mistakes, even given the effort and diligence we have put forth to avoid them. We thus seek the kindness and understanding of the esteemed readers.

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[^0]:    ${ }^{1}$ R.L. Trask, "Parts of Speech," Concise Encyclopedia of Grammatical Categories, 278.
    ${ }^{2}$ Throughout this essay, we shall use part of speech, word class, and lexical category (or even simply class or category) interchangeably.
    ${ }^{3}$ A. Radford, Syntax: A Minimalist Introduction, 29.
    ${ }^{4}$ W. Croft, Radical Construction Grammar: Syntactic Theories in Typological Perspective, 5.

[^1]:    ${ }^{5}$ R.L. Trask, A Dictionary of Grammatical Terms in Linguistics (London: Routledge, 1993), 155.
    ${ }^{6}$ M.C. Baker, Lexical Categories: Verbs, Nouns, and Adjectives, 1-2. (Adposition is preposition and postposition.)
    ${ }^{7}$ Radford, Minimalist, 38. Baker (Lexical, 303-325) puts adpositions among the functional categories. Hence his book's title omits prepositions.
    ${ }^{8}$ R. Hausser, Foundations of Computational Linguistics, 244-45.
    ${ }^{9}$ G. Gazdar et al., "Category Structures," Computational Linguistics 14 (1988): 1-19.
    ${ }^{10}$ T. Wasow, "Generative Grammar," in M. Aronoff and J. Rees-Miller (eds.), The Handbook of Linguistics, 304.
    ${ }^{11}$ I.A. Sag, T. Wasow, and E.M. Bender, Syntactic Theory: A Formal Introduction, 492.

[^2]:    ${ }^{12}$ B.K. Waltke and M. O’Connor, An Introduction to Biblical Hebrew Syntax, 64-68.
    ${ }^{13}$ C.H.J. van der Merwe et al., A Biblical Hebrew Reference Grammar, 53-59.
    ${ }^{14}$ F.I. Andersen and A.D. Forbes, "Hebrew Grammar Visualised: I. Syntax," 43-61. Reprinted in The Biblical Historian 1 (2004): 25-37 (large format version).

[^3]:    ${ }^{15}$ W. Croft, "Parts of Speech as Language Universals and as Language-particular Categories," 7279.
    ${ }^{16}$ A.D. Forbes, "Squishes, Clines, and Fuzzy Signs: Mixed and Gradient Categories in the Biblical Hebrew Lexicon," 105-139.
    ${ }^{17}$ Figure 1 shows the upper levels of a hierarchical lexicon. According to Malouf: "The lexicon consists of objects of type word, organized into a hierarchy of types and subtypes." R.P. Malouf, Mixed Categories in the Hierarchical Lexicon, 11.
    ${ }^{18}$ Trask, "Parts of Speech," in Brown and Miller, Concise, 280-82.
    ${ }^{19}$ Waltke-O'Connor, Introduction, 692.
    ${ }^{20}$ Trask, "Parts of Speech," in Brown and Miller, Concise, 280.
    ${ }^{21}$ Trask, "Parts of Speech," in Brown and Miller, Concise, 281.

[^4]:    ${ }^{22}$ Waltke-O'Connor, Introduction, 185-86.
    ${ }^{23}$ P. Joüon and T. Muraoka, A Grammar of Biblical Hebrem, 124-328.
    ${ }^{24}$ H.J. Bosman and C.J. Sikkel, "Reading Authors and Reading Documents," 113-33.
    ${ }^{25}$ G.A. Kiraz, Computational Nonlinear Morphology with Emphasis on Semitic Languages.
    ${ }^{26}$ Trask, "Parts of Speech," 281.
    ${ }^{27}$ D. Crystal, A Dictionary of Linguistics and Phonetics, 188.
    ${ }^{28}$ H. Schütze, Ambiguity Resolution in Language Learning: Computational and Cognitive Models.
    ${ }^{29}$ J. Zavrel, "Lexical Space: Learning and Using Continuous Linguistic Representations."

[^5]:    ${ }^{30}$ F.I. Andersen and A.D. Forbes, Spelling in the Hebrew Bible. See also, D.N. Freedman, A.D. Forbes, and F.I. Andersen, Studies in Hebrew and Aramaic Orthography.
    ${ }^{31}$ The counts in this essay are for the ketib text of the Leningrad Codex, Aramaic verses having been omitted.
    ${ }^{32}$ Andersen-Forbes, Spelling, 5.
    ${ }^{33}$ Trask, Dictionary, 12.

[^6]:    ${ }^{34}$ A further goal is to create a hierarchical lexicon for biblical Hebrew.
    ${ }^{35}$ Forbes, "Squishes."
    ${ }^{36}$ F.I. Andersen and A.D. Forbes, "Marking Clause Boundaries," 181-202.
    ${ }^{37}$ The keetib readings are from R. Gordis, The Biblical Text in the Making: A Study of the Kethib-Qere. Also, we restore Joshua 21:36-37, absent from B19a.

[^7]:    ${ }^{38}$ There is a vast literature on pattern recognition. An excellent introduction is R.O. Duda et al., Pattern Classification, $2^{\text {nd }}$ ed.
    ${ }^{39}$ A.D. Forbes, "Shards, Strophes, and Stats," 310-21.
    ${ }^{40}$ A.D. Forbes, "Statistical Research on the Bible," 185-206.
    ${ }^{41}$ A.D. Forbes, "Syntactic Sequences in the Hebrew Bible," 59-70.
    ${ }^{42}$ Chapters 8 and 10 of Freedman et al., Studies.
    ${ }^{43}$ See Chapter 10, "Part of Speech Tagging," in C.D. Manning and H. Schütze, Foundations of Statistical Natural Language Processing, 341-80.

[^8]:    ${ }^{44}$ For an instance of this phenomenon, see Andersen-Forbes, Spelling, 23-25, 306-308.
    ${ }^{45}$ C. Chatfield and A.J. Collins, Introduction to Multivariate Analysis, 209-210.
    ${ }^{46}$ For discussion and details, see M.L. Davison, Multidimensional Scaling.
    ${ }^{47}$ The data analysis relies on the S-PLUS statistics package. Ordinal scaling is done by an add-on function which is part of library MASS, available from the web. For a masterful introduction to SPLUS, see W.N. Venables and B.D. Ripley, Modern Applied Statistics with S-PLUS, $4^{\text {th }}$ ed.

[^9]:    ${ }^{48}$ B. Everitt and S. Rabe-Hesketh, The Analysis of Proximity Data, 39.

[^10]:    ${ }^{49}$ H.C. Romesburg, Cluster Analysis for Researchers, 24-27.
    ${ }^{50}$ Romesburg, Cluster, 27.
    ${ }^{51}$ Figure 3 is based on the Euclidean metric. The results are essentially the same when the socalled Manhattan (or "city-block") metric is used.
    ${ }^{52}$ Technical detail: Changing the clustering criterion from UPGMA to single-link or complete-link degrades the clusters. On this point, see Romesburg, Cluster, 126.

[^11]:    ${ }^{53}$ See Schütze, Ambiguity, 36-37.
    ${ }^{54}$ Forbes, "Squishes," 114.

[^12]:    ${ }^{55}$ Schütze, Ambiguity, 34.
    ${ }^{56}$ Linux allows one to carry out the procedure via the following command line: sort <text> | uniq -c | sort-rn | head $+250>$ Top250.
    ${ }^{57}$ See A.D. Gordon, Classification, 13-32.
    ${ }^{58}$ See also J. Hughes and E. Atwell, "The Automated Evaluation of Inferred Word

[^13]:    ${ }^{59}$ To allow magnification, four words have been clipped from the lower part of the plot. Three verbs on either side of the negative $y$-axis (around the 6 o'clock position) have been omitted: צִוָּה,
    
    ${ }^{60}$ This basic sequence is maintained when we analyse the data using classical multidimensional scaling or Sammon's method. (See Venables-Ripley, Modern, 333.)
    ${ }^{61}$ Forbes, "Squishes," 124-25.

[^14]:    ${ }^{62}$ The stress in going from 1,000 to twenty dimensions is $6 \%$, good.
    ${ }^{63}$ Scattering might also be a sign of an inadequacy in the traditional taxonomies. But we knew from the outset that orthographic word analysis might well come to grief.
    ${ }^{64}$ The clustering uses average-linkage. See Romesburg, Cluster, 15-23 and 126-27.
    ${ }^{65}$ Romesburg, Cluster, 137.
    ${ }^{66}$ Chatfield-Collins, Introduction, 228.
    ${ }^{67}$ W.J. Krzanowski and F.H.C. Marriott, Multivariate Analysis Part 2, 72.

[^15]:    ${ }^{68}$ Waltke-O’Connor, Introduction, 396.
    ${ }^{69}$ See Forbes, "Squishes," 121.

[^16]:    ${ }^{70}$ Three further technical adjustments are made. 1. All homograph-resolving appended-commas are deleted. 2. All inserted speech onset markers are deleted. 3. All qere who' ketib (empty) records are deleted.
    ${ }^{71}$ F.I. Andersen and A.D. Forbes, "Problems in Taxonomy and Lemmatization," 37-50.
    ${ }^{72}$ In this analysis, variant vowel-pointings are retained. Were these pointings normalized, the stock of lexicon types would be further reduced.
    ${ }^{73}$ Andersen-Forbes, "Marking."
    ${ }^{74}$ Seven pronoun suffixes are dropped, as are - ִִ, , חֵאוֹת

[^17]:    ${ }^{75}$ Those readers not inclined to attempt to decode the swarm of words in the first quadrant should rest confident in the knowledge that the words there are almost entirely substantives.

[^18]:    ${ }^{76}$ Why this and other coefficients are so low will be discussed below.

[^19]:    ${ }^{77}$ M.C. Baker, Lexical Categories, 1.

[^20]:    ${ }^{1}$ There are 148 negatively rendered cases against 190 positively rendered instances. When considered on a lexeme by lexeme basis there are 71 words that are rendered negatively against 67 that are rendered positively. Useful illustrative verses of varied renderings are: Phil 2:15; 1 Tim 1:9; 2 Tim 3:3; Titus 2:7-8.

[^21]:    ${ }^{2}$ Williams, "Matching Syriac Words," 160-62.
    ${ }^{3}$ The simplest global explanation of this phenomenon is simply that full mental segmentation of the text being translated had not yet been consistently developed. There are some pairs for which Syriac had a preferred order, and some for which there were structural reasons for a preferred order (e.g. alpha privatives). However, it may also be the case that the order of certain pairs was not considered sufficiently important a thing as to oblige the translator to represent it. Thus, while later translators may have striven to represent words sequentially and individually this was not initially the case in the earliest translations. More than one noun or verb could thus be taken into the translator's mind almost simultaneously and then represented in translation by either of two possible orders. The consequence of this was that the order of the pair in the translation was not always the same as that of the Vorlage. For further thoughts on the subject of segmentation see Barr, Typology of Literalism.

[^22]:    ${ }^{4}$ If I may be allowed a distant analogy to the limitations of vocabulary that might be felt by a Syriac translator of a Greek text, I can recount that, in the early stages of active vocabulary acquisition by my daughter, she repeatedly said that something she could not see was "hiding." Her mind, with its limited vocabulary resources, associated "unseen" with the less abstract notion of "hiding."

[^23]:    ${ }^{1}$ Cf. Sellin, Die verbal-nominale Doppelnatur der bebrä̈schen Participien und Infinitive und ibre darauf berubunde verschiedene Construktion; Kahan, Über die verbalnominale Doppelnatur der hebrä̈schen Participien und Infinitive und ibre darauf berubende verschiedene Konstruktion.

[^24]:    ${ }^{2}$ Pike, Language in Relation to a Unified Theory of the Structure of Human Behavior.
    ${ }^{3}$ Dyk, "Syntactic Desiderata," 150-51.

[^25]:    ${ }^{4}$ Cf. Goldenberg, "Syriac Sentence Structure," 115: "An important point that deserves closer attention than it has usually commanded is that in the domain of syntax the category of participles should be considered as also comprising the participial adjectives."
    ${ }^{5}$ Muraoka, Classical Syriac, 67 (\$84).

[^26]:    ${ }^{6}$ Gloss: "I do not know a man" (lit: "a man is not known to me").
    ${ }^{7}$ Literally: "are the books to you read ones?"
    ${ }^{8}$ Nöldeke, Compendious Syriac Grammar, 219 (\$279).
    ${ }^{9}$ Cf. Goldenberg, "Predicative Adjectives," 718: "Predicative participials in Syriac may enter constructions that can be ranged from the purely 'substantival' to the 'verbal."'
    ${ }^{10}$ Cited in Nöldeke, Compendious Syriac Grammar, 222 ( $\$ 282$ ).

[^27]:    ${ }^{11}$ For use of the term, see Kernighan-Ritchie, C Programming Language, 59.

[^28]:    ${ }^{12}$ Lappia-Voskuil, "Nominal Infinitives."
    ${ }^{13}$ Dyk, Participles in Context, 58-59.

[^29]:    ${ }^{14}$ The CP refers to a "Complementizer Phrase," a phrase structure which functions at a higher level than the VP, in this case relating the fronted casus pendens element to the following VP.

[^30]:    ${ }^{15}$ Heggie, Syntax of Copular Structures, 47, 50; incorporated in Dyk, Participles in Context, 118-19.

[^31]:    ${ }^{16}$ For the possibility of reanalysis of Hebrew participles, see Dyk, Participles in Context, 136-40.

[^32]:    ${ }^{17}$ Muraoka, Classical Syriac, 67 (\$84).
    ${ }^{18}$ Nöldeke, Compendious Syriac Grammar, 218-19 (\$278).
    ${ }^{19}$ Nöldeke, Compendious Syriac Grammar, 220-21 (\$280).
    ${ }^{20}$ Nöldeke, Compendious Syriac Grammar, 221 ( $\$ 281$ ).

[^33]:    ${ }^{1}$ Burkitt, Evangelion da-Mepharreshe, vol. 1.
    ${ }^{2}$ Lewis, Old Syriac Gospels.
    ${ }^{3}$ Kiraz, Comparative Edition of the Syriac Gospels.
    ${ }^{4}$ Lund, The Old Syriac Gospel of the Distinct Evangelists.
    ${ }^{5}$ Nestle-Aland, Novum Testamentum Graece.
    ${ }^{6}$ Bover, Biblia Graeca et Latina.
    ${ }^{7}$ IGNTP, Luke.
    ${ }^{8}$ Legg, Evangelium secundum Marcum; Legg, Evangelium secundum Matthaeum.
    ${ }^{9}$ Merk, Novum Testamentum Graece et Latine.

[^34]:    ${ }^{10}$ Tischendorf, Novum Testamentum, vol. 1.
    ${ }^{11}$ Tregelles, Greek New Testament.
    ${ }^{12}$ Aland, Synopsis of the Four Gospels.
    ${ }^{13}$ Aland, Synopsis Quattuor Evangeliorum.
    ${ }^{14}$ Aland et al., eds. Greek New Testament.
    ${ }^{15}$ Vogels, Novum Testamentum Graece et Latina.
    ${ }^{16}$ Von Soden, Die Scbriften des Neuen Testaments.
    ${ }^{17}$ For an annotated list of most of these editions see Falla, KPG, 1:xxIx-xxxII.
    ${ }^{18}$ Falla, KPG, 1:xxxiI.

[^35]:    ${ }^{19}$ Brockelmann, Grammatik, $\S 165$; Costaz, Grammaire, $\S 496$; Falla, KPG 1:110; Ferrer-Nogueras, Diccionario, 45.
    ${ }^{20}$ Thesaurus Syriacus 1:709; CSD, 69a.
    ${ }^{21}$ Brockelmann, Grammatik, $\$ 165$; Ferrer-Nogueras, Diccionario, 55; Falla, KPG 1:127; Duval Traité, §294:2; Costaz, Grammaire, §492.
    ${ }^{22}$ Nöldeke, Grammatik, $\S 155 \mathrm{C}$. The English translation of Nöldeke's grammar by Crichton has "connective adverbs."
    ${ }^{23}$ Metzler Lexicon Sprache 324-25 s.v. Konjunction; compare what we find in the same lexicon under Konjunktionaladverb: "Bisweilen zu den Konjunktionen gerechnete Subklasse der Wortart Adverb. K. gleichen in semant. Hinsicht den Konjunktionen, verhalten sich in syntakt. Hinsicht aber wie Adverbien, z.B. daber, darum, deshalb, folglich, trotzdem."

[^36]:    ${ }^{24}$ For similar phenomena in English compare Webber et al., "Anaphora and Discourse Structure," 545-87 (we are grateful to A. Dean Forbes for this reference). See also below, §4.4.1.
    ${ }^{25}$ As we have seen, Falla calls ${ }^{26}$ a "conjunctive particle" in his KPG.
    ${ }^{26}$ This terminology occurs in Crichton's English translation of Nöldeke's grammar (see above, note 22).
    ${ }^{27}$ Denniston uses "connective particles" for the Greek particles $\gamma \dot{\alpha} \rho$ and $\delta \dot{\varepsilon} ;$ Denniston, Particles, xliii et passim. Note also his remark on the adverbial background of these particles: $\gamma \alpha ́ \rho$ and $\delta \dot{\varepsilon}$ "cannot be traced back to an adverbial stage ... But it is on general grounds probable" (ibid., xiii).
    ${ }^{28}$ Knott-Mellish, "Sentence and Clause Connectives," 148.
    ${ }^{29}$ Webber et al., "Anaphora and Discourse Structure," 546.

[^37]:    ${ }^{30}$ Nöldeke, Grammatik, §327; quotation from Crichton's translation.
    ${ }^{31}$ Thus, for example, Kuty, "Particle dên," 186.
    ${ }^{32}$ Cf. Talstra-Sikkel, "wivu-Datenbank," 48: "Mit diesem Begriff werden zwei Sachverhalte gekennzeichnet: zum einen sind Atome auf ihrer linguistischen Ebene nicht weiter teilbar; zum anderen sind sie-einzeln oder in Kombination-Bausteine höherer funktionaler Einheiten." See also Van Peursen, Language and Interpretation, §9.1.
    ${ }^{33}$ See also Mt 1:12; 12:50, quoted below.
    ${ }^{34}$ Cf. Nöldeke, Grammatik, $\S 202 \mathrm{C}, 240$.
    ${ }^{35}$ See also the example from Eph 4:7 quoted below, in §3.1.2(e).

[^38]:    ${ }^{36}$ Nöldeke, Grammatik, $\$ 208$, edition: Land, Anecdota Syriaca III, 39, 16.

[^39]:    
    ${ }^{38}$ On the distributive repetition of wee above on 1 Cor 12:7, §3.1.1(d).
    ${ }^{39}$ Contrast those cases where ${ }^{3}$ and $\boldsymbol{r}_{\underline{i}}$ appear within the relative clause discussed below, in §3.1.3.

[^40]:    ${ }^{40}$ Pronominal agreement is "a construction where a noun or nominal phrase whose grammatical relation is indicated by its case inflection or by an adjoining relational particle is accompanied in the same clause by a coreferential pronoun agreeing with it in number, gender, person, and grammatical relation." Khan, Studies in Semitic Syntax, xxvi.
    ${ }^{41} \mathrm{On}$ o $\mathrm{\Sigma}$ preceding in , see below §3.1.4.

[^41]:    ${ }^{42}$ Cf. also Mt 16:25, quoted above, and Mt 6:32 (\$3.1.2[c]); 13:38 (\$3.1.2[a]); and Lk 12:56 ( $\$ 3.1 .2[\mathrm{c}]$ ).
    ${ }^{43}$ See below, §3.1.4.

[^42]:    ${ }^{44}$ It would be worthwhile looking for a possible correlation between the "weight" of the suffix and the word order. Here we have a very light suffix; contrast the independent syllable in , حهo in Mk 10:27, quoted above.

[^43]:    ${ }^{45}$ See also the examples with $\hat{i}_{,}^{\hat{2}}$, ，and or，quoted above，in $@ 3$（end）．
    ${ }^{46}$ Kuty，＂Particle dên，＂194－95．

[^44]:    ${ }^{47}$ Thus, for example, Brockelmann, Lexicon, 114b (s.v. :~n), 151a (s.v. e); idem, Grammatik $\int 165$. For the position in the clause of $\gamma \alpha ́ \rho$ and $\delta \varepsilon$ see Denniston, Greek Particles, 56-114 and 162-203. Perhaps the Greek particles became only gradually post-positive; see Denniston, Greek Particles, lix, note 1; Wackernagel, "Gesetz," esp. 377.
    
    49 Andersen, "Review Article and Responses," 64-66; Andersen, "Lo and Behold!" 25-56; Andersen-Forbes, "Problems in Taxonomy," 37-50; Andersen-Forbes, "What Kind of Taxonomy?"
    ${ }^{50}$ Goshen-Gottstein, Syriac-English Glossary.
    ${ }^{51}$ Jennings, Lexicon.
    ${ }^{52}$ Nöldeke, Compendious Syriac Grammar, 101, cites " ${ }^{\circ}$ as having the meaning 'for,' and having the meaning (properly 'then'), 'but'."
    ${ }^{53}$ Pazzini, Lessico Concordanriale, has "infatti, poiché."
    ${ }^{54}$ Whish, Clavis Syriaca.
    ${ }^{55}$ Köbert, V ocabularium Syriacum.

[^45]:    ${ }^{56}$ Thackston, Introduction to Syriac.
    ${ }^{57}$ Ferrer-Nogueras, Diccionario.
    ${ }^{58}$ Whish, Clavis Syriaca, 11, does not list cẹ as a loanword in that he has the qualifying comment " $\kappa$, , the same as the Gr. $\delta \dot{\varepsilon}$, used in the same way and signification."
    ${ }_{59}$ Nestle, Syriac Grammar, 144, 147.
    ${ }^{60}$ Phillips, Elements of Syriac Grammar, 100-101.
    ${ }^{61}$ Harman, "Cureton's Fragments," 29-30.
    ${ }^{62}$ Klein, Syrisch-Griechisches Wörterbuch.
    ${ }^{63}$ Coakley, Robinson's Paradigms, 152.
    ${ }^{64}$ Falla, "Questions," 92.

[^46]:    ${ }^{65}$ Cf. also the comment "Die Konjunktion gèr wird im Syrischen ganz nach dem Muster der griechischen Partikel $\gamma \alpha \dot{\rho}$ gebraucht" by Jändl in "Konjunktionen und Partikeln," 89.
    ${ }^{66}$ Nöldeke, Compendious Syriac Grammar, 101, note 1. But note the conclusion by Clemons in his comments on the translation of $\gamma \dot{\alpha} \rho$ and $\delta \dot{\varepsilon}$ in the Peshitta text of Galatians that this statement by Nöldeke is "misleading,"" "Some Questions," 29-30.
    ${ }^{67}$ Burkitt in Evangelion da-Mepharreshe, vol. 2, 89. For Burkitt's comment in its context see note 108.
    68 ":~~ア , congiunzione, infatti, poiché ( 1085 volte; Gr. $\gamma \alpha ́ \rho$ )."
    ${ }^{69}$ Alford, Greek New Testament, vol. 1.
    ${ }^{70} \mathrm{KPG}, 1: 127-30$.

[^47]:    ${ }^{71}$ Examples of the comparable misuse of $: \sim \hat{\gamma}$ as a witness to $\gamma \dot{\alpha} \rho$ against a correct correspondence may be ascertained from KPG's entry for ing This can be achieved by comparing Greek correspondences other than $\gamma \dot{\alpha} \rho$ with their citation in critical editions of the Greek New Testament.
    ${ }^{72}$ References to correspondences are available in KPG, 1:110, and in the collocations cited on pages 1:43, 46 , and 63.
    ${ }^{73}$ The occurrences of $\gamma \dot{\alpha} \rho$ in the Peshitta Gospels that do have $; \sim$ as their equivalent are listed in KPG 1:110 and in the collocations for which there are cross-references. The occurrences of $\gamma \alpha \dot{\rho} \rho$ in the Pastoral Epistles that have : $\mathrm{g}^{8}$ as their equivalent are: 1 Tim 2:3, 5, 13; 3:13; 4:5, 8, 10, 16; 5:4, 11, 15, 18; 6:7, 10 (missing in Kiraz, Computer Generated Concordance); 2 Tim 1:7, 12; 2:11, 13, 16; 3:6, 9; $4: 3,6,10,11,15 ;$ Titus $1: 7,10 ; 2: 11 ; 3: 3,9,12$. The equivalent is $\delta \varepsilon ́$ in 1 Tim 2:12; 3:5; 5:8; 6:6.
    ${ }^{74}$ In its analysis of the Greek correspondences of : $\sim$, under "n.c." (no correspondence); it should be under öt.
    ${ }^{75}$ The six in the Pastoral Epistles are: 1 Tim 1:19; 6:21; 2 Tim 2:23; 3:14; Titus 1:11, 15.

[^48]:    ${ }^{76}$ BDAG, 190.
    ${ }^{77}$ Within the body of the entry, Danker (BDAG, 189) also employs the glosses "well, then," "you see." For Rom 1:18; 2:25 he uses "indeed," "to be sure," and 1 Thess 2:20 and 1 Cor 9:10, "yes, indeed," "certainly."

[^49]:    ${ }^{78}$ Murdock, Murdock's Translation.
    ${ }^{79}$ BDAG, 189; Blass-Debrunner-Funk, Greek Grammar, §452.

[^50]:    ${ }^{80}$ Zerwick-Grosvenor, Grammatical Analysis, 93.
    ${ }^{81}$ BDAG, 189, Blass-Debrunner-Funk, Greek Grammar, §452, NIV, NRSV, REB, RSV, ZerwickGrosvenor, Grammatical Analysis, 93.
    ${ }^{82}$ Joosten, "The Use of Some Particles," 179.

[^51]:    
    ${ }^{84}$ Falla, "Translation, Genre, and Lexicography," 7-54.
    ${ }^{85}$ The material in $\S 4.4 .2, \S 4.4 .5, \S 4.7 .3$, and $\S 4.7 .4$ was presented by Terry Falla in a paper on "The Functions of : $\sim$ and in the Peshitta Gospels Where They Lack a Formal Correspondence in the Greek" at a seminar at Whitley College, University of Melbourne, in 2006.

[^52]:    ${ }^{86}$ For details on the Peshitta Gospels provided by KPG, vol. 1, it is necessary to refer not only to the primary entry on , but also to all the relevant analytical categories (collocations) that follow it, which in some cases are cross-referenced to where they are cited in full elsewhere in the volume.

[^53]:    ${ }^{87} 1 \operatorname{Tim} 4: 7 ; 5: 4\left(1^{\circ}\right), 13\left(1^{\circ}\right), 24\left(2^{\circ}\right) ; 6: 11\left(1^{\circ}\right) ; 2 \operatorname{Tim} 1: 10\left(1^{\circ}\right), 10\left(2^{\circ}\right) ; 2: 5,16,20,22\left(1^{\circ}\right) ; 3: 5$; Titus 1:1( $1^{\circ}$ ), 3; 3:14.
    ${ }^{88} 1$ Tim 2:12; 3:5; 5:8; 6:6.
    ${ }^{8} 1$ Tim 6:8.
    ${ }^{90}$ Falla, KPG, 2:43.

[^54]:    ${ }^{91}$ Or even the very hairs of your head.
    ${ }^{92}$ Cf. Louw-Nida, Greek-English Lexicon, §89.75.

[^55]:    ${ }^{93}$ See note 85 .
    ${ }^{94}$ Joosten, "The Use of Some Particles," 180-82.
    ${ }^{95}$ Joosten, "The Use of Some Particles," 180.
    ${ }^{96}$ See note 85 .

[^56]:    ${ }^{97}$ Falla, "Translation, Genre, and Lexicography."
    ${ }^{98}$ Murdock, Murdocke's Translation, 174.
    ${ }^{99}$ Falla, KPG, 2:42-53.
    ${ }^{100}$ Falla, KPG, 2:42-43.
    ${ }^{101}$ Falla, KPG, 2:44, column a.

[^57]:    102 Blass-Debrunner-Funk, Greek Grammar, $\$ 300$ (2); Zerwick-Grosvenor, Grammatical Analysis, 312.
    ${ }^{103}$ On the temporal use of кaí see Moulton-Howard, Grammar of New Testament Greek, vol. 2, 421; Robertson, Grammar of the Greek. New Testament, 1183. On the temporal use of , to translate öte see Falla, KPG, 1:116, §II.
    ${ }^{104}$ Falla, KPG, 1:127-28.
    ${ }^{105}$ In Peshitta John oũ̃v is also translated by \ט́á (eleven to thirteen times; see KPG, 2.26-27), and by $\bullet$ in numerous instances (see KPG, 2.44).

[^58]:    ${ }^{106}$ Brock, "Limitations," 94.
    ${ }^{107}$ Cf. the comments of Burkitt, Evangelion da-Mepharreshe, vol. 2, 89: "The rendering of oũv presents some interest on account of its extraordinary frequency as a connecting particle in S. John. The natural Syriac equivalent is corresponds, it is slightly stronger than oũv. Consequently, we find in the Ev. da-Mepharreshe that $\mathbf{\bullet}$ and ¢ (i.e. $\delta \varepsilon ́$ ) are used to render ouṽv. Simple omission also is not infrequent."

[^59]:    ${ }^{1}$ I am indebted to the volume editor Peter J. Williams, to the managing editor Beryl Turner, and to the series editor Terry Falla for their criticism, encouragement, and patience.
    ${ }^{2}$ Sprenger, Konkordanz zum syrischen Psalter. The analytical concordance of Winter, Concordance to the Peshitta Version of Ben Sira was created by hand. Strothmann's Konkordanz des syrischen Koheletbuches was created by hand too, and offers no morphological analysis.
    ${ }^{3}$ Strothmann, Konkordanz zur syrischen Bibel: Die Propheten; Der Pentateuch; Die Mautbe; Strothmann, Wörterverzeichnis der apokryphen-deuterokanonischen Schriften.
    ${ }^{4}$ Kiraz, Computer-Generated Concordance.
    ${ }^{5}$ Old Testament in Syriac According to the Peshitta Version, part V: Concordance, vol. 1: The Pentateuch.

[^60]:    ${ }^{6}$ Concordance of the Peshitta Version published by "The Way International" reduces the morphological analysis to the verb stems too, but introduces this analysis as the basic principle for the arrangement of the articles which are organized according to roots.
    ${ }^{7}$ The concordance of Lund, Old Syriac Gospel of the Distinct Evangelists includes an analytical arrangement of the context lines.
    ${ }^{8}$ The small number of manuscripts of Acts, the Epistles, and Revelation does not allow for much criticism but forces one to rely on single "best" manuscripts. Only the Harklean Gospels are extant in a good number of manuscripts, but again a single witness (Vat. Syr. 268, ca. $8^{\text {th }}-9^{\text {th }}$ cent.) preserves the most original text; see Juckel, "Die Bedeutung des Ms. Vat. Syr. 268."
    ${ }^{9}$ Most of the Harklean manuscripts are written in Estrangela and furnished with the well-known dots for vocalization. This primitive system of vocalization, however, is not consistently used in the manuscripts; therefore it should be standardized by the editor or "translated" into the sytem of the five "Greek" vowels.
    ${ }^{10}$ Kiraz, Comparative Edition of the Syriac Gospels; Aland-Juckel, Das Neue Testament in syrischer Überlieferung.
    ${ }^{11}$ The only vocalized Harklean edition in Serto-type was published by Bernstein, Das Heilige Evangelium des Iohannes, who adopted the vocalization of Ms Vat. Syr. 271 (CE 1483).

[^61]:    ${ }^{12}$ For the remaining NT writings the present writer has provisional retroversions at his disposal.

[^62]:    ${ }^{13}$ Sections 1.2 and 1.3 are based on an (unpublished) paper I read at the Third Birmingham Colloquium on the Textual Criticism of the New Testament in April 2003. The following interpretation of the Harklean version mainly derives from the project Das Neue Testament in syrischer Überlieferung under the direction of B. Aland. I received essential further insights from my co-operation with George A. Kiraz in the comparative study of the Syriac Gospels.
    ${ }^{14}$ On these limitations see Brock, "Limitations of Syriac."
    ${ }^{15}$ On his reign see Reinink and Stolte, The Reign of Heraclius.
    ${ }^{16}$ On this schism and the reunion see Müller, "Damian, Papst und Patriarch von Alexandrien," and Müller, "Papst Anastasios;" on the controversy and the opponents see pp. vii-xxvi in Ebied-van Roey-Wickham eds. Petri Callinicensis Patriarchae Antiocheni tractatus. The general historical context is treated by Maspero, Histoire des Patriarches d'Alexandrie; and Frend, Rise of the Monophysite Movement.
    ${ }^{17}$ Howard-Johnston, "Heraclius' Persian Campaigns."

[^63]:    ${ }^{18}$ Barhebraeus, Eccl. Hist. I, $50=$ vol. 1, 271-73 and Michael Syrus, Chronicon xi, $3=$ vol. 3, 409410, record this meeting, on which Hage, "Athanasios Gammala."
    ${ }^{19}$ Gwynn, "Thomas Harklensis."
    ${ }^{20}$ The leading figure of the Chalcedonians in this persecution was Domitian, the emperor's nephew and bishop of Melitene; see Honigmann, "Two Metropolitans."

    21 The Enaton was a monastic district nine miles S.W. of Alexandria, a conglomeration of autonomous monasteries and cells. Its population was of international provenance. Famous Miaphysite refugees were Julian of Halicarnassus and Severus of Antioch after his deposition (518), who died in Egypt and was buried in the Enaton. See Atiya ed. Coptic Encyclopedia vol. 3 (1991): 95458.
    ${ }^{22}$ According to the subscriptions of the Harklean version the work was executed "at the Enaton of (i.e. near) Alexandria, the great city, in the holy Convent of the Antonines ... in the year 927 of Alexander, in the fourth indiction" (i.e. CE 615/16); see Zuntz, Ancestry of the Harklean New Testament, 13-18 and 24-26. The subscriptions of the Syro-Hexapla refer to the progress of the work between 615 and 617; see Vööbus, The Hexapla and the Syro-Hexapla, 36-44.
    ${ }^{23}$ On this patriarch see Hage, "Athanasios Gammala."

[^64]:    ${ }^{24}$ The first time suggested by Gwynn, "Thomas Harklensis," 267.

[^65]:    25 This makes the Harklean version give valuable information about the formation of the Byzantine texttype in the individual parts of the New Testament at the beginning of the $7^{\text {th }}$ century; see Wachtel, Der Byzantinische Text der Katholischen Briefe.
    ${ }^{26}$ Zuntz, Ancestry of the Harklean New Testament, 13-33.

[^66]:    ${ }_{27}$ According to Zuntz, Ancestry of the Harklean New Testament, 23, the Greek model of the Philoxenian stated that it was compared (collated) with an exemplar in the Library of Pamphilus of Caesarea which was written by Pamphilus himself. Zuntz points to the manuscripts H 015 and 88 (Gregory-Aland) with the same reference to Pamphilus and his library and to the "Euthalian" subscriptions of Acts and Catholic Epistles in the manuscripts 181, 623, 1836, and 1898. This reference testifies to the reputation and authority of Pamphilus and his library; it does not necessarily testify to the existence of a local Caesarean texttype. For such a local texttype Zuntz argues in chapter III of Ancestry of the Harklean New Testament, especially pp. 113-21.
    ${ }^{28}$ This interpretation is already given by Brock, "The Resolution of the Philoxenian/Harclean Problem."
    ${ }^{29}$ The comparative material is occasionally attested by the descendants of the Greek model. As these descendants are late $10^{\text {th }} / 15^{\text {th }}$ cent. manuscripts (see below in $\S 1.4$ ) this attestation is mainly due to the influence of the Byzantine text. - The obelos is used for the sake of translation technique to mark Syriac words which are not matching the Greek Vorlage but are necessary for an intelligible rendering of the Greek.

[^67]:    ${ }^{30}$ In Acts and the Catholic Epistles the Philoxenian is said to be compared with one manuscript only. According to the interpretation offered here this manuscript is the one Thomas used for the comparative quotations, not the authoritative one. If there were really no second manuscript besides the authoritative one, the marginal quotations in Acts and the Catholic Epistles would all derive from the Philoxenian. But this is very unlikely because of the explicit "Western" type of these quotations in Acts. In the Catholic Epistles, however, the Harklean margin actually offers quotations from the text published by Gwynn, Remnants of the Later Syriac Versions of the Bible. These textual facts are difficult to explain conclusively, because Thomas' marginal quotations are drawn from Greek sources, not from Syriac. The impact of revision on the Greek substance of the Philoxenian in the Catholic Epistles could have been stronger than elsewhere in the Harklean New Testament.
    ${ }^{31}$ The quotations are presented in Aland-Juckel, Das Neue Testament in Syrischer Überlieferung.

[^68]:    32 The minuscules 1505 ( $12^{\text {th }}$ cent.), 1611 ( $10^{\text {th }}$ cent., formerly assigned to the $12^{\text {th }}$ cent.), 2138 (CE 1072), and 2495 ( $15^{\text {th }}$ cent.). The siglum for this group is GR2138. It was discovered by Amphoux, "La paranté textuelle;" "Quelques témoins grecs;" and independently by Aland, Das Neue Testament in syrischer Überlieferung, vol. 1.

[^69]:    ${ }^{33}$ Another reason for the distortion of the textual relation between members of ${ }^{\text {GR }} 2138, \mathrm{Hk}$ and $\mathrm{Hkgr}^{\mathrm{gr}}$ and the Greek model is the supplementation of codices by portions of different texttype. In later copies of the formerly supplemented codices the supplement becomes invisible and can be traced only by collation. 2138 was supplemented in all Rom-1 Cor, and 2495 in Pbil-Tit.
    ${ }^{34}$ The volumes of Text und Textwert published by the Institute for New Testament Textual Research (see below in the bibliography) are extremely helpful for identifying ${ }^{\text {GR } 2138 ~ i n ~ t h e ~ d i f f e r e n t ~}$ parts of the New Testament. As this project is based on Teststellen, full collations are necessary to confirm the relationships of the group members.
    ${ }^{35}$ For the Catholic Epistles this context was studied by Aland in Das Neue Testament in syrischer Überlieferung, vol. 1, 41-90 and by Spencer-Wachtel-Howe, "The Greek Vorlage of the Syra Harclensis."

[^70]:    ${ }^{36}$ The Greek margin is printed in full in the Das Neue Testament in syrischer Überlieferung volumes.

[^71]:    ${ }^{37}$ Bearbeitet von K. Wachtel und K. Witte. ANTT 22. Berlin: de Gruyter, 1994.

[^72]:    ${ }^{38}$ On the revisional development of the Harklean see Juckel，＂The Revisional Development of the Harklean Margin；＂＂Towards a Critical Edition of the Harklean Gospels；＂and＂Die Bedeutung des Ms．Vat．Syr．268．＂

[^73]:    ${ }^{1}$ See Vosté, "Clément-Joseph David."
    ${ }^{2}$ Online: http://www.hmml.org/vivarium/BethArke.htm.
    ${ }^{3}$ Cyril Moss, ed., Calalogue of Syriac Printed Books and Related Literature in the British Museum.

[^74]:    ${ }^{4}$ Assyrian Dictionary by Mar Touma Oddo.
    ${ }^{5}$ Treasure of the Syriac Language.
    ${ }^{6}$ Taylor, Annotated Bibliography of Printed Syriac Lexica.

[^75]:    ${ }^{7}$ Estimated based on a random sampling of 15 pages; totals rounded to the nearest 1,000 .

[^76]:    ${ }^{8}$ Estimated based on a random sampling of 15 pages; totals rounded to the nearest 1,000 .

[^77]:    ${ }^{9}$ David, Grammatica Aramaica sen Syriaca.
    ${ }^{10}$ Diryan, kitāb al-'itgān.
    ${ }^{11}$ al-Kfarnissy, Grammar of the Aramaic Syriac language.
    
    ${ }^{13}$ Dolabani, kitäb al-'assās, vol. 1.

[^78]:    ${ }^{1}$ Manna,

